



Joanne Roney OBE
Chief Executive
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PO Box 532, Town Hall
Extension, Manchester
M60 2LA

Friday, 25 November 2022

Dear Councillor / Honorary Alderman,

Meeting of the Council – Wednesday, 30th November, 2022

A summons was issued on 22 November 2022 for meeting of the Council which will be held at 10.00 am on Wednesday, 30th November, 2022, in The Council Chamber, Level 2, Town Hall Extension.

The following items marked as 'to follow' on the summons are now enclosed.

- | | |
|--|-------------------|
| 11. Establishment of a Greater Manchester Clean Air Joint Scrutiny Committee
The report of the City Solicitor is enclosed. | Pages
3 - 10 |
| 12. Constitution of the Council
The report of the City Solicitor is enclosed. | Pages
11 - 420 |

A copy of the Council Constitution document is available on the Council web site and paper copy - on request.
weblink address:

<https://democracy.manchester.gov.uk/ieListDocuments.aspx?CId=135&MId=4047&Ver=4>

Yours faithfully,

A handwritten signature in black ink, appearing to be 'JR' followed by a long horizontal stroke and a loop.

Joanne Roney OBE
Chief Executive

Councillors:-

Ludford (Chair), Y Dar (Deputy Chair), Abdullatif, Akbar, Azra Ali, Ahmed Ali, Nasrin Ali, Shaukat Ali, Alijah, Amin, Andrews, Appleby, Baker-Smith, Bano, Bayunu, Bell, Benham, Bridges, Butt, Chambers, Chohan, Collins, Connolly, Cooley, Craig, Curley, M Dar, Davies, Doswell, Douglas, Evans, Flanagan, Foley, Gartside, Good, Green, Grimshaw, Hacking, Hassan, Hewitson, Hilal, Hitchen, Holt, Hughes, Hussain, Igbon, Ilyas, Iqbal, Jeavons, Johns, Johnson, T Judge, Kamal, Karney, Kirkpatrick, Lanchbury, Leech, J Lovecy, Lynch, Lyons, McHale, Midgley, Moran, Newman, Noor, Nunney, Ogunbambo, B Priest, H Priest, Rahman, Raikes, Rawlins, Rawson, Razaq, Reeves, Reid, Riasat, Richards, I Robinson, T Robinson, Rowles, Russell, Sadler, M Sharif Mahamed, Sheikh, Shilton Godwin, Simcock, Stanton, Stogia, Taylor, Wheeler, Whiston, White, Wills, Wilson and Wright

Further Information

For help, advice and information about this meeting please contact the Committee Officer:

Andrew Woods
Tel: 0161 234 3011
Email: andrew.woods@manchester.gov.uk

This agenda was issued on **Friday, 25 November 2022** by the Governance and Scrutiny Support Unit, Manchester City Council, Level 2, Town Hall Extension (Library Walk Elevation), Manchester M60 2LA

**Manchester City Council
Report for Information/Resolution**

Report to: Constitutional and Nomination Committee – 30 November 2022
Council – 30 November 2022

Subject: Establishment of a GM Joint Clean air Scrutiny Committee

Report of: Deputy Chief Executive and City Treasurer and City Solicitor

Summary

To agree to the establishment of a Greater Manchester Joint Scrutiny Committee to scrutinise decisions of the Clean Air Charging Authorities Committee and the Clean Air Administration Committee and to nominate and agree a representative (and substitute) from Manchester City Council to sit on the Joint Scrutiny Committee.

Recommendations

The Constitutional and Nomination Committee is recommended to:-

- (1) Endorse to Council the establishment of a GM Clean Air Joint Scrutiny Committee
- (2) Endorse to Council the Terms of Reference of the Joint Committee as set out in Appendix A
- (3) Nominate and recommend Council agree a representative from Manchester to sit on the Joint Scrutiny Committee.

The Council is recommended to:-

- (1) Agree to the establishment of a GM Clean Air Joint Scrutiny Committee.
 - (2) Agree the Terms of Reference of the Joint Committee as set out in Appendix A.
 - (3) Appoint the nominated representative (and substitute) put forward by the Constitutional and Nomination Committee as Manchester City Council's member and substitute to the Joint Committee.
-

Wards Affected: All Wards

Environmental Impact Assessment - the impact of the issues addressed in this report on achieving the zero-carbon target for the city

Not Applicable

Equality, Diversity and Inclusion - the impact of the issues addressed in this report in meeting our Public Sector Equality Duty and broader equality commitments

Not Applicable

Manchester Strategy outcomes	Summary of how this report aligns to the OMS/Contribution to the Strategy
A thriving and sustainable city: supporting a diverse and distinctive economy that creates jobs and opportunities	
A highly skilled city: world class and homegrown talent sustaining the city's economic success	
A progressive and equitable city: making a positive contribution by unlocking the potential of our communities	
A liveable and low carbon city: a destination of choice to live, visit, work	
A connected city: world class infrastructure and connectivity to drive growth	

Full details are in the body of the report, along with any implications for:

- Equal Opportunities Policy
- Risk Management
- Legal Considerations

Financial Consequences – Revenue

Not Applicable

Financial Consequences – Capital

Not Applicable

Contact Officers:

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Name: Fiona Ledden
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Name: Mike Williamson

Position: Governance and Scrutiny Support Manager

E-mail: mike.williamson@manchester.gov.uk

Background documents (available for public inspection):

None

1.0 Background

1.1 The ten Greater Manchester Districts and GMCA established the Clean Air Administration Committee in March 2021 and delegated to the committee -

- the GMCA's and the Constituent Authorities' functions under sections 82 to 84 of the Environment Act 1995
- the GMCA's functions in relation to the Greater Manchester Clean Air Plan (including the taking of action likely to promote or improve the economic, social or environmental well-being of Greater Manchester in connection with it and the use of grants made by the Secretary of State under section 31 of the Local Government Act 2003 to implement that plan).
- the Constituent Authorities functions under the Greater Manchester Clean Air Plan including those under Part 3 of, and Schedule 12 to, the Transport Act 2000 and regulations made thereunder (excluding any decision thereunder that must be taken jointly by charging authorities) including, but not limited to:
 - action required under the Environment Act 1995 (Greater Manchester) Air Quality Direction 2020 (other than the making of the joint local charging scheme);
 - the exercise of their powers under sections 176, 177 and 192 of the Transport Act 2000;
- the application of the Constituent Authorities' shares of any net proceeds of a joint local charging scheme made by them.

1.2 The discharge of such functions includes the doing of anything which is calculated to facilitate, or is conducive or incidental to, the discharge of any of those functions.

1.3 The Clean Air Charging Committee was established in October 2021 by the ten districts with the following functions

1.4 The Committee shall have power to take all such decisions of the Constituent Authorities (as charging authorities) that must be taken jointly under Part 3 of, and Schedule 12 to, the Transport Act 2000 and any regulations made thereunder.

1.5 This includes, but is not limited to:

- Making and varying a joint local charging scheme order;
- Decisions of the charging authority under such a joint local charging scheme and the Road User Charging Schemes (Penalty charges, Adjudication and Enforcement) (England) Regulations 2013.

2.0 Scrutiny

- 2.1 Decisions of both committees are currently subject to Scrutiny by each District. However, as the Committees are jointly exercising the functions of the authorities it is proposed that the Scrutiny arrangements are also exercised jointly. This will enable decisions to be scrutinised more effectively and efficiently and on a Greater Manchester basis and across both Committees.
- 2.2 Proposed Terms of Reference for the GM Scrutiny Committee are attached at Appendix A and recommendations are set out at the front of this report.

3.0 Recommendations

- 3.1 The recommendations are set out on the front of this report.

Appendix A – Clean Air Scrutiny Committee – Terms of Reference

General

The Clean Air Scrutiny Committee (“the Scrutiny Committee”) is a joint committee created by the ten Greater Manchester local authorities (“the Constituent Authorities”) under section 101(5) of the Local Government Act 1972.

Membership of the Scrutiny Committee

The membership of the Scrutiny Committee shall be ten, consisting of one member appointed by each of the Constituent Authorities and one member of the Greater Manchester Combined Authority. The Constituent Authorities shall also each nominate a substitute member to attend and vote in their stead. Membership must reflect (as far as reasonably practicable) the political balance of the whole GM area.

Role of the Committee

The Scrutiny Committee’s role and function is as follows:

1. To review or scrutinise decisions made, or other actions taken by the Clean Air Charging Authorities Committee, including decisions delegated by it to officers and sub-committees;
2. To review or scrutinise decisions made, or other actions taken, in relation to the Constituent Authorities’ functions, exercised by the Air Quality Administration Committee, including decisions delegated by it to officers and sub-committees;
3. To make reports or recommendations to the Clean Air Charging Authorities Committee or the Air Quality Administration Committee concerning the discharge of their functions;
4. To Call-In decisions made by the Clean Air Charging Authorities Committee, including decisions delegated by it to officers and sub-committees;
5. To Call-In decisions made, in relation to the Constituent Authorities’ functions, exercised by the Air Quality Administration Committee, including decisions delegated by it to officers and sub-committees;
6. Any three members of the Scrutiny Committee can call in a decision of the Clean Air Charging Authorities Committee or the Air Quality Administration Committee.
7. If the Scrutiny Committee does Call-In a decision it can:
 - (a) Direct that a decision is not to be implemented while it is under review or scrutiny by the Scrutiny Committee; and
 - (b) Recommend that the decision be reconsidered.

8. To establish formal sub committees or informal task and finish groups if they wish.
9. Attendance – Members of the Clean Air Charging Authorities Committee or the Air Quality Administration Committee and Officers exercising delegated functions of the Clean Air Charging Authorities Committee or the Air Quality Administration Committee must attend meetings of the Scrutiny Committee, if invited, to answer questions.
10. Other people may be invited to attend meetings of the Scrutiny Committee but are not obliged to attend.

Operation of the Scrutiny Committee

11. The Committee shall appoint a Chair at its first meeting.
12. The Quorum of the Committee shall be two thirds of its members (7 members).
13. Each member shall have one vote.
14. The Chair shall not have a casting vote.
15. Unless required by law, decisions shall be made by a simple majority.
16. The Committee will meet as often as required.

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**Manchester City Council
Report for Resolution**

Report to: Constitutional and Nomination Committee – 30 November 2022
Council – 30 November 2022

Subject Constitution of the Council

Report of: The City Solicitor

Summary

To enable the Constitutional and Nomination Committee to consider, and the Council to adopt, proposed amendments to the Constitution of the Council.

Recommendation – Constitutional and Nomination Committee

The Constitutional and Nomination Committee is requested to note the report and recommend that the Council agree the recommendations below.

Recommendations – Council

The Council is requested to:

1. Adopt, subject to recommendations 3 and 4 below, the attached revised Sections of the Constitution of the Council, namely:
 - a) Part 1
 - b) Part 2
 - c) Part 3: Sections A, B, C and F
 - d) Part 4: Sections A, B, E and F
 - e) Part 5: Sections B, D (to be redesignated as C) and E (to be redesignated as D) and in doing so delete the former Section C
 - f) Part 6: Sections D and F
 - g) Part 7
 - h) Part 8
2. Re-adopt the remainder of the Constitution.
3. Note in relation to Part 3 of the Constitution that responsibility for the discharge of executive functions and the delegation of such responsibility rests with the Leader of the Council and that the recommended delegations of executive functions set out in Part 3 (Sections A, B and F) are for the information of the Council only.
4. Note that, as the Licensing Committee has statutory responsibility for the discharge and delegation of certain licensing authority functions, the proposed delegations of such functions set out in Part 3 (Sections A, C and F) will require

the approval of the Licensing Committee and to that extent are for the information of the Council only.

Wards Affected: All

Environmental Impact Assessment - the impact of the decisions proposed in this report on achieving the zero-carbon target for the city
Not Applicable

Our Manchester Strategy outcomes	Contribution to the strategy
A thriving and sustainable city: supporting a diverse and distinctive economy that creates jobs and opportunities	Not Applicable
A highly skilled city: world class and home-grown talent sustaining the city's economic success	Not Applicable
A progressive and equitable city: making a positive contribution by unlocking the potential of our communities	Not Applicable
A liveable and low carbon city: a destination of choice to live, visit, work	Not Applicable
A connected city: world class infrastructure and connectivity to drive growth	Not Applicable

Contact Officers:

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Name: Poornima Karkera
 Position: Head of Governance, Legal Services

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Background Documents (available for public inspection):

The following documents disclose important facts on which the report is based and have been relied upon in preparing the report. Copies of the background documents are available up to 4 years after the date of the meeting. If you would like a copy, please contact one of the contact officers above.

Constitution of the Council – as amended in April 2021

1. Background

- 1.1 Section 9P of the Local Government Act 2000, requires the Council to prepare and keep up to date a Constitution. The City Solicitor is required to monitor and review the operation of the Constitution on an on-going basis and, where necessary, bring forward amendments to the Council. The City Solicitor is, under Article 15 of the Constitution, also authorised to make minor changes to the Constitution, provided that they do not materially affect the Constitution.
- 1.2 This report details certain matters that have arisen since the Council considered the last review of the Constitution set out in the City Solicitor's report to the Council meeting on 3 February 2021. Attached to this report, and summarised below, are revised versions of certain sections of the Constitution that the City Solicitor brings forward for approval. The attached revised sections of the Constitution show proposed amendments as "track-changes" and new wording appears in bold text for additional clarity.
- 1.3 It should be noted that under the Leader and Cabinet form of executive governance, responsibility for the delegation of executive functions, including those local choice functions which the Council has designated as executive functions, does not rest with the Council, but is the responsibility of the Leader. The Leader may determine to exercise executive functions personally or to delegate their discharge to the Executive, a Committee of the Executive, an Executive Member, an area committee or an officer of the Council (without prejudice to the Leader's ability to exercise such functions personally). Consequently, the recommended delegations of executive functions set out in Part 3: Sections A, B and F of the Constitution are for the purpose of information only; recommended delegations of non-executive functions set out in those sections remain (subject to paragraph 1.4 below) a matter for the Council.
- 1.4 It should further be noted that (with limited exceptions) the discharge of the functions of the Council as licensing authority under the Licensing Act 2003 and the Gambling Act 2005, and the further delegation of those functions to officers, is the statutory responsibility of the Licensing Committee. Consequently, the recommended delegations of such licensing authority functions set out in Part 3: Sections A, C and F of the Constitution (aside from the aforementioned exceptions) are for the purpose of information only; recommended delegations of other non-executive functions set out in those sections remain, however, a matter for the Council.

2. Part 1 of the Constitution – Summary and Explanation

- 2.1 A number of minor changes are proposed to Part 1 of the Constitution – Summary and Explanation, involving:
 - Removal of the opening remark that it is a new Constitution;
 - Amending the list of Articles to ensure that they use the same heading as in each Article; and

- Removal of reference in the 7th bullet point to citizens having the right to participate in the Council's question time, which in practice they do not.

3. Part 2 of the Constitution – Articles

- 3.1 Changes to Articles 9.2(e) and 9.5, concerning quoracy of the Standards Committee and its sub-committees, are proposed to clarify that (as per the Council Procedure Rules) only the voting members present are counted for the purposes of determining whether the meeting is quorate. The requirement that a (non-voting) Independent Member is also present if certain specified matters are to be considered is retained.
- 3.2 A change to Article 11(5) is proposed to add a reference to the Greater Manchester Integrated Care Partnership as being amongst the joint committees that the Council is a party to.
- 3.3 A change to Article 14(4) is proposed to align the contractual threshold where written contracts are required with the corresponding threshold in the proposed revised Contract Procurement Rules. Some wording is also proposed to be added to align the position in relation to Authorised Signatories with that in those revised Rules.
- 3.4 A new Article 14(6) is proposed to enable the move to electronic signing and sealing.
- 3.5 A change to Article 16(3)(a) is proposed so that new Members will be given access to an electronic version of the Constitution rather than a printed copy.

4. Part 3 of the Constitution – Responsibility for Functions

- 4.1 A number of changes are proposed to the following sections of Part 3 ("Responsibility for Functions") of the Constitution:
- Section A ("Local Choice Functions");
 - Section B ("Executive Functions");
 - Section C ("Non-Executive Functions"); and
 - Section F ("Scheme of Delegation to Officers")
- 4.2 Minor changes are proposed in Sections A ("Local Choice Functions") and B ("Executive Functions") in relation to typographical errors and/or numbering.
- 4.3 A number of changes are proposed to Section C ("Non-Executive Functions"). Some of these are to reflect the transfer of delegated responsibility for the discharge of particular non-executive functions from one officer to another (with these changes in turn being reflected in the revised Section F ("Scheme of Delegation to Officers")). Changes are also proposed to reflect recent amendments to the Local Authorities (Functions and Responsibilities) (England) Regulations 2000. Finally, a small number of typographical errors are proposed to be corrected.

4.4 A number of amendments to the Scheme of Delegation to Officers (Part 3, Section F of the Constitution) are proposed. Given the number of proposed changes, it is not intended to list all amendments here (although all are fully shown in the appended revised Part 3, Section F). Proposed amendments of particular note are as follows:

- a) Throughout Section F any references to consultation by officers with a particular Executive Member are amended to refer to the Executive Member's portfolio responsibility rather than the Executive Member's title, so as to future-proof against changes to portfolio responsibility or title made by the Leader;
- b) The Assistant Chief Executive is added to the list of Chief Officers in Chapter 3 of Section F to reflect their position as a Chief Officer;
- c) Changes to the introductory wording to some officer entries in the scheme, so that a consistent approach is taken for all officers;
- d) Amendments to reflect changes made to the job titles of a number of officers;
- e) Additional wording in Paragraph 6 of the Introduction to make it clear that officers can authorise other officers to act on their behalf in respect of all delegations made to them under Part 3 (Responsibility for Functions) and not just those delegations contained in Section F (Scheme of Delegation to Officers) of Part 3;
- f) General delegation 33 is amended to provide clarity as to when the approval of a further Chief Officer (in addition to the agreement of the Deputy Chief Executive and City Treasurer) is needed to accept tenders for the carrying out of works etc for the Council of above £250,000 in value (and to align with the corresponding provision in the revised Contract Procurement Rules);
- g) Delegations in relation to Facilities Management and Operational Estate Management are transferred from the Strategic Director (Growth and Development) to the Deputy Chief Executive and City Treasurer, following the transfer of responsibility for those functions. While responsibility for the management of the operational estate is transferred to the Deputy Chief Executive and City Treasurer, the Strategic Director (Growth and Development) will retain responsibility for any acquisitions or disposals relating to the Council's interests in such operational land.
- h) Various delegations relating to the Council's responsibilities as a Local Housing Authority are transferred from the Strategic Director (Growth and Development) to the Strategic Director (Neighbourhoods) to reflect Housing Operations being based within the Neighbourhoods Directorate. While responsibility for the management of land and

property acquired or held for the purposes of the Council's functions as a Local Housing Authority is transferred to the Strategic Director (Neighbourhoods), the Strategic Director (Growth and Development) will retain responsibility for any acquisitions or disposals relating to the Council's interests in such land.

- i) Most of the delegated responsibilities of the Executive Director of Adult Social Services relating to homelessness are transferred to the Strategic Director (Neighbourhoods), to reflect that the Homelessness Service is based in the Neighbourhoods Directorate.
- j) The transfer of a number of licensing functions from the Strategic Director (Neighbourhoods) to the Director of Planning, Building Control and Licensing, to better reflect where operational responsibility for those matters rests.
- k) The requirement for the escalation of objections and representations in respect of proposed granting of licences under Section 115E of the Highways Act 1980 (including table and chairs licences) to the Strategic Director (Neighbourhoods) for consideration and determination (in consultation with Executive Member for Environment, Planning and Transport) is removed.
- l) The Deputy Chief Executive and City Treasurer is given delegated authority to discharge the Council's functions as Billing Authority in relation to Business Improvement Districts ("BIDs"). However, it is anticipated that, other than exceptionally, the practice of taking any newly submitted BID proposals to the Executive for consideration will continue.
- m) Amendments to various entries to add clarity or update legislative references;
- n) Changes have been made to delegation numbering, alongside removal of empty delegation entries, to result in clear sequential numbering of delegations within each entry in the Scheme.

5. Part 4 – Rules of Procedure

5.1 The following amendments are proposed to the Council Procedure Rules (Part 4 Section A) of the constitution:

- New Rule 1.4A – To provide that any requirement in the rules requiring a document to be signed may be signed by way of an electronic signature;
- New Rule 10.8 - Convening Meetings – To detail how the summons for a meeting is to be served;

- Rule 13.3(a) (previously Rule 13.3(b)) – Order of Business at AGM – To provide that the election of the Lord Mayor is to be the first item on the agenda for the Council’s Annual Meeting, as required by the Local Government Act 1972;
- Rule 13.3(b) (previously Rule 13.3(a)) – Order of Business at AGM – amended to clarify that special business of the Lord Mayor includes urgent business;
- Rule 14.2 - Notices of Motion – amended to make it clear that an email from the supporter of a notice of motion, sent from the Member’s Council email address, shall suffice as their signature;
- New Rule 18.7(h) – to provide that all amendments submitted at Council must not have the effect of wholly negating the original motion;
- Rule 21.4 - Speeches at Council – To make it clear that Members can speak on both a substantive motion and on each amendment to it;
- Rule 23.2A - Questions regarding GM joint committees – The Greater Manchester Integrated Care Partnership is added to the list of Greater Manchester bodies in respect of the work of which questions may be asked of the Leader or relevant member of the Executive.

5.2 A number of amendments are proposed to the Access to Information Procedure Rules (Part 4, Section B) of the constitution. While some of these are minor and for the purposes of clarity or better mirroring of legislative requirements, the following are of more significance:

- Addition of a further exemption (as Rule 15.2(viii)) to the key decision definition, so that implementation of decisions in respect of already approved and budgeted for capital projects are not treated as further key decisions by virtue of the level of expenditure/saving involved;
- Amendments are made to Rules 26.4 and 26.5 so that “need to know” requests by Members are considered by the City Solicitor rather than the Chief Executive.

5.3 The following amendments are proposed to the Scrutiny Procedure Rules (Part 4, Section E) of the constitution:

- Additional wording to Rule 1.2 to clarify that, notwithstanding the requirement to have 10 Members on each Scrutiny Committee, where a scrutiny committee comprises less than 10 elected members it shall not be prevented from discharging its functions, provided that when it meets the meeting is quorate;
- New sub-paragraph 13(5)A added to Rule 13 to clarify that members who have called-in a decision can attend the relevant Scrutiny Committee when the call-in is considered (including where the public

are excluded) and make submissions in accordance with the call-in procedure adopted by the committee.

- 5.4 An amendment is proposed to Rule 10 of the Officer Employment Procedure Rules (Part 4, Section F) of the Constitution. This relates to the definition of 'Senior Officers', which has been amended from an officer on spinal column point 32 to an officer on spinal column point 26. This is to take account of changes made to the NJC salary scales in April 2019, with scale point 26 under the new scale being equivalent to scale point 32 under the old scale.

6. Part 5 – Financial Procedures

- 6.1 The following amendments are proposed to the Financial Regulations (Part 5 of the constitution).
- 6.2 The content of the existing Section C of Part 5 (Policies, Risk Management and External Arrangements), is proposed to be split between Sections B (Roles and Responsibilities) and D (Financial Systems and Procedures). Section D is then proposed to be redesignated as Section C. The substantive content is unchanged other than some minor updating and clarification.
- 6.3 The existing Section E of Part 5 (Contract Procurement Rules) has undergone a substantial revision. Section E is also proposed to be redesignated as Section D. The aims of the proposed updates to the Contract Procurement Rules are to:
- increase flexibility for all below the UK threshold procurements and help deliver more streamlined procurements for lower value and lower risk contracts;
 - to clarify the approval process;
 - to include guidance on extension and variation arrangements;
 - to set out permissions for not going out to competition; and
 - generally, to improve the flow and readability of the rules.
- 6.4 The most significant proposed amendments to the Contract Procedure Rules in Section E of Part 5 of the constitution are:
- a) Proposals to renumber some of the Rules;
 - b) Rule 3 (Exemptions, Collaborative and E-Procurement process for all Contract Activity): This rule is proposed to be completely removed with parts of its content included within the Rules that follow it;
 - c) The title of the chapter "Common Requirements" is proposed to be changed to "Procurement Process for all Contract Activity";
 - d) The title of Rule 7 "Advertising, Approved Lists and Framework Agreements" is proposed to be changed to "Advertising". Rule 7.2 (Framework Agreements) is proposed to be removed but with information on framework agreements being added to Rule 6 as a new paragraph 6.2 (Framework Agreements and Dynamic Purchasing Systems);

- e) The chapter title “Conducting Purchase and Disposal” is proposed to be removed;
- f) Rule 8 (Competition Requirements for Purchase, Disposal and Partnership Arrangements): Is proposed to become Rule 6. A number of amendments are proposed to be made to this rule, especially around the award procedure thresholds. A number of additions are also proposed regarding framework agreements, when the Council can award contracts without going through a competition and on key decisions;
- g) Rule 17 (award of contracts and framework agreements and debriefing candidates): This rule is proposed to change to Rule 15. A few amendments have been made to the content of this rule and few additions around requirements for contract reports to record decisions;
- h) Rule 18 (contract documents): A number of amendments are proposed. The main change is one of the thresholds changing from £30,000 to £25,000.
- i) A new Rule (Rule 22) titled “Contract Extensions, Variations or Novation” is proposed to be added because there is currently no reference to contract extensions and variations in the Rules;
- j) A summary of approvals, thresholds and contract formalities table is proposed to be added after Rule 22. This table provides a summary of thresholds around approvals, award procedure and contract completion; and
- k) The definitions section has been updated, including a revised definition of “Authorised Signatory”.

7. Part 6 – Codes and Protocols

- 7.1 An amendment, which was reported to the Standards Committee in June 2022, to paragraph 7 of the Gifts and Hospitality Guidance for Members (Part 6, Section D) is proposed, to provide that the Guidance will be reviewed annually rather than every three years.
- 7.2 An amendment, which was reported to the Standards Committee in June 2022, to paragraph 13.1 of the Member / Officer Protocol (Part 6, Section F) is proposed, to provide that the Protocol will be reviewed annually rather than every three years.

8. Part 7 of the Constitution – Members’ Allowances Scheme

- 8.1 Members Allowances are independently recommended to the Council. It is proposed that there is amendment of the Members’ Allowances Scheme (Part 7 of the Constitution) to give effect to the decision of Council, taken in May 2022, to accept the recommendations of the Independent Remuneration Panel.
- 8.2 The scheme adopted currently refers to the annual uplift for Members allowances to be in line with the annual percentage increase for Manchester City Council employees. This year the National Joint Council for Local Government Staff (NJC) have agreed with the local government unions a cash

lump sum £1,925 pay award with effect from 1 April 2022 (Full Time Equivalent). It was not considered proportionate for members to receive the lump sum, so the proposal is to link the uplift to the percentage increase that an MCC employee will receive at SCP43 (which was the position under the previous allowance scheme), to cater for the recent local government pay award, and to future proof the scheme, as set out below:

- “5.1 The allowances referred to in paragraphs 3, 4 and 8 will be updated annually in line with the annual percentage pay increase given to a Manchester City Council employee at spinal column point 43 (and rounded to the nearest £) as agreed each year by the National Joint Council for Local Government Staff.”

The Independent Remuneration Panel has been consulted on this proposal and have agreed to it.

9. Part 8 of the Constitution – Management Structure

- 9.1 It is proposed that amendments are made to the management structure set out in Part 8 of the constitution to reflect changes to the senior management structure.

10. Recommendations

- 10.1 The recommendations appear at the beginning of this report.

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PART 1

SUMMARY AND EXPLANATION

SUMMARY AND EXPLANATION

The Council's Constitution

Manchester City Council ~~'s has agreed a new~~ constitution ~~which~~ sets out how the Council operates, how decisions are made and the procedures which are followed to ensure that these are efficient, transparent and accountable to local people. Some of these processes are required by the law, while others are a matter for the Council to choose.

The constitution is divided into 16 articles which set out the basic rules governing the Council's business. More detailed procedures and codes of practice are provided in separate rules and protocols at Parts 3 to 8 of the Constitution.

What's in the Constitution?

Article 1 of the Constitution sets out the purpose of the Constitution. Articles 2 - 16 explain how the key parts of the Council operate, and the rights of citizens.

These Articles are:

- Members of the Council (Article 2)
- Citizens and the Council (Article 3)
- The **Full** Council ~~meeting~~ (Article 4)
- Chairing the Council (Article 5)
- ~~Overview and s~~Scrutiny of decisions **Committees** (Article 6)
- The Executive (Article 7)
- Regulatory and other committees (Article 8)
- The Standards Committee (Article 9)
- Area committees (Article 10)
- Joint arrangements (Article 11)
- Officers (Article 12)
- Decision making (Article 13)
- Finance, contracts and legal matters (Article 14)
- Review and revision of the Constitution (Article 15)
- Suspension, interpretation and publication of the Constitution (Article 16)

How the Council Operates

The Council is composed of 96 councillors with one-third elected three years in four. Councillors are democratically accountable to residents of their ward. The overriding duty of councillors is to the whole community, but they have a special duty to their constituents, including those who did not vote for them.

Councillors have to agree to follow a Code of Conduct to ensure high standards in the way they undertake their duties. The Standards Committee trains and advises them on the Code of Conduct. The Code of Conduct for Members is set out at Part 6 Section A of the Constitution.

All councillors meet together as the Council. Meetings of the Council are normally open to the public. Here councillors decide the Council's overall policies and set the budget each year.

SUMMARY AND EXPLANATION

How decisions are made

The Executive is part of the Council which is responsible for most day-to-day decisions. The Executive is made up of a Leader appointed by the Council and a cabinet of up to 9 other councillors (including a Deputy Leader) whom the Leader appoints. When major decisions are to be discussed or made, these are published in the Executive's Register of Key Decisions (formerly referred to as the Forward Plan) in so far as they can be anticipated. If these major decisions are to be discussed with council officers at a meeting of the Executive, this will generally be open for the public to attend except where personal or confidential matters are being discussed. The Executive has to make decisions which are in line with the Council's overall policies and budget. If it wishes to make a decision which is outside the budget or policy framework, this must be referred to the Council as a whole to decide (except in the case of urgency).

Overview and Scrutiny (referred to in Manchester as 'Scrutiny')

There are 6 overview and scrutiny committees (referred to in Manchester as 'scrutiny committees') which support the work of the Executive and the Council as a whole. They allow citizens to have a greater say in Council matters by holding public inquiries into matters of local concern. These lead to reports and recommendations which advise the Executive and the Council as a whole on its policies, budget and service delivery. Scrutiny committees also monitor the decisions of the Executive. They can 'call-in' a decision which has been made by the Executive but not yet implemented. This enables them to consider whether the decision is appropriate. They may recommend that the Executive reconsider the decision. They may also be consulted by the Executive or the Council on forthcoming decisions and the development of policy.

The Council's Staff

The Council has people working for it (called 'officers') to give advice, implement decisions and manage the day-to-day delivery of its services. Some officers have a specific duty to ensure that the Council acts within the law and uses its resources wisely. The Member/Officer Relations Protocol which is set out at Part 6 Section F of the Constitution governs the relationships between officers and members of the council.

Citizens' Rights

Citizens have a number of rights in their dealings with the Council. These are set out in more detail in Article 3. Some of these are legal rights, whilst others depend on the Council's own processes. The local Citizens' Advice Bureau can advise on an individual's legal rights.

Where members of the public use specific council services, for example as a parent of a school pupil or as a council tenant, they have additional rights. These are not covered in the Constitution.

Citizens have the right to:

- vote at local elections if they are registered;

SUMMARY AND EXPLANATION

- contact their local councillor about any matters of concern to them;
- obtain a copy of the Constitution;
- attend meetings of the Council, its committees and public meetings of the Executive except where, for example, personal or confidential matters are being discussed;
- inspect agendas and reports except those which contain, for example, personal and confidential matters;
- petition to request a referendum for a different form of governance arrangements;
- ~~participate in the Council's question time and~~ contribute to investigations by scrutiny committees;
- find out, from the Executive's Register of Key Decisions, what major decisions are to be discussed by the Executive or decided by the Executive or officers, and when;
- attend meetings of the Executive where key decisions are being discussed or decided;
- see reports and background papers, and any record of decisions made by the Council and Executive;
- exercise their rights under the Freedom of Information Act 2000, the Environmental Information Regulations 2004 to obtain information held by the Council;
- complain to the Council under the Council's own complaints process;
- complain to the Ombudsman if they think the Council has not followed its procedures properly. However, they should only do this after using the Council's own complaints process;
- complain to the Council if they have evidence which they think shows that a councillor has not followed the Council's Code of Conduct; and
- inspect the Council's accounts and make their views known to the external auditor.

The Council welcomes participation by its citizens in its work.

PART 2

ARTICLES OF THE CONSTITUTION

CONTENTS

Article 1. The Constitution

Article 2. Members of the Council

Article 3. Citizens and the Council

Article 4. The Full Council

Article 5. Chairing the Council

Article 6. Scrutiny Committees

Article 7. The Executive

Article 8. Regulatory and other Committees

Article 9. The Standards Committee

Article 10. Area Committees

Article 11. Joint Arrangements

Article 12. Officers

Article 13. Decision Making

Article 14. Finance, Contract and Legal matters

Article 15. Review and Revision of the Constitution

Article 16. Suspension, Interpretation and Publication of the Constitution

Schedule 1. Description of Executive Arrangements

ARTICLES OF THE CONSTITUTION

Article 1**The Constitution****1.1 Powers of the Council**

The Council will exercise all its powers and duties in accordance with the law and this Constitution.

1.2 The Constitution

This Constitution is the Constitution of Manchester City Council.

1.3 Purpose of the Constitution

The purpose of the Constitution is to:

1. enable the Council to provide clear leadership to the community in partnership with citizens, businesses and other organisations;
2. support the active involvement of citizens in the process of local authority decision making;
3. help councillors represent their constituents more effectively;
4. enable decisions to be taken efficiently and effectively;
5. create a powerful and effective means of holding decision-makers to public account;
6. ensure that no one will review or scrutinise a decision in which they were directly involved;
7. ensure that those responsible for decision making are clearly identifiable to local people and that they explain the reasons for decisions; and
8. provide a means of improving the delivery of services to the community.

1.4 Review of the Constitution

The Council will monitor and evaluate the operation of the Constitution as set out in Article 15.

ARTICLES OF THE CONSTITUTION

Article 2**Members of the Council****2.1 Composition and eligibility**

- (a) **Composition.** The Council comprises 96 members, otherwise called councillors. Three councillors are elected by the voters of each ward in accordance with a scheme drawn up by the Electoral Commission and approved by the Secretary of State.
- (b) **Eligibility.** Only individuals who are not disqualified from holding office and are registered voters of the area or those living or working in the area, or those who occupy any land or premises in the area, are eligible to hold the office of councillor.

2.2 Election and terms of councillors

Election and terms. The whole Council was elected in May 2018 with councillors elected for terms of office of either one, two or four years. Thereafter, beginning in 2019 there will be an ordinary election of one third of all councillors held on the first Thursday in May each year, except that in 2021, and every fourth year after there will be no regular election. From 2019 the terms of office of councillors elected in that year onwards (except those elected in by-elections) will be four years starting on the fourth day after being elected and finishing on the fourth day after the date of the regular election four years later.

2.3 Roles and functions of all councillors

- (a) **Key roles.** All councillors will:
 - (i) collectively be the ultimate policy-makers and carry out a number of strategic and corporate management functions;
 - (ii) bring views of their communities into the Council's decision-making process;
 - (iii) effectively represent the interests of their ward and of individual constituents;
 - (iv) deal with individual casework and act as an advocate for constituents in resolving particular concerns or grievances;
 - (v) respond to constituents' enquiries and representations, fairly and impartially;
 - (vi) participate in the governance and management of the Council;
 - (vii) be available to represent the Council on other bodies; and

ARTICLES OF THE CONSTITUTION

(viii) maintain the highest standards of conduct and ethics.

(b) **Rights and duties**

- (i) Councillors will have such rights of access to such documents, information, land and buildings of the Council as are necessary for the proper discharge of their functions and in accordance with the law.
- (ii) Councillors will not make public information which is confidential or exempt without the consent of the Council or divulge information given in confidence to anyone other than a councillor or officer entitled to know it.
- (iii) Subject to the Code of Conduct for Members, the common law rule against bias and the Council Procedure Rules, councillors have a right to speak and vote on any item before the Council and councillors who are members of a committee have a right to speak and vote on any item before that committee.
- (iv) For these purposes, "confidential" and "exempt" information are defined in the Access to Information Rules in Part 4 of this Constitution.

2.4 **Conduct**

Councillors will at all times observe the Code of Conduct for Members set out in Part 6 of this Constitution.

2.5 **Allowances**

Councillors will be entitled to receive allowances in accordance with the Members' Allowances Scheme set out in Part 7 of this Constitution.

ARTICLES OF THE CONSTITUTION

Article 3

Citizens and The Council

3.1 Citizens' rights

Citizens have the following rights. Their rights to information and to participate are explained in more details in the Access to Information Rules in Part 4 Section B of this Constitution:

- (a) **Voting and petitions.** Citizens on the electoral roll for the area have the right to vote and sign a petition to request a referendum for a change in the Council's governance arrangements.
- (b) **Information.** Citizens have the right to:
 - (i) attend meetings of the Council and its committees except where confidential or exempt information is likely to be disclosed, and the meeting is therefore held in private;
 - (ii) attend meetings of the Executive except where confidential or exempt information is likely to be disclosed; find out from the Register of Key Decisions what key decisions will be taken by the Executive and when;
 - (iii) see reports and background papers (except where confidential or exempt), and any records of decisions made by the Council and the Executive;
 - (iv) inspect the Council's accounts and make their views known to the external auditor; and
 - (v) exercise their rights under the Freedom of Information Act 2000, the Environmental Information Regulations 2004 to obtain information held by the Council.
- (c) **Participation.** Citizens have the right to submit petitions to the Council in accordance with the Council's petition scheme, and questions to Executive members and contribute to investigations by scrutiny committees.
- (d) **Complaints.** Citizens have the right to complain to:
 - (i) the Council itself under its complaints scheme;
 - (ii) the Ombudsman after using the Council's own complaints scheme;
 - (iii) the Monitoring Officer about a breach of the Code of Conduct for Members.

3.2 Citizens' responsibilities

Citizens must not be violent, abusive or threatening to councillors or officers and must not wilfully harm things owned by the Council, councillors or officers.

ARTICLES OF THE CONSTITUTION

Article 4**The Full Council****4.1 Meanings**

- (a) **Policy Framework.** The policy framework means the following plans and strategies: -
- (i) those required by law to be adopted by the Council:
 - Crime and Disorder Reduction Strategy under Sections 5 and 6 of the Crime and Disorder Act 1998;
 - Youth Justice Plan under Section 40 of the Crime and Disorder Act 1998.
 - Development Plan Documents under Section 15 of the Planning and Compulsory Purchase Act 2004, and Plans and Alterations which together comprise the Development Plan under Part 2 of, and Schedule 8 to, the Planning and Compulsory Purchase Act 2004;
 - Licensing Authority Policy Statement under Section 349 of the Gambling Act 2005;
 - (ii) those other plans and strategies which the Council has determined should be adopted by the Council as part of the Policy Framework:
 - The Council's Corporate Plan;
 - Code of Corporate Governance;
 - Work and Skills Plan;
 - Housing Strategy
 - Tenancy Strategy (under Section 150 of the Localism Act 2011);
 - The plan and strategy which comprise the Housing Investment Programme;
 - Manchester Joint Health and Wellbeing Strategy (under the Health and Social Care Act 2012);
 - Manchester Strategy for Improving Outcomes for Children, Young People and their Families;
 - Climate Change Action Plan;
 - Cultural Strategy;

ARTICLES OF THE CONSTITUTION

- Neighbourhood Focus Strategy;
 - Asset Management Plans;
 - ICT Strategy and Information Strategy;
 - Licensing Policy Statement (under the Licensing Act 2003);
 - Policies relating to Periodic Electoral Reviews, Local Government Reviews and reviews of parliamentary constituencies;
 - Corporate Enforcement Policy;
 - The Manchester Strategy ('Our Manchester');
 - Our Manchester Industrial Strategy
 - Other plans and strategies determined by the Council.
- (b) **Budget.** The budget includes the calculations required under section 31A, 31B and 36 of the Local Government Finance Act 1992 and in particular the council tax requirement, the basic rate of council tax and the setting of council tax for respective bands and decisions relating to the control of the Council's borrowing, capital expenditure, treasury management, annual investment strategy and minimum revenue provision.
- (c) **Housing Land Transfer.** Housing Land Transfer means the approval or adoption of applications (whether in draft form or not) to the Secretary of State for approval of disposal of land used for residential purposes where consent is required under sections 32 or 43 of the Housing Act 1985.

4.2 Functions of the full Council

Only the Council will exercise the following functions:-

- (a) adopting and changing the Constitution, including the determination of which of the Council's "Local Choice" functions (as defined in The Local Authorities (Functions and Responsibilities) (England) Regulations 2000) should be executive functions and which should be non-executive functions;
- (b) approving, adopting, amending, modifying, revising, varying, withdrawing or revoking (unless, by law, expressly the responsibility of the Executive, or otherwise authorised by any provision of this Constitution) any plan or strategy which forms part of the policy framework or budget;
- (c) the approval, for the purposes of public consultation in accordance with regulation 10 or 22 of the Town and Country Planning (Development Plans) (England) Regulations 1999, of draft proposals associated with the preparation of alterations to, or the replacement of, a development plan;

ARTICLES OF THE CONSTITUTION

- (d) the approval, for the purpose of its submission to the Secretary of State for independent examination under Section 20 of the Planning and Compulsory Purchase Act 2004, of a development plan document;
- (e) approving any application to the Secretary of State in respect of any Housing Land Transfer;
- (f) determining, as licensing authority, its policy with respect to the exercise of its licensing functions under the Licensing Act 2003, and making revisions to such policy at such times as it considers appropriate;
- (g) subject to the urgency procedure contained in the Access to Information Procedure Rules or the Budget and Policy Framework Policy Rules in Part 4 of this Constitution, making decisions about any matter in the discharge of an executive function which is covered by the policy framework or the budget where the decision maker is minded to make it in a manner which would be contrary to the policy framework or contrary to/or not wholly in accordance with the budget;
- (h) appointing the Leader;
- (i) agreeing and/or amending the terms of reference for committees, deciding on their composition and making appointments to them;
- (j) appointing representatives to outside bodies unless the appointment is an executive function or has been delegated by the Council;
- (k) adopting a members' allowances scheme;
- (l) changing the name of the area, conferring the title of honorary alderman or alderwoman or freedom of the borough;
- (m) resolving under Section 166 of the Gambling Act 2005 not to issue casino premises licenses;
- (n) making, amending, revoking, re-enacting or adopting bye-laws and promoting or opposing the making of local legislation or personal Bills;
- (o) making requests for single-member electoral areas;
- (p) passing a resolution to change a scheme for elections;
- (q) deciding whether to make proposals for a change in governance arrangements, deciding whether the change should be subject to approval in a referendum and passing a resolution to make a change;
- (r) deciding the term of office of the Leader;
- (s) including a provision in executive arrangements to remove the Leader by resolution and passing such a resolution;

ARTICLES OF THE CONSTITUTION

- (t) making an order giving effect to recommendations made in a community governance review;
- (u) duty to make a change in governance arrangements;
- (v) all local choice functions set out in Part 3 of this Constitution, which the Council has decided should be undertaken by itself;
- (w) preparing and amending the annual Pay Policy Statement under Section 38 of the Localism Act 2011;
- (x) making determinations in relation to the remuneration of posts (including coroner posts) whose remuneration is, or is proposed to be, or would become, £100,000 p.a. or above, and severance packages of £100,000 and above;
- (y) adopting a Code of Conduct for elected and co-opted members of the Council under section 27 of the Localism Act 2011;
- (z) making or revising a council tax reduction scheme under section 13 A(2) of the Local Government Finance Act 1992;
- (za) exercising the power under section 153C(1) of the Small Business, Enterprise and Employment Act 2015 in relation to the relaxation of the restriction on exit payments made by the Council, subject to the consent of the Treasury or acting in compliance with directions given by the Treasury; and
- (zb) all other matters which, by law, must be reserved to Council.

4.3 Council meetings

There are three types of Council meeting:-

- (a) the annual meeting;
- (b) ordinary meetings;
- (c) extraordinary meetings;

and they will be conducted in accordance with the Council Procedure Rules in Part 4 Section A of this Constitution.

4.4 Responsibility for functions

The Council will allocate responsibilities for and maintain a record in Part 3 of this Constitution setting out the responsibilities for the discharge of:-

- (a) the Council's functions which are not the responsibility of the Executive;
- (b) local choice functions which are not the responsibility of the Executive.

ARTICLES OF THE CONSTITUTION

Article 5**Chairing the Council****5.1 Role and function of the Lord Mayor**

The Lord Mayor and in his/her absence, the Deputy Lord Mayor will have the following roles and functions:

1. to uphold and promote the purposes of the Constitution;
2. to preside over meetings of the Council so that its business can be carried out efficiently and with regard to the rights of councillors and the interests of the community;
3. to endeavour to ensure that the Council meeting is a forum for the debate of matters of concern to the local community and the place at which members who are not on the Executive are able to hold the Executive to account;
4. to promote public involvement in the Council's activities;
5. to attend such civic and ceremonial functions as the Council and he/she determines appropriate.

5.2 The Lord Mayor and Deputy Lord Mayor will be elected annually at the Annual Meeting of the Council.

5.3 Without prejudice to 5.2 above, the Leaders of the political groups represented on the Council may agree a protocol for determining which political group will provide the Lord Mayor and Deputy Lord Mayor in future years.

ARTICLES OF THE CONSTITUTION

Article 6**Scrutiny Committees****6.1 Terms of Reference**

The Council will appoint the scrutiny committees set out below to discharge the functions conferred by section 9F of the Local Government Act 2000.

Committee

Children and Young People

Communities and Equalities

Environment and Climate Change

Economy

Health

Resources and Governance

The specific remit and terms of reference of the above Committees are set out in Part 3 Section E of this Constitution.

6.2 General Role

Within their terms of reference, scrutiny committees will:

- (i) review and/or scrutinise decisions made or actions taken in connection with the discharge of any of the Council's functions;
- (ii) make reports and/or recommendations to the full Council and/or the Executive and/or any joint or area committee in connection with the discharge of any functions;
- (iii) make reports and/or recommendations to the full Council and/or the Executive on any matter affecting the area or its inhabitants;
- (iv) exercise (subject to the Scrutiny Procedure Rules) the right to call in decisions made but not yet implemented by the executive or by an officer (where the officer has made an executive key decision) and to recommend that the decision be reconsidered by the Executive or officer who made it;
- (v) arrange for its function under (i) above as regards any decision to be exercised by the full Council;
- (vi) require members of the Executive or officers of the authority to attend before it to answer questions, and invite other persons to attend;

ARTICLES OF THE CONSTITUTION

- (vii) appoint one or more sub-committees and arrange for the discharge of any of its functions by such a sub-committee.

6.3 Specific functions

(a) **Policy development and review.** Scrutiny committees may:

- (i) assist the Council and the Executive in the development of its budget and policy framework by in-depth analysis of policy issues;
- (ii) conduct research, community and other consultation in the analysis of policy issues and possible options;
- (iii) consider and implement mechanisms to encourage and enhance community participation in the development of policy options;
- (iv) question members of the Executive and/or committees and chief officers about their views on issues and proposals affecting the area; and
- (v) liaise with other external organisations operating in the area, whether national, regional or local, to ensure that the interests of local people are enhanced by collaborative working.

(b) **Scrutiny.** Scrutiny committees may:

- (i) review and scrutinise the decisions made by and performance of the Executive and/or committees and council officers both in relation to individual decisions and over time;
- (ii) review and scrutinise the performance of the Council in relation to its policy objectives, performance targets and/or particular service areas;
- (iii) question members of the Executive and/or committees and chief officers about their decisions and performance, whether generally in comparison with service plans and targets over a period of time, or in relation to particular decisions, initiatives or projects;
- (iv) make recommendations to the Executive and/or appropriate committee and/or Council arising from the outcome of the scrutiny process;
- (v) review and scrutinise the performance of other public bodies in the area and invite reports from them by requesting them to address the scrutiny committee and local people about their activities and performance, and question and gather evidence from any person (with their consent).

(c) **Support.** Chief officers will provide the necessary support for scrutiny committees.

ARTICLES OF THE CONSTITUTION

- (d) **Reporting.** Scrutiny committees will publish reports on their workings and make recommendations for future work programmes and amended working methods if appropriate.

6.4 Crime and Disorder Committee

- (a) One scrutiny committee will be designated as the Crime and Disorder Committee. Its responsibilities will include:-
- to review or scrutinise decisions or actions taken in connection with the discharge by the responsible authorities of their crime and disorder functions;
 - to make reports and recommendations to the Council or Executive with respect to the discharge of those functions.
- (b) **“Responsible authorities” include:-**
- Manchester City Council;
 - every provider of probation services operating within Manchester in pursuance of arrangements under Section 3 of the Offender Management Act 2007 which provide for it to co-operate with responsible authorities;
 - the Chief Constable of Greater Manchester Police;
 - the Greater Manchester Combined Authority in respect of fire and rescue functions for the Manchester area;
 - Manchester Clinical Commissioning Group (CCG).
- (c) **“Crime and Disorder Functions” are:-**
- reducing crime and disorder in the area (including anti-social and other behaviour adversely affecting the local environment); and
 - combating the misuse of drugs, alcohol and other substances in the area and reduction of re-offending in the area.

6.5 Health Scrutiny Committee

One scrutiny committee will be designated as the Health Scrutiny Committee to discharge the health scrutiny functions of the Council under Regulations 21 to 23, 26 and 27 of The Local Authority (Public Health, Health and Wellbeing Boards and Health Scrutiny) Regulations 2013. Its responsibilities will include:-

- to review and scrutinise any matter relating to the planning, provision and operation of the health service in the Council's area;
- to make reports and recommendations to relevant NHS bodies and health service providers.

ARTICLES OF THE CONSTITUTION

6.6 **Proceedings of scrutiny committees**

Scrutiny committees will conduct their proceedings in accordance with the Scrutiny Procedure Rules set out in Part 4 Section E of this Constitution.

6.7 **Joint Co-ordinating Panel**

(a) **Role**

There will be a Joint Co-ordinating Panel whose role will be: -

- to act as a mechanism to allow for dialogue between the Executive and the scrutiny committee;
- to assist in forward planning of the work programmes of the Executive and the scrutiny function
- to overview the Council's best value programme to consider proposals for the use of the scrutiny budget

(b) **Membership**

The Joint Co-ordinating Panel will comprise the Chairs of the Scrutiny Committees, the Leader and two other members of the Executive.

6.8 **Chairs**

Chairs of scrutiny committees will receive a standing invitation to attend public meetings of the Executive and receive the relevant papers, including parts of the meeting where exempt matters are being discussed.

6.9 **Scrutiny Officer**

The Council will designate one of their officers to act as the Council's Scrutiny Officer who will discharge the following functions:

- (a) to promote the role of the Council's scrutiny committees;
- (b) to provide support for the Council's scrutiny committees and the members of those committees;
- (c) to provide support and guidance to –
 - (i) members of the Council;
 - (ii) members of the Executive; and
 - (iii) officers of the Council

in relation to the functions of the Council's scrutiny committees.

ARTICLES OF THE CONSTITUTION

Article 7**The Executive****7.1 Role**

The Executive Leader ("the Leader") and the Executive will carry out all of the local authority's functions which are not the responsibility of any other part of the local authority, whether by law or under this Constitution.

7.2 Form and composition

The Executive will consist of the Leader together with between two and nine councillors appointed to the Executive by the Leader (one of whom will be appointed by the Leader to act as Deputy Leader).

7.3 Leader

- (a) The Leader will be a councillor elected to the position of Leader by the Council.
- (b) The Council will decide the term of office of the Leader which must expire no later than the day on which the Council holds its first annual meeting after the Leader's normal day of retirement as a councillor.
- (c) The Leader's term of office will end on the day determined by the Council under (b) above, unless before that date -
 - (i) he/she resigns from the office;
 - (ii) he/she is no longer a councillor for any other reason; or
 - (iii) he/she is removed by resolution of Council provided that no such resolution may be moved unless it is delivered to the Chief Executive 14 days before the meeting at which it is to be debated and signed by 50% of the members (for the time being) of the Council.
- (d) If there is a vacancy in the office of Leader as a result of a resolution under 7.3(c) (iii) above, the Council shall elect another councillor as Leader at the meeting at which the Leader is removed from office or at the next subsequent meeting of the Council.
- (e) If there is a vacancy in the office of Leader for any other reason, the Council shall elect another councillor as Leader at the first meeting after the vacancy occurs, provided that if the vacancy occurs after the issue of the summons and agenda for the meeting the election shall take place at the next subsequent meeting.

ARTICLES OF THE CONSTITUTION

7.4 Deputy Leader

- (a) The Leader will appoint one of the members of the Executive to act as Deputy Leader. The Leader must give written notice of such appointment to both the person who he/she is appointing as Deputy Leader and the Monitoring Officer. The appointment of the Deputy Leader will take effect on receipt of the Leader's written notice by both the person who the Leader is appointing as Deputy Leader and the Monitoring Officer. The Monitoring Officer will keep a written record of the appointment of the Deputy Leader and the Leader will report the appointment to Council and the Executive at the earliest opportunity.
- (b) The Deputy Leader will hold office until the end of the Leader's term of office unless before that date:-
 - (i) he/she resigns from the office; or
 - (ii) he/she is no longer a councillor for any other reason; or
 - (iii) he/she is no longer a member of the Executive; or
 - (iv) he/she is removed by the Leader who must give written notice of such removal to both the Deputy Leader and to the Monitoring Officer. The removal of the Deputy Leader will take effect on receipt of the Leader's written notice by both the Deputy Leader and the Monitoring Officer. The Monitoring Officer will keep a written record of any removal of the Deputy Leader and the Leader will report any such removal to the Council and the Executive at the earliest opportunity.
- (c) Where a vacancy occurs in the office of Deputy Leader, the Leader must appoint another person in his/her place. The Leader must give written notice of such appointment to both the person he/she is appointing as Deputy Leader and to the Monitoring Officer. The appointment of the Deputy Leader will take effect on receipt of the Leader's written notice by both the person who the Leader is appointing as Deputy Leader and the Monitoring Officer. The Monitoring Officer will keep a written record of the appointment of the Deputy Leader and the Leader will report the appointment to Council and the Executive at the earliest opportunity.
- (d) If for any reason:-
 - (i) the Leader is unable to act; or
 - (ii) the office of Leader is vacant

the Deputy Leader will act in his/her place.
- (e) If for any reason:-
 - (i) the Leader is unable to act, or the office of Leader is vacant; and
 - (ii) the Deputy Leader is unable to act, or the office of Deputy Leader is vacant

ARTICLES OF THE CONSTITUTION

the Executive must act in the Leader's place, or arrange for an Executive member to act in his/her place.

7.5 Other Executive members

- (a) In addition to the Deputy Leader, the Leader will appoint between one and eight further Executive Members to hold such Portfolios as the Leader shall determine. When appointing an Executive Member the Leader must give written notice of the appointment and of the Portfolio to both the person who he/she is appointing as an Executive Member and to the Monitoring Officer. The appointment of the Executive Member will take effect on receipt of the Leader's written notice by both the person who the Leader is appointing as an Executive Member and the Monitoring Officer. The Monitoring Officer will keep a written record of the appointment of an Executive Member and the Leader will report the appointment of an Executive Member and their Portfolio to Council and the Executive at the earliest opportunity.
- (b) An Executive member will hold office until the end of the Leader's term of office unless before that date -
 - (i) he/she resigns from the office; or
 - (ii) he/she is no longer a councillor for any other reason; or
 - (iii) he/she is removed by the Leader who must give written notice of such removal to both the Executive Member and to the Monitoring Officer. The removal of an Executive Member will take effect on receipt of the Leader's written notice by both the Executive Member and the Monitoring Officer. The Monitoring Officer will keep a written record of any removal of an Executive Member and the Leader will report any such removal to Council and the Executive at the earliest opportunity.

7.6 Remits of Executive Members

- (a) The Council shall designate one of the members of the Executive as the lead member for Children's Services for the purposes of Section 19 of the Children Act 2004.
- (b) Within the above framework and any statutory requirements, the Leader will determine the detailed remits of Executive Members (including the Deputy Leader) and report such detailed remits (and any changes thereto) to the Executive Members concerned, the Monitoring Officer, Council and the Executive at the earliest opportunity.

7.7 Assistants to Executive Members

The Leader may appoint other councillors to act as Assistants to those Executive members with a specific Portfolio, provided that there shall be no more than seven such Assistants. A Councillor may not be an Assistant in respect of the same Portfolio for more than 4 years. Assistants to Executive members are not members of the Executive and may not serve on Scrutiny Committees.

ARTICLES OF THE CONSTITUTION

7.8 Standing Consultative Panel

There will be a Standing Consultative Panel consisting of no more than 15 councillors with a standing invitation to attend public meetings of the Executive, including parts of the meeting where exempt matters are being discussed. The Panel will include members of opposition political groups. Members of the Panel will be entitled to speak (but not vote) at meetings of the Executive.

The representation of the opposition political groups on the Panel will be calculated in accordance with the following formula:

$$X \times \frac{25}{96} = Y$$

Where -

X = the number of members of the opposition political group on the Council, and

Y = the entitlement to representation of that group on the Panel (rounded up or down to the nearest whole number)

7.9 Proceedings of the Executive

Proceedings of the Executive shall take place in accordance with the Executive Procedure Rules set out in Part 4 Section D of this Constitution.

7.10 Responsibility for functions

- (a) The Leader of the Council may determine to exercise any of the executive functions of the Council personally, or may arrange for the exercise of any of the Council's executive functions by:
 - (i) the Executive
 - (ii) another member of the Executive
 - (iii) a committee of the Executive
 - (iv) an officer of the Council
 - (v) an area committee
- (b) The Monitoring Officer will maintain a Register of the Delegation of Executive Functions and will record any alterations to the allocation of responsibility for the discharge of executive functions.
- (c) At the earliest opportunity following the election of a Leader, the Leader will review the allocation of responsibilities for the discharge of executive functions recorded in Part 3 of this Constitution. Any alterations that the Leader wishes to make to the allocation of responsibility for the discharge of executive functions will be dealt with in accordance with Executive Procedure Rule 1.4 in Part 4, Section D of the Constitution.

ARTICLES OF THE CONSTITUTION

Article 8**Regulatory and other Committees****8.1 Regulatory and other ordinary committees**

The Council will appoint the committees set out below to discharge the functions described in Part 3 of this Constitution.

- Planning and Highways Committee
- Licensing and Appeals Committee
- Licensing Committee
- Licensing Policy Committee
- Employee Appeals Committee
- Art Galleries Committee
- Constitutional and Nomination Committee
- Personnel Committee
- Audit Committee

8.2 Health and Wellbeing Board

(a) The Council will establish a Health and Wellbeing Board to discharge the functions described in Part 3 of this Constitution.

(b) The Health and Wellbeing Board will be composed of:

- The Leader of the Council;
- At least one councillor appointed by the Leader;
- The Director of Adult Social Services;
- The Director of Children's Services;
- The Director of Public Health;
- One representative appointed by the Local Healthwatch organisation;
- One representative appointed by the Manchester Clinical Commissioning Group;
- One representative appointed by the NHS Commissioning Board;
- Such other persons, or representatives of such persons, as the Council considers appropriate (provided that in the case of appointments after the Board is established the Council first consults the Board);
- Such additional persons as the Board considers appropriate.

8.3 Advisory Committees

The Council may appoint an advisory committee to advise the Council or the Executive in relation to any matter relating to the discharge of their functions.

ARTICLES OF THE CONSTITUTION

Article 9**The Standards Committee****9.1 Standards Committee**

The Council meeting will establish a Standards Committee.

9.2 Composition**(a) Membership**

The Standards Committee will be composed as follows:

- Six elected Members of Manchester City Council (none of whom may be the leader of any political group and no more than one of whom may be a member of the Executive);
- One Member of Ringway Parish Council who is not a Member of Manchester City Council (the Parish Member); and
- Two people appointed by the Council who are not councillors or officers of the Council (Independent Members).

(b) Independent Members

Independent Members will not be entitled to vote at meetings.

(c) Parish Member

The Parish Member must be present when matters relating specifically to Ringway Parish Council or its Members are being considered. As of co-opted Member the Parish Member will not be entitled to vote at meetings.

(d) Chairing the Committee

The Council will appoint one of the Independent Members as Chair of the Committee. In the absence of the appointed Chair, the Committee will be chaired by the other Independent Member, and in the absence of both Independent Members will be chaired as determined by the Committee.

(e) Quorum

The quorum for the Standards Committee shall be three voting members (and in relation to the matters referred to in Article 9.3 f), g), h), i) and k) ~~of whom at least one must be~~ an Independent Member must also be present, ~~who is not prevented or restricted from participating by virtue of the Council's Code of Conduct~~).

(f) Questions at Council

ARTICLES OF THE CONSTITUTION

The Committee will appoint one of its elected Members for the purpose of answering questions at Council on the discharge of the Committee's functions.

9.3 Role and Functions

The Council has delegated to the Standards Committee the following powers to deal with matters of conduct and ethical standards, and the Council's Standards Committee has the following role and functions:

- (a) Promoting and maintaining high standards of conduct by Councillors, Co-opted Members and church and parent governor representatives;
- (b) Assisting Councillors, Co-opted Members and church and parent governor representatives to observe the Council's Code of Conduct for Members;
- (c) Advising the Council on the adoption, revision or replacement of the Council's Code of Conduct for Members and the Council's Arrangements for dealing with Complaints that Council Members and Co-opted voting members of the Health and Wellbeing Board have failed to comply with the Council's Code of Conduct for Members ("the Council's Arrangements");
- (d) Monitoring the operation of the Council's Code of Conduct for Members and the Council's Arrangements;
- (e) Advising, training or arranging to train Councillors and Co-opted Members and church and parent governor representatives on matters relating to the Council's Code of Conduct for Members and other issues relating to Standards and Conduct;
- (f) To determine in accordance with the Council's Arrangements whether a Council Member has failed to comply with the Council's Code of Conduct for Members and, if so, to determine what action (if any) to take;
- (g) To take decisions in respect of a Council Member who is found on a hearing held in accordance with the Council's Arrangements to have failed to comply with the Council's Code of Conduct for Members ("the Subject Member), such actions to include:–
 - (i) Publication of the findings of the Standards (Hearing) Sub-Committee in respect of the Subject Member's conduct;
 - (ii) Reporting the findings of the Standards (Hearing) Sub-Committee to Council for information;
 - (iii) Recommendation to Council that the Subject Member should be censured;
 - (iv) Recommendation to the Subject Member's Group Leader (or in the case of ungrouped Members to Council) that the Subject

ARTICLES OF THE CONSTITUTION

Member should be removed from any or all Committees or Sub-Committees of the Council;

- (v) Recommendation to the Leader that the Subject Member should be removed from the Executive, or removed from their Portfolio responsibilities;
 - (vi) Instructing the Monitoring Officer (or recommending to Ringway Parish Council) to arrange training for the Subject Member;
 - (vii) Recommendation to Council (or to Ringway Parish Council) that the Subject Member should be removed from all outside appointments to which the Subject Member has been appointed or nominated by the Council (or by Ringway Parish Council);
 - (viii) Withdrawal of (or recommendation to Ringway Parish Council that it withdraws) facilities provided to the Subject Member by the Council, such as a computer, website and/or e-mail and internet access; or
 - (ix) Placing such restrictions on the Subject Member's access to Council staff, buildings or parts of buildings as may be reasonable in the circumstances.
- (h) To grant dispensations from section 31(4) of the Localism Act 2011 (after consultation with one of the Council's Independent Persons) if having had regard to all relevant circumstances, the Standards Committee:-
- (i) considers that granting the dispensation is in the interests of persons living in the Council's area; or
 - (ii) considers that it is otherwise appropriate to grant a dispensation.
- (i) To determine appeals against the Monitoring Officer's decision on the grant of dispensations;
- (j) To deal with any reports from the Monitoring Officer on any matter which is referred to it for determination;
- (k) To deal with reports of the Monitoring Officer regarding breaches of the protocols/guidance to Members accompanying the Council's Code of Conduct for Members which do not in themselves constitute a breach of that Code;
- (l) To report from time to time to Council on ethical governance within the City Council.

9.4 Additional Roles of Standards Committee

- (a) ...

ARTICLES OF THE CONSTITUTION

- (b) to consider the Code of Corporate Governance and the Annual Governance Statement.

9.5 Delegation

The Standards Committee may appoint one or more sub-committees for the purpose of discharging any of the Committee's functions. Any such sub-committee shall have a quorum of three voting members~~four~~ (and in relation to the matters referred to in Article 9.3 f), g), h), i) and k) ~~of whom at least one must be~~ an Independent Member must also be present, ~~who is not prevented or restricted from participating by virtue of the Council's Code of Conduct~~).

ARTICLES OF THE CONSTITUTION

Article 10**Area Committees****10.1 Area Committees**

The Council and the Leader may appoint Area Committees as they think fit, if they are satisfied that to do so will ensure improved service delivery in the context of best value and more efficient, transparent and accountable decision making.

10.2 Form, composition and function**(a) Table of Area Committee(s)**

The Council and the Leader will appoint the Area Committee(s) as set out in the first column of the table below, composed as set out in the second column of that table.

Name of Committee	Composition
No Area Committees are currently required to be established or appointed to.	In the absence of any established Area Committees, there are no appointments to be made.

(b) Terms of Reference and Delegations

The terms of reference of, and the delegations to, any Area Committee(s) will be set out in Part 3 of this Constitution.

10.3 Conflicts of interest - membership of Area Committees and Scrutiny Committees**(a) Conflict of interest**

If a scrutiny committee is scrutinising specific decisions or proposals in relation to the business of the Area Committee of which the Councillor concerned is a member, then the Councillor may not speak or vote at the scrutiny committee meeting unless a dispensation to do so is given as permitted under the Localism Act 2011.

(b) General policy reviews

Where a scrutiny committee is reviewing policy generally the member must declare his/her interest before the relevant agenda item is reached but need not withdraw.

ARTICLES OF THE CONSTITUTION

10.4 **Area Committees - access to information**

Area Committees will comply with the Access to Information Rules in Part 4 Section B of this Constitution.

Agendas and notices for Area Committee meetings which deal with both functions of the Executive and functions which are not the responsibility of the Executive will state clearly which items are which.

10.5 **Executive members on Area Committees**

A member of the Executive may serve on an Area Committee if otherwise eligible to do so as a councillor.

ARTICLES OF THE CONSTITUTION

Article 11**Joint Arrangements****11.1 Joint arrangements**

- (a) The Council may establish joint arrangements with one or more local authorities to exercise functions which are not executive functions in any of the participating authorities, or to advise the Council. Such arrangements may involve the appointment of a joint committee with these other local authorities. The political balance requirements apply to such appointments if the Council has 3 or more seats on the joint committee.
- (b) The Leader or the Executive may establish joint arrangements with one or more local authorities and/or their executives to exercise functions which are executive functions. Such arrangements may involve the appointment of joint committees with these other local authorities.
- (c) Where (b) above applies, except as set out below, the Leader or the Executive may only appoint Executive members to a joint committee and those members need not reflect the political composition of the local authority as a whole.
- (d) The Leader or the Executive may appoint members to a joint committee from outside the Executive where:-
 - the joint committee is discharging a function in relation to five or more authorities; or
 - the function discharged is a function which is required by statute to be discharged by a joint committee; or
 - the joint committee has functions for only part of the area of the authority, and that area is smaller than two-fifths of the authority by area or population. In such cases, the Executive may appoint to the joint committee any councillor who is a member for a ward which is wholly or partly contained within the area;

In these circumstances the political balance requirements do not apply to such appointments.

11.2 Access to information

- (a) The Access to Information Rules in Part 4 Section B of this Constitution apply.
- (b) If all the members of a joint committee are members of the Executive in each of the participating authorities then its access to information regime is the same as that applied to the Executive.

ARTICLES OF THE CONSTITUTION

- (c) If the joint committee contains members who are not on the Executive of any participating authority then the access to information rules in Part VA of the Local Government Act 1972 will apply.

11.3 Delegation to and from other local authorities

- (a) The Council may delegate non-executive functions to another local authority.
- (b) The Council “(or an officer with relevant delegated authority) may make arrangements with another local authority to discharge that authority’s non-executive functions.
- (c) The Leader or the Executive may delegate executive functions to another local authority or the Executive of another local authority.
- (d) The Leader or the Executive may make arrangements with another local authority to discharge that authority’s executive functions.

11.4 Contracting out

The Council (for functions which are not executive functions) and the Leader or the Executive (for executive functions) may contract out to another body or organisation functions which may be exercised by an officer and which are subject to an order under section 70 of the Deregulation and Contracting Out Act 1994, or under contracting arrangements where the contractor acts as the Council's agent under usual contracting principles, provided there is no delegation of the Council's discretionary decision making.

11.5 Joint Committees

Joint arrangements will include the Council's participation in the following joint committees:

- AGMA Executive Board, and its Commissions and sub-committees (including the Statutory Functions Committee)
- Greater Manchester Transport Committee
- Greater Manchester Joint Health Scrutiny Committee
- GM Health and Social Care Strategic Partnership
- Parking and Traffic Regulations Outside London Adjudication Joint Committee (PATROLAJC)
- Bus Lane Adjudication Service Joint Committee
- Greater Manchester Police and Crime Panel
- **Greater Manchester Integrated Care Partnership**

ARTICLES OF THE CONSTITUTION

Article 12**Officers****12.1 Management structure**

- (a) **General.** The Council may engage such staff (referred to as officers), as it considers necessary to carry out its functions.
- (b) **Chief Officers.** The Council will engage persons, who will be designated chief officers and shall include a Director of Children's Services and a Director of Adult Social Services, for the posts designated as Chief Officers in Part 8 of this Constitution:
- (c) **Head of Paid Service, Monitoring Officer and Chief Finance Officer.** The Council will designate the following posts as shown:

Post	Designation
Chief Executive	Head of Paid Service
City Solicitor	Monitoring Officer
Deputy Chief Executive and City Treasurer	Chief Finance Officer

Such posts will have the functions described in Article 12.2 – 12.4 below.

- (d) **Director of Public Health.** The Council, acting jointly with the Secretary of State will appoint a Director of Public Health.
- (e) **Structure.** The Head of Paid Service will determine and publicise a description of the overall departmental structure of the Council showing the management structure and deployment of officers. This is set out at Part 8 of this Constitution.

12.2 Functions of the Head of Paid Service

- (a) **Discharge of functions by the Council.** The Head of Paid Service will report to full Council on the manner in which the discharge of the Council's functions is co-ordinated, the number and grade of officers required for the discharge of functions and the organisation of officers.
- (b) **Restrictions on functions.** The Head of Paid Service may not be the Monitoring Officer but may hold the post of Chief Finance Officer if a qualified accountant.

ARTICLES OF THE CONSTITUTION

12.3 Functions of the Monitoring Officer

- (a) **Maintaining the Constitution.** The Monitoring Officer will maintain an up-to-date version of the Constitution and will ensure that it is widely available for inspection by members, staff and the public.
- (b) **Ensuring lawfulness and fairness of decision-making.** After consulting with the Head of Paid Service and Chief Finance Officer, the Monitoring Officer will report to the full Council or to the Executive in relation to an executive function - if he or she considers that any proposal, decision or omission would give rise to unlawfulness or if any decision or omission has given rise to maladministration. Such a report will have the effect of stopping the proposal or decision being implemented until the report has been considered.
- (c) **Supporting the Standards Committee.** The Monitoring Officer will contribute to the promotion and maintenance of high standards of conduct through provision of support to the Standards Committee. The Council has delegated to the Monitoring Officer the following powers to deal with matters of conduct and ethical standards:
 - (i) To act as the Council's Proper Officer to receive complaints that Council Members have failed to comply with the Council's Code of Conduct for Members;
 - (ii) To determine, after consultation with the Independent Person and in accordance with the Council's Arrangements for dealing with Complaints that Council Members have failed to comply with the Council's Code of Conduct for Members ("the Council's Arrangements") whether to reject, informally resolve or investigate a complaint;
 - (iii) To seek informal resolution of complaints that Council Members have failed to comply with the Council's Code of Conduct for Members wherever practicable;
 - (iv) To refer decisions dealing with a complaint against a Council Member to the Standards Committee in exceptional circumstances;
 - (v) To arrange for the appointment of an Investigating Officer to investigate a complaint where the Monitoring Officer (in consultation with an Independent Person) determines that a complaint merits formal investigation;
 - (vi) To issue guidance to be followed by an Investigating Officer on the investigation of complaints;
 - (vii) To confirm, after consultation with an Independent Person and in accordance with the Council's Arrangements, an Investigating Officer's finding of no failure to comply with the Council's Code of Conduct for Members;

ARTICLES OF THE CONSTITUTION

- (viii) Where an Investigating Officer's report finds that the Subject Member has failed to comply with the Council's Code of Conduct for Members, to determine, after consultation with the Independent Person and in accordance with the Council's Arrangements, either to seek an informal resolution or to send a matter for local hearing.
- (ix) To grant dispensations from section 31(4) of the Localism Act 2011 if, having had regard to all relevant circumstances, the Monitoring Officer:-
- considers that without the dispensation the number of persons prohibited by section 31(4) of the Localism Act 2011 from participating in any particular business would be so great a proportion of the body transacting the business as to impede the transaction of the business; or
 - considers that without the dispensation each Member of the Council's Executive would be prohibited by section 31(4) of the Localism Act 2011 from participating in any particular business to be transacted by the Executive; or
 - considers that without the dispensation the representation of different political groups on the body transacting any particular business would be so upset as to alter the likely outcome of any vote relating to the business.
- (d) **Maintaining the Council's Register of Members' Interests.** The Monitoring Officer will establish and maintain the Council's Register of Interests of Members and Co-opted Members as required by section 29(1) of the Localism Act 2011 and ensure that it is available for inspection and published on the Council's website as required by the Act.
- (e) **Proper officer for access to information.** The Monitoring Officer will ensure that:
- (i) executive decisions, together with the reasons for those decisions and relevant officer reports and background papers, are made publicly available as soon as possible in accordance with the requirements of the Local Authorities (Executive Arrangements) (Meetings and Access to Information) (England) Regulations 2012; and
 - (ii) non-executive decisions taken under delegated powers, together with the reasons for those decisions and background papers, are made publicly available as soon as possible in accordance with the requirements of the Openness of Local Government Bodies Regulations 2014.
- (f) **Advising whether executive decisions are within the budget and policy framework.** The Monitoring Officer will advise whether decisions of the Executive are in accordance with the budget and policy framework.
- (g) **Providing advice.** The Monitoring Officer will provide advice on the scope of powers and authority to take decisions, maladministration, financial impropriety, probity and budget and policy framework issues to all councillors.

ARTICLES OF THE CONSTITUTION

- (h) **Freedom of Information Act.** The Monitoring Officer will determine exemptions under Section 36 of the Freedom of Information Act 2000 relating to information which is prejudicial to the effective conduct of public affairs.
- (i) **Restrictions on posts.** The Monitoring Officer cannot be the Chief Finance Officer or the Head of Paid Service.

12.4 Functions of the Chief Finance Officer

- (a) **Ensuring lawfulness and financial prudence of decision-making.** After consulting with the Head of Paid Service and the Monitoring Officer, the Chief Finance Officer will report to the full Council or to the Executive in relation to an executive function and the Council's external auditor if he or she considers that any proposal, decision or course of action will involve incurring unlawful expenditure, or is unlawful and is likely to cause a loss or deficiency or if the Council is about to enter an item of account unlawfully. S/he will also make a report under this section if it appears that the expenditure of the authority (including expenditure it proposes to incur) in a financial year is likely to exceed the resources (including sums borrowed) available to meet that expenditure.
- (b) **Administration of financial affairs.** The Chief Finance Officer will have responsibility for the administration of the financial affairs of the Council.
- (c) **Contributing to corporate management.** The Chief Finance Officer will contribute to the corporate management of the Council, in particular through the provision of professional financial advice.
- (d) **Providing advice.** The Chief Finance Officer will provide advice on the scope of powers and authority to take decisions, maladministration, financial impropriety, probity and budget and policy framework issues to all councillors and will support and advise councillors and officers in their respective roles.

12.5 Duty to provide sufficient resources to the Head of Paid Service, Monitoring Officer and Chief Finance Officer

The Council will provide the Head of Paid Service, Monitoring Officer and Chief Finance Officer with such officers, accommodation and other resources as are in the opinion of these officers sufficient to allow their duties to be performed.

12.6 Conduct

Officers will comply with the Code of Conduct for Employees set out in Part 6 Section E of this Constitution.

12.7 Employment

The recruitment, selection and dismissal of officers will comply with the Officer Employment Procedure Rules set out in Part 4 Section F of this Constitution.

ARTICLES OF THE CONSTITUTION

Article 13**Decision Making****13.1 Responsibility for decision making**

- (a) The Council will issue and keep up to date a record of what part of the Council or which individual has responsibility for particular types of decisions or decisions relating to particular areas or functions. This record is set out in Part 3 of this Constitution.
- (b) The Leader of the Council may determine to exercise any of the executive functions of the Council personally, or may arrange for the exercise of any of the Council's executive functions by:-
 - (i) the Executive;
 - (ii) a member of the Executive;
 - (iii) a committee of the Executive;
 - (iv) an officer of the Council;
 - (v) an area committee.

The Monitoring Officer will maintain a Register of the Delegation of Executive Functions.

13.2 Principles of decision making

Decisions of the Council should be made in accordance with the following principles:-

- (a) Proportionality (meaning the action must be proportionate to the results to be achieved);
- (b) Due consultation (including the taking of relevant professional advice);
- (c) Respect for human rights;
- (d) Presumption in favour of openness;
- (e) Clarity of aims and desired outcomes;
- (f) Due consideration to be given to alternative options;
- (g) Reasons for the decisions to be given provided there is no breach of confidentiality.

13.3 Types of decision

ARTICLES OF THE CONSTITUTION

(a) **Decisions reserved to full Council**

Decisions relating to the functions listed in Article 4.2 will be made by the full Council and not delegated.

(b) **Key decisions**

- (i) A “key decision” means an executive decision which is likely:-
 - (a) to result in the local authority incurring expenditure which is, or the making of savings which are, significant having regard to the local authority’s budget for the service or function to which the decision relates (as defined in rule 15.2 of the Access to Information Procedure Rules at Part 4 Section B of this Constitution); or
 - (b) to be significant in terms of its effects on communities living or working in an area comprising two or more wards in the area of the local authority.
- (ii) A decision taker may only make a key decision in accordance with the requirements of the Access to Information Procedure Rules set out in Part 4 Section B of this Constitution.

13.4 Decision making by the full Council

Subject to Article 13.8, the Council meeting will follow the Council Procedure Rules set out in Part 4 Section A of this Constitution when considering any matter.

13.5 Decision making by the Executive

Subject to Article 13.8, the Executive will follow the Executive Procedure Rules set out in Part 4 Section D of this Constitution when considering any matter.

13.6 Decision making by scrutiny committees

Scrutiny committees will follow the Scrutiny Procedure Rules set out in Part 4 Section E of this Constitution when considering any matter.

13.7 Decision making by other committees and sub-committees established by the Council

Subject to Article 13.8, other Council committees and sub-committees will follow those parts of the Council Procedure Rules set out in Part 4 Section A of this Constitution as apply to them.

13.8 Decision making by Council bodies acting as tribunals

The Council, the Executive, any committee, sub-committee, councillor or officer acting as a tribunal or in a quasi judicial manner or determining/considering (other than for the purposes of giving advice) the civil rights and obligations or the criminal

ARTICLES OF THE CONSTITUTION

responsibility of any person will follow a proper procedure which accords with the requirements of natural justice and the right to a fair trial contained in Article 6 of the European Convention on Human Rights.

13.9 Decision making by Officers

Officers will exercise their delegated authority in accordance with the Scheme of Delegation to Officers, the Register of the Delegation of Executive Functions and other provisions of this Constitution.

ARTICLES OF THE CONSTITUTION

Article 14**Finance, Contracts and Legal Matters****14.1 Financial management**

The management of the Council's financial affairs will be conducted in accordance with the Financial Rules set out in Part 5 of this Constitution.

14.2 Contracts

Contracts made by the Council will comply with the Contract Procurement Rules set out in Part 5 of this Constitution.

14.3 Legal proceedings

The City Solicitor is authorised to institute, defend, settle or participate in any legal proceedings in any case where such action is necessary to give effect to decisions of the Council or in any case where the City Solicitor considers that such action is necessary to protect the Council's interests.

14.4 Authentication of documents

Where any document is necessary to any legal procedure or proceedings on behalf of the Council, it will be signed by the City Solicitor or some other person duly authorised by the Council, the Executive or the City Solicitor, unless any enactment otherwise authorises or requires.

Any contract with a value exceeding £~~2530~~,000 entered into on behalf of the Council in the course of the discharge of an executive function shall be made in writing. Such contracts must either be signed by two duly authorised officers of the authority or made under the common seal of the Council attested by an authorised officer. Any contract with a value exceeding £75,000 must be made under the Common Seal of the Council attested by an authorised officer.

Without prejudice to the foregoing, the Council's Contract Procurement Rules (contained in Section D, Part 5 of this Constitution) may make further provision as to the authorisation of officers for the signing or sealing of contracts.

14.5 Common Seal of the Council

The Common Seal of the Council will be kept in a safe place in the custody of the City Solicitor. A decision of the Council, or of any part of it, will be sufficient authority for sealing any document necessary to give effect to the decision. The Common Seal will be affixed to those documents which in the opinion of the City Solicitor should be sealed. The affixing of the Common Seal will be attested by the City Solicitor or some other person authorised by him/her.

14.6 Electronic Signing and Sealing of Documents

ARTICLES OF THE CONSTITUTION

A document may be signed or sealed by electronic means, provided that the signing or sealing of the document by such means is permitted by law and the method of electronic signing or sealing to be utilised has been approved by the City Solicitor for use in relation to the type of document concerned. The requirements of this Constitution relating to the signing and sealing of documents shall apply regardless of whether electronic or physical means of signing or sealing are used.

ARTICLES OF THE CONSTITUTION

Article 15**Review and Revision of the Constitution****15.1 Duty to monitor and review the constitution**

- (a) The Monitoring Officer will monitor and review the operation of the Constitution to ensure that the aims and principles of the Constitution are given full effect.
- (b) A key role for the Monitoring Officer is to be aware of the strengths and weaknesses of the Constitution adopted by the Council, and to make recommendations for ways in which it could be amended in order better to achieve the purposes set out in Article 1. In undertaking this task the Monitoring Officer may:-
 - (i) observe meetings of different parts of the member and officer structure;
 - (ii) examine the audit trail relating to a sample of decisions;
 - (iii) record and analyse issues raised with him/her by members, officers, the public and other relevant stakeholders; and
 - (iv) compare practices in this authority with those in other comparable authorities, or national examples of best practice.
- (c) Before making any recommendations to amend the Constitution the Monitoring Officer may consult the Constitutional and Nomination Committee.

15.2 Changes to the Constitution**(a) Approval**

Changes to the Constitution will only be approved by the full Council after consideration of the proposal by the Monitoring Officer and in accordance with Rules 18.5 and 18.6 of the Council Procedure Rules in Part 4 Section A of this Constitution, PROVIDED that minor amendments which do not materially affect the constitution may be made by the Monitoring Officer.

(b) Change from a Leader and Cabinet form of Executive either to Mayoral form of Executive or vice versa.

The Council must take reasonable steps to consult with local electors and other interested persons in the area when drawing up proposals and must hold a referendum.

ARTICLES OF THE CONSTITUTION

Article 16**Suspension, Interpretation and Publication of the Constitution****16.1 Suspension of the Constitution****(a) Limit to suspension**

The Articles of this Constitution may not be suspended. The Rules specified below may be suspended to the extent permitted within those Rules and the law.

(b) Rules capable of suspension

The Council Procedure Rules may be suspended in accordance with Article 16.1.

(c) Procedure to Suspend

The procedure to suspend rules is set out in Rule 2.1 of the Council Procedure Rules in Part 4 Section A of this Constitution. A motion to suspend any rules will not be moved without notice unless at least one half of the whole number of councillors are present. The extent and duration of suspension will be proportionate to the result to be achieved.

16.2 Interpretation

- (a) Except as otherwise provided and subject to (b) below, the ruling of the City Solicitor on the interpretation of the Constitution shall be final.
- (b) In relation to proceedings of Council, the ruling of the Lord Mayor as to the construction or application of this Constitution shall not be challenged at any meeting of the Council.

16.3 Publication

- (a) The Monitoring Officer will give **electronic access to** a **printed** copy of this Constitution to each member of the authority as soon as practicable after the delivery to him/her of that individual's declaration of acceptance of office on the member first being elected to the Council.
- (b) The Monitoring Officer will ensure that copies are available for inspection at Council offices, and can be purchased by members of the local press and the public on payment of a reasonable fee.
- (c) The Monitoring Officer will ensure that the Constitution is accessible via the Council's website and is updated as necessary.

ARTICLES OF THE CONSTITUTION

Schedule 1**Description of Executive Arrangements**

The following parts of this Constitution constitute the Executive arrangements:

1. Article 6 (Scrutiny committees) and the Scrutiny Procedure Rules;
2. Article 7 (The Executive) and the Executive Procedure Rules;
3. Article 10 (Area Committees) insofar as any Committee is determining matters delegated to them by the Leader or the Executive;
4. Article 11 (Joint arrangements) insofar as any joint committee is determining matters delegated to it by the Leader or the Executive;
5. Article 13 (Decision making) and the Access to Information Procedure Rules (in so far as they relate to executive functions);
6. Part 3 (Responsibility for Functions) insofar as the function is the responsibility of the Executive.

PART 3

RESPONSIBILITY FOR FUNCTIONS

INTRODUCTION

Part 3 of the Constitution sets out who is responsible for the various functions of the Council.

The Local Government Act 2000 divides the Council's functions into the following categories:

1. Executive Functions

By virtue of section 9D of the Local Government Act 2000, every Council function is an "executive" function (i.e. the function is to be the responsibility of the Executive), unless a statute says differently or the function is identified as a "non-executive" function by the Local Authorities (Functions and Responsibilities) (England) Regulations 2000 (as amended).

The Leader of the Council may determine to exercise any of the "executive" functions of the Council personally, or may arrange for the exercise of any of the Council's "executive" functions by:

- (i) the Executive; or
- (ii) another member of the Executive; or
- (iii) a committee of the Executive; or
- (iv) an officer of the Council; or
- (v) an area committee.
- (vi) another local authority or the executive of another local authority
- (vii) joint arrangements with one or more other local authorities, including the establishment of a joint committee with such authority or authorities

2. Council (Non-Executive) Functions

"Non-executive" functions are the responsibility of the Council, which may decide to delegate their discharge to a Council Committee, Joint Committee or Area Committee. They can also be delegated to a Council Sub-Committee or a council officer.

There are some non-executive functions that can only be the responsibility of the full Council and cannot be delegated to another body. These are set out in Part 2, Article 4.2 of this Constitution.

3. Local Choice Functions

Some Council functions are "local choice functions". This means that the Council can decide whether the function is to be the responsibility of the Executive (an "executive function") or the responsibility of the Council (a "non-executive" function). Part 3, Section A sets out these "local choice functions", designates them as "executive" or "non-executive", and shows who is authorised to discharge them.

CONTENTS

- Section A.** Local Choice Functions
- Section B.** Executive Functions
- Section C.** Council (Non-Executive) Functions
- Section D.** Area Committees
- Section E.** Scrutiny Committees
- Section F.** Scheme of Delegation to Officers

Section A

Responsibility for Local Choice Functions

Local Choice Functions		Executive or Non-Executive	Decision Maker	Further Delegation
1	Any function under a Local Act not specifically excepted.	See Annex 1 below	See Annex 1 below	See Annex 1 below
2	The determination of any appeal against any decision made by or on behalf of the Authority.	See Annex 2 below	See Annex 2 below	See Annex 2 below
3	Making of arrangements in relation to appeals against the exclusion of pupils from maintained schools.	Non-Executive	City Solicitor	
4	Making arrangements for school admission appeals.	Non-Executive	City Solicitor	
5	Making arrangements for appeals by governing bodies.	Non-Executive	City Solicitor	
6	[.....]			
7	[.....]			
8	Any function relating to contaminated land.	Executive	Executive	Strategic Director (Neighbourhoods)
9	The discharge of any function relating to the control of pollution or the management of air quality.	Executive	Executive	Strategic Director (Neighbourhoods)
10	The service of an abatement notice in respect of a statutory nuisance.	Non-Executive	Licensing and Appeals Committee	Strategic Director (Neighbourhoods)
11	The passing of a resolution that Schedule 2 to the Noise and Statutory Nuisance Act 1993 should apply in the Authority's area.	Non-Executive	Full Council	Not Delegated
12	The inspection of the Authority's area to detect statutory nuisance.	Non-Executive	Licensing and Appeals Committee	Strategic Director (Neighbourhoods)
13	The investigation of any complaint as to the existence of a statutory nuisance.	Non-Executive	Licensing and Appeals Committee	Strategic Director (Neighbourhoods)

14	Obtaining information under section 330 of the Town and Country Planning Act 1990 as to interests in land.	Non-Executive	Director of Planning, Building Control and Licensing	
15	Obtaining particulars of persons interested in land under Section 16 of the Local Government (Miscellaneous Provisions) Act 1976.	Non-Executive	All Chief Officers	
16	Making agreements for the execution of highway works.	Executive	Executive	Director of Highways
17.1	<p>The appointment of any individual –</p> <p>(a) to any office other than an office in which he is employed by the authority</p> <p>(b) to any body other than</p> <p style="margin-left: 40px;">(i) The Authority</p> <p style="margin-left: 40px;">(ii) A Joint Committee of 2 or more Authorities or</p> <p>(c) to any Committee or Sub-Committee of such a body,</p> <p>in connection with executive functions and the revocation of any such appointment.</p>	Executive	Executive	Chief officer as provided in the Scheme of Delegation at Part 3
17.2	<p>The appointment of any individual –</p> <p>(a) to any office other than an office in which he is employed by the authority</p> <p>(e) (b) to any body other than</p> <p style="margin-left: 40px;">(i) The Authority</p> <p style="margin-left: 40px;">(ii) A Joint Committee of 2 or more Authorities or</p> <p>(c) to any Committee or Sub-Committee of such a body,</p> <p>in connection with non-executive functions and the revocation of any such appointment.</p>	Non-Executive	Full Council	Committee or chief officer, as provided in the Scheme of Delegation at Part 3
17.3	The appointment of the senior coroner, area and assistant coroners under Schedule 3 of the Coroners and Justice Act 2009.	Non-Executive	Personnel Committee (to recommend appointment)	City Solicitor (to make appointment)
18	The making of agreements with other local authorities for the placing of staff at the disposal of those other authorities.	Non-Executive	Chief Executive	
19(i)	[...]			
19(ii)	[...]			

ANNEX 1

There are a number of functions in the Greater Manchester Act 1981 ('the Local Act') and the responsibility for the discharge of these functions should be as follows:

Function			Executive or Non-Executive	Decision Making Body	Further Delegation
1	Section 11	Bye-laws as to Leisure Centres	Non-Executive	Full Council	Not Delegated
2	Section 12	Closure of Parks	Executive	Executive	Strategic Director (Neighbourhoods)
3	Section 13	Provision of Parking Places in Parks, etc	Executive	Executive	Strategic Director (Neighbourhoods)
4	Section 14	Provision of Vehicles in Parks etc.	Executive	Executive	Strategic Director (Neighbourhoods)
5	Section 15	Contribution towards Provision of Recreational Facilities	Executive	Executive	Strategic Director (Neighbourhoods)
6	Section 21	Recovery of Street Works Charges Where Owner Unknown	Executive	Executive	Director of Highways
7	Section 22	Street Numbers	Executive	Executive	Deputy Chief Executive and City Treasurer
8	Section 24	Provision of Trees and Shrubs	Non-Executive	Planning and Highways Committee	Director of Highways
9	Section 26	Prohibition of Parking of Goods Vehicles in Residential Streets	Executive	Executive	Director of Highways
10	Section 27	Control of Verges	Executive	Executive	Director of Highways
11	Section 28	Temporary Stoppage of Footpaths and Bridleways	Executive	Executive	Director of Highways
12	Section 29	Vesting of Former highway Land	Executive	Executive	Director of Planning, Building Control and Licensing
13	Section 30	Hairdressers and Barbers	Non-Executive	Licensing and Appeals Committee	Director of Planning, Building Control and Licensing
14	Section 32	Acupuncturists, Tattoosists, Ear Piercers, Electrolysis etc.	Non-Executive	Licensing and Appeals Committee	Director of Planning, Building Control and Licensing
15	Section 34	Dust etc. from Building Operations	Non-Executive	Licensing and Appeals Committee	Strategic Director (Neighbourhoods)
16	Section 35	Carrying or Storage of Waste Food	Executive	Executive	Strategic Director (Neighbourhoods)
17	Section 36	Power to Order Alteration of Chimneys	Non-Executive	Licensing and Appeals Committee	Strategic Director (Neighbourhoods)
18	Section 37	Control of Rats and Mice	Executive	Executive	Strategic Director (Neighbourhoods)
19	Section 38	Powers of Entry for Prevention of Damage by Pests Act 1949	Executive	Executive	Strategic Director (Neighbourhoods)
20	Section 42	Control of Stray Dogs	Executive	Executive	Strategic Director (Neighbourhoods)

21	Section 43	Repair of Walls etc. of Yards	Non-Executive	Licensing and Appeals Committee	Strategic Director (Neighbourhoods)
22	Section 44	Temporary Repair of Defective premises	Executive	Executive	Strategic Director (Neighbourhoods)
23	Section 47	Urgent Repairs to Water, Gas and Electricity apparatus	Executive	Executive	Strategic Director (Neighbourhoods)
24	Section 48	Artificial Lighting in Habitable Rooms etc.	Non-Executive	Licensing and Appeals Committee	Strategic Director (Neighbourhoods)
25	Section 49	Trees impeding Natural Light to Houses, Shops and Offices	Non-Executive	Licensing and Appeals Committee	Strategic Director (Neighbourhoods)
26	Section 50	Weatherproofing of Property	Non-Executive	Licensing and Appeals Committee	Director of Planning, Building Control and Licensing
27	Section 53	Prohibition of Interference with Bird Traps	Non-Executive	Licensing and Appeals Committee	Strategic Director (Neighbourhoods)
28	Section 54	Dealings in Second Hand Goods	Non-Executive	Licensing and Appeals Committee	Director of Planning, Building Control and Licensing
29	Section 55	Safety of Ceilings in Bingo Halls	Non-Executive	Licensing and Appeals Committee	Director of Planning, Building Control and Licensing
30	Section 58	Safety of Stands	Non-Executive	Licensing and Appeals Committee	Director of Planning, Building Control and Licensing
31	Section 59	Bye-laws with regard to Certain Temporary Structures	Non-Executive	Full Council	Not Delegated
32	Section 60	Touting, Hawking, Photographing etc.	Non-Executive	Licensing and Appeals Committee	Director of Planning, Building Control and Licensing
33	Sections 63 & 66	Fire Precautions	Non-Executive	Licensing and Appeals Committee	Director of Planning, Building Control and Licensing
34	Sections 67-73	Storage of Flammable Material	Non-Executive	Licensing and Appeals Committee	Director of Planning, Building Control and Licensing
35	Sections 74-81	Entertainment Clubs	Non-Executive	Licensing and Appeals Committee	Director of Planning, Building Control and Licensing
36	Section 98-106	Aviation	Executive	Executive	Not Delegated
37	Section 117	Power to Compound to Payment of Tolls	Non-Executive	Licensing and Appeals Committee	Strategic Director (Neighbourhoods)

38	Section 118	Power to require information to allow Council to discharge its duties and powers in relation to markets	Non-Executive	Licensing and Appeals Committee	Strategic Director (Neighbourhoods) (where information required in relation to functions of the Council delegated to the Strategic Director (Neighbourhoods)). Director of Planning, Building Control and Licensing (where information required in relation to functions of the Council delegated to the Director of Planning, Building Control and Licensing).
39	Section 119	Power to permit market places to be used for Public Meetings etc.	Non-Executive	Licensing and Appeals Committee	Strategic Director (Neighbourhoods) (excluding any property matters which are to be exercised by the Strategic Director (Growth and Development)).
40	Section 120	Market Bye-laws	Non-Executive	Full Council	Not Delegated
41	Section 136-144	Night Cafes in Manchester	Non-Executive	Licensing and Appeals Committee	Director of Planning, Building Control and Licensing
42	Section 148	Appointment of Art Galleries Committee	Non-Executive	Full Council	Not Delegated
43	Section 149	Manchester Central Art Gallery	Non-Executive	Art Galleries Committee	Strategic Director (Neighbourhoods) (with the exception of Section 149(3) which in the case of the proposed sale or exchange of any work of art worth more than £25,000 the proposed sale or exchange shall be approved by the Art Galleries Committee).
44	Section 152	North Western Museum of Science and industry	Executive	Executive	Not Delegated
45	Section 162	Restriction on the use of Armorial Bearings	Executive	Executive	Not Delegated
46	Section 163	Unauthorised Activities on Playing Fields (education)	Executive	Executive	Strategic Director (Children's and Education Services)
47	Section 164	Pedal Cycles	Executive	Executive	Director of Highways
48	Section 165	Prohibition of Entry of Goods Vehicles in Front Gardens	Executive	Executive	Not Delegated
49	Section 166	Hackney Carriage Fares	Executive	Executive	Not Delegated
50	Section 167	Signs on Vehicles	Non-Executive	Licensing and Appeals Committee	Director of Planning, Building Control and Licensing

Annex 2

Appeals		Executive or Non-Executive	Decision Making Body	Further Delegation
1	To determine appeals where payments of the National Non-Domestic Rate would cause hardship, appeals in relation function to Discretionary Rate Relief, and appeals to reduce amount of council tax payable.	Non-Executive	Licensing and Appeals Committee	Not Delegated
2	To determine appeals against the decisions of Chief Officers to remove companies from approved lists of contractors in relation to the execution of works and the supply of goods and services.	Non-Executive	Licensing and Appeals Committee	Deputy Chief Executive and City Treasurer
3	To determine appeals by market traders where the trader's licence has been revoked.	Non-Executive	Licensing and Appeals Committee	Director of Commercial and Operations
4	To determine any other appeals referred to the Committee against any decision made by or on behalf of the authority.	Non-Executive	Licensing and Appeals Committee	All Chief Officers
5	To determine appeals against dismissal.	Non-Executive	Employee Appeals Committee	Not Delegated

RESPONSIBILITY FOR EXECUTIVE FUNCTIONS

Section B

Executive Functions

RESPONSIBILITY FOR EXECUTIVE FUNCTIONS

SECTION B: RESPONSIBILITY FOR EXECUTIVE FUNCTIONS

The Leader of the Council may determine to exercise any of the executive functions of the Council personally, or may arrange for the exercise of any of the Council's executive functions by:-

- (i) the Executive;
- (ii) another member of the Executive;
- (iii) a committee of the Executive;
- (iv) an officer of the Council;
- (v) an area committee;
- (vi) another local authority or the executive of another local authority; or
- (vii) joint arrangements with one or more other local authorities including a joint Committee with such authority or authorities.

- (A) The Leader has delegated all executive functions to the Executive under Section 9E of the Local Government Act 2000, as amended, but this does not prevent the Leader from discharging such functions personally.
- (B) Officers may discharge the executive functions delegated to them in the Scheme of Delegation to Officers, subject to the terms of the delegation.
- (C) Where joint arrangements are established with one or more local authorities and/ or their executives to exercise functions which are executive functions, any joint committee appointed in accordance with those arrangements may, subject to the terms of those arrangements, discharge those executive functions.
- (D) Where executive functions are delegated by the Leader or the Executive to be discharged by another local authority or the executive of another authority, that authority or executive may discharge such functions (subject to the terms of the delegation), but this will not prevent the Leader (or the Council's Executive) discharging such functions themselves.
- (E) The Leader or the Executive may make arrangements with the Leader/Mayor or Executive of another local authority to discharge the executive functions of that authority and, subject to the terms of such arrangements may further delegate the exercise of such functions to an officer of the Council.

NOTES

- (i) In exercising delegated powers Officers must have regard to the principles set out in the Introduction to the Scheme of Delegation to Officers.
- (ii) Where the Leader delegates the discharge of executive functions to the Executive, the Executive may (unless the Leader otherwise directs) arrange for the discharge of any of those functions by a committee of the Executive, an area committee or an officer of the authority.

RESPONSIBILITY FOR EXECUTIVE FUNCTIONS

- (i) **(iii)** Any arrangements made by the Leader for the discharge of executive functions by the Executive or a member of the Executive do not prevent the Leader from exercising those functions.
- (ii) **(iv)** Any arrangements made by the Leader or the Executive for the discharge of executive functions by a committee of the Executive, an area committee or an officer do not prevent the Leader or, as the case may be, the Executive from exercising those functions.
- (iii) **(v)** By an Operating Agreement between the Greater Manchester Combined Authority, the Mayor of Greater Manchester and the ten Greater Manchester district councils, the discharge of the following Executive functions has been delegated to the Greater Manchester Transport Committee –
 - (a) carrying out actions to facilitate the performance by local traffic authorities of their duty to manage road traffic on their own roads and facilitating the same on other local authorities' roads pursuant to sections 16 and 17 (except for sub-sections 17(2) and (3)) of the Traffic Management Act 2004
 - (b) preparing and carrying out a programme of measures designed to promote road safety, including carrying out road safety studies, studies into accidents, accident prevention campaigns, the dissemination of information and advice relating to the use of roads, and arranging for the giving of practical training to road users pursuant to sections 39(2) and 39(3)(a) and (b) of the Road Traffic Act 1988.

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Section C

Council (Non-Executive) Functions

SECTION C: RESPONSIBILITY FOR NON - EXECUTIVE FUNCTIONS

To discharge non-executive functions which are not reserved to full Council alone, the Council has established the following ordinary committees.

- **PLANNING AND HIGHWAYS COMMITTEE**
- **LICENSING AND APPEALS COMMITTEE**
- **LICENSING COMMITTEE**
- **LICENSING POLICY COMMITTEE**
- **ART GALLERIES COMMITTEE:** - consisting of at least 14 members of the Council and 7 non-voting co-opted members appointed by the University of Manchester.
- **AUDIT COMMITTEE**
- **CONSTITUTIONAL AND NOMINATION COMMITTEE**
- **EMPLOYEE APPEALS COMMITTEE**
- **PERSONNEL COMMITTEE**
- **HEALTH AND WELLBEING BOARD**

The terms of reference of these Committees and their delegated powers are set out in the following pages.

The Council has also established a Standards Committee. The functions delegated to the Standards Committee are set out in Article 9 of Part 2 of the Council's Constitution.

Where the Council has delegated responsibility for the discharge of non-executive functions to officers, these delegations will appear in the Scheme of Delegation to Officers.

PLANNING AND HIGHWAYS COMMITTEE

Function		Provision of Act or Statutory Instrument	Delegation to Officers
I.	Functions relating to town and country planning and development control		
1	Power to determine applications for planning permission or permission in principle.	Sections 59A(1)(b), 70(1)(a) and (b) and 72 of the Town and Country Planning Act 1990.	Director of Planning, Building Control and Licensing* See note below
2	Power to determine applications to develop land without compliance with conditions previously attached.	Section 73 of the Town and Country Planning Act 1990.	Director of Planning, Building Control and Licensing* See note below
3	Power to grant planning permission for development already carried out.	Section 73A of the Town and Country Planning Act 1990.	Director of Planning, Building Control and Licensing* See note below
4	Power to decline to determine application for planning permission or permission in principle.	Section 70A of the Town and Country Planning Act 1990.	Director of Planning, Building Control and Licensing
5	Duties relating to the making of determinations of planning applications.	Sections 69 and 92 of the Town and Country Planning Act 1990 and the Town and Country Planning (Development Management Procedure) (England) Order 2015/595 and directions made thereunder.	Director of Planning, Building Control and Licensing
6	Power to determine applications for planning permission or permission in principle made by a local authority, alone or jointly with another person.	Section 316 of the Town and Country Planning Act 1990 and the Town and Country Planning General Regulations 1992 (S.I.1992/1492).	Director of Planning, Building Control and Licensing* See note below
7	Power to make determinations, give approvals and agree certain other matters relating to the exercise of permitted development rights.	Parts 6, 7, 11, 17, 19, 20, 21 to 24, 26, 30 and 31 of Schedule 2 to the Town and Country Planning (General Permitted Development) Order 1995 (S.I.1995/418).	Director of Planning, Building Control and Licensing
8	Power to enter into agreement regulating development or use of land.	Section 106 of the Town and Country Planning Act 1990.	Director of Planning, Building Control and Licensing
9	Power to issue a certificate of existing or proposed lawful use or development.	Sections 191(4) and 192(2) of the Town and Country Planning Act 1990.	Director of Planning, Building Control and Licensing
10	Power to serve a completion notice.	Section 94(2) of the Town and Country Planning Act 1990.	Director of Planning, Building Control and Licensing
11	Power to grant consent for the display of advertisements.	Section 220 of the Town and Country Planning Act 1990 and the Town and Country Planning (Control of Advertisements) (England) Regulations 2007 (S.I. 2007/783).	Director of Planning, Building Control and Licensing
12	Power to authorise entry onto land.	Section 196A of the Town and	Director of Planning,

		Country Planning Act 1990.	Building Control and Licensing
13	Power to require the discontinuance of a use of land.	Section 102 of the Town and Country Planning Act 1990.	Not Delegated
14	Power to serve a planning contravention notice, breach of condition notice or stop notice.	Sections 171C, 187A and 183(1) of the Town and Country Planning Act 1990.	Director of Planning, Building Control and Licensing
15	Power to issue a temporary stop notice	Section 171E of the Town and Country Planning Act 1990.	Director of Planning, Building Control and Licensing
16	Power to issue an enforcement notice.	Section 172 of the Town and Country Planning Act 1990.	Director of Planning, Building Control and Licensing
17	Power to apply for an injunction restraining a breach of planning control.	Section 187B of the Town and Country Planning Act 1990.	Director of Planning, Building Control and Licensing
18	Power to determine applications for hazardous substances consent, and related powers.	Sections 9(1) and 10 of the Planning (Hazardous Substances) Act 1990 (c.10).	Director of Planning, Building Control and Licensing
19	Duty to determine conditions to which old mining permissions, relevant planning permissions relating to dormant sites or active Phase I or II sites, or mineral permissions relating to mining sites, as the case may be, are to be subject.	Paragraph 2(6)(a) of Schedule 2 to the Planning and Compensation Act 1991, paragraph 9(6) of Schedule 13 to the Environment Act 1995 (c.25) and paragraph 6(5) of schedule 14 to that Act.	Director of Planning, Building Control and Licensing
20	Power to require proper maintenance of land.	Section 215(1) of the Town and Country Planning Act 1990.	Director of Planning, Building Control and Licensing (subject to consultation with the Strategic Director (Neighbourhoods))
21	Power to determine application for listed building consent, and related powers.	Section 16(1) and (2), 17 and 33(1) of the Planning (Listed Buildings and Conservation Areas) Act 1990 (c.9).	Director of Planning, Building Control and Licensing* See note below
22	[.....]		
23	Duties relating to applications for listed building consent.	Section 13(1) of the Planning (Listed Buildings and Conservation Areas) Act 1990 and regulations 3 to 6 and 13 of the Planning (Listed Buildings and Conservation Areas) Regulations 1990 (S.I.1990/1519).	Director of Planning, Building Control and Licensing
24	Power to serve a building preservation notice, and related powers.	Sections 3(1) and 4(1) of the Planning (Listed Buildings and Conservation Areas) Act 1990.	Not Delegated
25	Power to issue listed building enforcement notice.	Section 38 of the Planning (Listed Buildings and Conservation Areas) Act 1990.	Director of Planning, Building Control and Licensing
26	Powers to acquire a listed building in need of repair and to serve a repairs notice.	Sections 47 and 48 of the Planning (Listed Buildings and Conservation Areas) Act 1990.	Director of Planning, Building Control and Licensing
27	Power to apply for an injunction in relation to a listed building.	Section 44A of the Planning (Listed Buildings and Conservation Areas) Act 1990.	Director of Planning, Building Control and Licensing
28	Power to execute urgent works.	Section 54 of the Planning (Listed Buildings and Conservation Areas)	Director of Planning, Building Control and

		Act 1990.	Licensing subject to consultation with the Chair of Planning and Highways Committee
29	Power to enter land in Part 2 of the brownfield land register	Regulations 3 and 5 of the Town & Country Planning (Brownfield Land Register) Regulations 2017	Director of Planning, Building Control and Licensing* See note below
30	Power to grant permission under Section 115E of the Highways Act 1980 ("the 1980 Act") for the provision of refreshment facilities on highways (table and chairs licences), and related powers under Sections 115E and 115F of the 1980 Act (but subject to the referral of objections / representations to any such Section 115E proposals to the Strategic Director (Neighbourhoods)) and to take any enforcement action under Section 115K in respect of failure to comply with the terms of any such permission granted under Section 115E.	Sections 115E, 115F and 115K of the Highways Act 1980	Director of Planning, Building Control and Licensing* See note below Strategic Director (Neighbourhoods) objections and representations
31	Duty under Section 115G of the 1980 Act to publish notice in respect of proposals to grant permission under Section 115E of the 1980 Act, insofar as it relates to the above delegation	Sections 115G of the Highways Act 1980	Director of Planning, Building Control and Licensing

Note: Functions marked with an * are delegated to the Director of Planning, Building Control and Licensing to approve (including approvals subject to conditions) the applications either where there is no objection from third parties of a planning nature or where there are objections from third parties of a planning nature but the proposal is clearly in accordance with approved Council planning policies.

II	Miscellaneous Functions relating to highways.		
1	Power to create footpath, bridleway or restricted byway by agreement.	Section 25 of the Highways Act 1980.	Director of Highways* see note below
2	Power to create footpaths, bridleways and restricted byways.	Section 26 of the Highways Act 1980.	Director of Highways* see note below
3	Duty to keep register of information with respect to maps, statements and declarations.	Section 31A of the Highways Act 1980.	Director of Highways
4	Power to stop up footpaths bridleways and restricted byways.	Section 118 of the Highways Act 1980.	Director of Highways* see note below
5	Power to make a rail crossing extinguishment order.	Section 118A the Highways Act 1980.	Director of Highways* see note below
6	Power to make a special extinguishment order.	Section 118B the Highways Act 1980.	Director of Highways* see note below
7	Power to divert footpaths bridleways or restricted byways.	Section 119 of the Highways Act 1980.	Director of Highways* see note below
8	Power to make a rail crossing diversion order.	Section 119A of the Highways Act 1980.	Director of Highways* see note below
9	Power to make a special diversion order.	Section 119B of the Highways Act 1980.	Director of Highways* see note below
10	Power to make SSSI diversion order.	Section 119D of the Highways Act 1980.	Director of Highways* see note below

11	Duty to assert and protect the rights of the public to use and enjoyment of highways.	Section 130 of the Highways Act 1980.	Director of Highways
12	Duty to serve notice of proposed action in relation to obstruction.	Section 130A of the Highways Act 1980.	Director of Highways
13	Power to apply for variation of order under Section 130B of the Highways Act 1980.	Section 130B(7) of the Highways Act 1980.	Director of Highways
14	Power to authorise temporary disturbance of surface of footpath bridleways or restricted byways.	Section 135 of the Highways Act 1980.	Director of Highways
15	Powers relating to the removal of things so deposited on highways as to be a nuisance.	Section 149 of the Highways Act 1980.	Strategic Director (Neighbourhoods)
16	Power to extinguish certain public rights of way.	Section 32 of the Acquisition of Land Act 1981.	Director of Highways* see note below
17	Duty to keep a definitive map and statement under review.	Section 53 of the Wildlife and Countryside Act 1981.	Director of Highways
18	Power to include modifications in other orders.	Section 53A of the Wildlife and Countryside Act 1981.	Director of Highways
19	Duty to keep register of prescribed information with respect to applications under Section 53(5) of the Wildlife and Countryside Act 1981.	Section 53B of the Wildlife and Countryside Act 1981.	Director of Highways
20	Power to prepare map and statement by way of consolidation of definitive map and statement.	Section 57A of the Wildlife and Countryside Act 1981.	Director of Highways
21	Power to designate footpath as cycle track.	Section 3 of the Cycle Tracks Act 1984.	Director of Highways
22	Power to extinguish public right of way over land acquired for clearance.	Section 294 of the Housing Act 1985.	Director of Highways
23	Power to make applications for orders for stopping up or diversion of highway.	Section 247 of the Town and Country Planning Act 1990	Director of Highways * see note below
24	Power to authorise stopping up or diversion of footpath, bridleway or restricted byways.	Section 257 of the Town and Country Planning Act 1980 1990 .	Director of Highways * see note below
25	Power to extinguish public rights of way over land held for planning purposes.	Section 258 of the Town and Country Planning Act 1980 1990 .	Director of Highways * see note below
26	Power to enter into agreements with respect to means of access.	Section 35 of the Countryside and Rights of Way Act 2000.	Director of Highways
27	Power to provide access in absence of agreement.	Section 37 of the Countryside and Rights of Way Act 2000.	Director of Highways
28	Power to permit deposit of builders' skips on highway.	Section 139 of the Highways Act 1980.	Director of Highways (except in relation to enforcement regarding deposit of skips, which is delegated to the Strategic Director (Neighbourhoods))
29	Power to license planting, retention and maintenance of trees etc. in part of highway.	Section 142 of the Highways Act 1980.	Director of Highways

30	Power to authorise erection of stiles etc. on footpaths or bridleways.	Section 147 of the Highways Act 1980.	Director of Highways
31	Power to license works in relation to buildings etc. which obstruct the highways.	Section 169 of the Highways Act 1980.	Director of Highways
32	Power to consent to temporary deposits or excavations in streets.	Section 171 of the Highways Act 1980.	Director of Highways
33	Power to dispense with obligation to erect hoarding or fence.	Section 172 of the Highways Act 1980.	Director of Highways
34	Power to restrict the placing of rails, beams etc. over highway.	Section 178 of the Highways Act 1980.	Director of Highways
35	Power to consent to construction of cellars etc. under street.	Section 179 of the Highways Act 1980.	Director of Highways
36	Power to consent to the making of openings into cellars etc. under streets, pavement lights, ventilators.	Section 180 of the Highways Act 1980.	Director of Highways (except in relation to enforcement relating to making of openings, which is delegated to the Strategic Director (Neighbourhoods))
37	Power to grant a street works licence.	Section 50 of the New Roads and Street Works Act 1991.	Director of Highways
38	Power to grant permission under Section 115E of the 1980 Act (except in relation to the provision of refreshment facilities on highway ('table and chairs licences')) and related powers under Sections 115E and 115F of the 1980 Act but subject to the referral of objections/representations to any such Section 115E proposals to the Strategic Director (Neighbourhoods) and to take any enforcement action under Section 115K in respect of failure to comply with the terms of any such permission granted under Section 115E.	Sections 115E, 115F and 115K of Highways Act 1980.	Director of Highways (except 'table and chairs' licences which are delegated to the Director of Planning, Building Control and Licensing) Strategic Director (Neighbourhoods) — objections and representations.
39	Duty to publish notice in respect of proposal to grant permission under S115E of the Highways Act 1980, insofar as it relates to the above delegation.	Section 115G of Highways Act 1980.	Director of Highways and Director of Planning, Building Control and Licensing

Note: Functions marked with a * are delegated to the Director of Highways subject to consultation with the Chair of the Planning and Highways Committee.

III	Trees and Hedgerows		
1	Powers relating to the preservation of trees.	Sections 197, 198, 200, 202 and 206 to 214D of the Town and Country Planning Act 1990 and Town and Country Planning (Tree Preservation) (England) Regulations 2012 (2012/605).	Director of Planning, Building Control and Licensing
2	Powers relating to the protection of important hedgerows.	The Hedgerows Regulations 1997.	Director of Planning, Building Control and Licensing
3	Powers relating to complaints about	Part 8 of the Anti-Social	Director of Planning,

	high hedges.	Behaviour Act 2003.	Building Control and Licensing
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IV	Local Choice Functions		
1	Provision of trees and shrubs.	Section 24 Greater Manchester Act 1981.	Director of Highways

V	Conditions etc. and Enforcement	
1	The Functions of - (a) Imposing any condition, limitation or other restriction on an approval, consent, licence, permission or registration granted in the exercise of any of the functions set out in sections I, II, III and IV above, and (b) determining any other terms to which any such approval, consent, licence, permission or registration is subject.	Delegated to the same officer who has been delegated the power to approve, consent, licence permit or register in the exercise of any of the above functions.
2	The function of determining whether, and in what manner, to enforce - (a) any contravention or failure to comply with an approval, consent, licence, permission or registration granted as mentioned in sections I, II, III and IV above; (b) any contravention or failure to comply with a condition, limitation or term to which any such approval, consent, licence, permission or registration is subject.	Delegated to the same officer who has been delegated the power to approve, consent, licence permit or register in the exercise of any of the above functions except in relation to the enforcement of licensing functions (excluding private hire and hackney carriage) within the remit of the Neighbourhoods Directorate or the Growth and Development Directorate which is delegated to the Strategic Director (Neighbourhoods).
3	The function of - (a) amending, modifying or varying any such approval, consent, licence, permission or registration as is mentioned at sections I, II, III and IV above, or any condition, limitation or term to which it is subject, or (b) revoking any such approval, consent, licence, permission or registration.	Delegated to the same officer who has been delegated the power to approve, consent, licence permit or register in the exercise of any of the above functions.
4	The function of determining - (a) Whether a charge should be made for any approval, consent, licence, permit or registration as is mentioned at sections I, II, III and IV above; and (b) Where a charge is made for any such approval, consent, licence, permit or registration, the amount of the charge.	Delegated to the relevant officer in consultation with the Chair.

DELEGATION

In exercising the above powers and responsibilities, the Committee and, unless the Committee or this Constitution provides otherwise, the relevant Officer shall have delegated powers to make decisions and act on behalf of the Council, PROVIDED THAT neither the Committee nor the relevant Officer shall exercise their delegated powers (but shall submit recommendations to the Council) in relation to any item which the Council calls in for determination.

[Note: the Committee may refer items to the Council for determination.]

LICENSING AND APPEALS COMMITTEE

Function		Provision of Act or Statutory Instrument	Delegation to Officers
I.	Licensing and Registration Functions		
1	Power to issue licences authorising the use of land as a caravan site ("site licences").	Section 3(3) of the Caravan Sites and Control of Development Act 1960.	Director of Planning, Building Control and Licensing
2	Power to license the use of moveable dwellings and camping sites.	Section 269(1) of the Public Health Act 1936.	Director of Planning, Building Control and Licensing
3	Power to license hackney carriages and private hire vehicles.	(a) as to hackney carriages, the Town Police Clauses Act 1847, as extended by section 171 of the Public Health Act 1875, and section 15 of the Transport Act 1985; and sections 47, 57, 58, 60 and 79 of the Local Government (Miscellaneous Provisions) Act 1976; (b) as to private hire vehicles, sections 48, 57, 58, 60 and 79 of the Local Government (Miscellaneous Provisions) Act 1976.	Director of Planning, Building Control and Licensing
4	Power to license drivers of hackney carriages and private hire vehicles.	Sections 51, 53, 54, 59, 61 and 79 of the Local Government (Miscellaneous Provisions) Act 1976.	Director of Planning, Building Control and Licensing
5	Power to license operators of hackney carriages and private hire vehicles.	Sections 55 to 58, 62 and 79 of the Local Government (Miscellaneous Provisions) Act 1976.	Director of Planning, Building Control and Licensing
6	[...]		
7	[...]		
8	[...]		
9	[...]		
10	[...]		
11	[...]		
12.	Power to institute proceedings for failing to provide for safety of children at entertainments.	Section 12 of the Children and Young Persons Act 1933.	City Solicitor
13	Power to license sex shops and sex cinemas, and sexual entertainment venues.	Section 2 & Schedule 3 of the Local Government (Miscellaneous Provisions) Act 1982 (as amended).	Director of Planning, Building Control and Licensing (limited to power to renew existing licences)
14	Power to license performances of hypnotism.	The Hypnotism Act 1952.	Director of Planning, Building Control and Licensing
15	Power to license premises for acupuncture, tattooing, ear-piercing and electrolysis.	Sections 13 to 17 of the Local Government (Miscellaneous Provisions) Act 1982.	Director of Planning, Building Control and Licensing
16	Power to license pleasure boats and pleasure vessels.	Section 94 of the Public Health Acts Amendment Act 1907.	Director of Planning, Building Control and Licensing

17	Power to license market and street trading.	Part III of, and Schedule 4 to, the Local Government (Miscellaneous Provisions) Act 1982.	Director of Planning, Building Control and Licensing (in relation to street trading); In relation to market trading – Strategic Director (Neighbourhoods) (power to license) and Director of Commercial and Operations (appeals on revocation)
18	Functions relating to pavement licences	Sections 1 to 7 of the Business and Planning Act 2020	Director of Planning, Building Control and Licensing
19	Power to register and license premises for the preparation of food.	Section 19 of the Food Safety Act 1990.	Strategic Director (Neighbourhoods)
20	[.....]		
21	Power to issue, amend or replace safety certificates (whether general or special) for sports grounds.	The Safety of Sports Grounds Act 1975.	Director of Planning, Building Control and Licensing
22	Power to issue, cancel, amend or replace safety certificates for regulated stands at sports grounds.	Part III of the Fire Safety and Safety of Places of Sport Act 1987.	Director of Planning, Building Control and Licensing
23	Power to license premises for the breeding of dogs. Power to grant or renew a licence for a licensable activity under the Animal Welfare (Licensing of Activities Involving Animals) (England) Regulations 2018 (selling animals as pets, providing or arranging for the provision of boarding for cats or dogs, hiring out horses, breeding dogs or keeping or training animals for exhibition).	Section 1 of the Breeding of Dogs Act 1973 and section 1 of the Breeding and Sale of Dogs (Welfare) Act 1999. Regulation 4 of those Regulations.	Director of Planning, Building Control and Licensing
24	Power to license or register activities involving animals including pet shops and other establishments where animals are bred or kept for the purposes of carrying on a business.[...]	Section 1 of the Pet Animals Act 1951; section 1 of the Animal Boarding Establishments Act 1963; the Riding Establishments Acts 1964 and 1970; section 1 of the Breeding of Dogs Act 1973, and sections 1 and 8 of the Breeding of Dogs (Welfare) Act 1999; and Section 13 of the Animal Welfare Act 2006.	Director of Planning, Building Control and Licensing
25	Power to register animal trainers and exhibitors.[...]	Section 1 of the Performing Animals (Regulation) Act 1925.	Director of Planning, Building Control and Licensing
26	Power to license zoos.	Section 1 of the Zoo Licensing Act 1981.	Director of Planning, Building Control and Licensing
27	Power to license dangerous wild animals.	Section 1 of the Dangerous Wild Animals Act 1976.	Director of Planning, Building Control and Licensing

28	Power to license the employment of children.	Part II of the Children and Young Persons Act 1933, byelaws made under that Part, and Part II of the Children and Young Persons Act 1963.	Director of Planning, Building Control and Licensing
29	Power to approve premises for the solemnisation of marriages and civil partnerships.	Section 46A of the Marriage Act 1949, Section 28 of the Civil Partnership Act 2004, and The Marriages and Civil Partnerships (Approved Premises) Regulations 2005 (S.I.2005/3168).	City Solicitor
30	Power to register common land or town or village greens, except where the power is exercisable solely for the purpose of giving effect to an exchange of lands effected by an order under section 19(3) of, or paragraph 6(4) of Schedule 3 to, the Acquisition of Land Act 1981.	Commons Registration (New Land) Regulations 1969 (S.I.1969/1843).	Chief Executive
31	Power to register variation of rights of common.	Regulation 29 of the Commons Registration (General) Regulations 1966 (S.I.1966/1471).	Chief Executive
32	Power to license persons to collect for charitable and other causes.	Section 5 of the Police, Factories etc. (Miscellaneous Provisions) Act 1916, and section 2 of the House to House Collections Act 1939.	Director of Planning, Building Control and Licensing
33	Power to grant consent for the operation of a loudspeaker.	Schedule 2 to the Noise and Statutory Nuisance Act 1993.	Director of Planning, Building Control and Licensing
34	[.....]		
35	[.....]		
36	Functions relating to the registration of common land and town or village greens.	Part 1 of the Commons Act 2006 (c.26) and the Commons (Registration of Town or Village Greens) (Interim Arrangements) (England) Regulations 2007 (S.I. 2007/457).	Chief Executive
37	Functions Relating to Smoke Free Premises - Duty to enforce Chapter 1 and regulations made under it.	Section 10(3) of the Health Act 2006.	Strategic Director (Neighbourhoods)
38	Functions Relating to Smoke Free Premises - Power to authorise officers.	Section 10(5) of the Health Act 2006.	Strategic Director (Neighbourhoods)
39	Functions Relating to Smoke Free Premises - Functions relating to fixed penalty notices.	Paragraphs 13, 15 and 16 of Schedule 1 to the Health Act 2006. Smoke-free (Vehicle Operators and Penalty Notices) Regulations 2007 (S.I. 2007/760).	Strategic Director (Neighbourhoods)
40	Functions Relating to Smoke Free Premises - Power to transfer enforcement functions to another enforcement authority.	Smoke-free (Premises and Enforcement) Regulations 2006 (S.I. 2006/3368).	Strategic Director (Neighbourhoods)
41	[.....]	.	
42	Power to apply for an enforcement order against unlawful works on common land.	Section 41 of the Commons Act 2006.	City Solicitor

43	Power to protect unclaimed registered common land and unclaimed town or village greens	Section 45(2)(a) of the Commons Act 2006.	City Solicitor
44	Power to institute proceedings for offences in respect of unclaimed registered common land and unclaimed town or village greens.	Section 45(2)(b) of the Commons Act 2006.	City Solicitor

II Functions relating to health and safety at work			
	Functions under any of the "relevant statutory provisions" within the meaning of Part I (health, safety and welfare in connection with work, and control of dangerous substances) of the Health & Safety at Work etc. Act 1974, to the extent that those functions are discharged otherwise than in the authority's capacity as an employer.	Part I of the Health & Safety at Work etc. Act 1974.	All Chief Officers and Chapter 3A Officers (as defined in Section F of Part 3 of this Constitution)

III Local Choice Functions			
1	Hairdressers and Barbers.	Section 30 of the Greater Manchester Act 1981 ("the Local Act")	Director of Planning, Building Control and Licensing
2	Acupuncturists, Tattooists, Ear Piercers, Electrolysis etc.	Section 32 of the Local Act	Director of Planning, Building Control and Licensing
3	Dust etc. from Building Operations.	Section 34 of the Local Act	Strategic Director (Neighbourhoods)
4	Power to Order Alteration of Chimneys.	Section 36 of the Local Act	Strategic Director (Neighbourhoods)
5	Repair of Walls etc. of Yards.	Section 43 of the Local Act	Strategic Director (Neighbourhoods)
6	Artificial Lighting In Habitable Rooms etc.	Section 48 of the Local Act	Strategic Director (Neighbourhoods)
7	Trees impeding Natural Light to Houses, Shops and Offices.	Section 49 of the Local Act	Strategic Director (Neighbourhoods)
8	Weatherproofing of Property.	Section 50 of the Local Act	Director of Planning, Building Control and Licensing
9	Prohibition of Interference with Bird Traps.	Section 53 of the Local Act	Strategic Director (Neighbourhoods)
10	Dealings in Second Hand Goods.	Section 54 of the Local Act	Director of Planning, Building Control and Licensing
11	Safety of Ceilings in Bingo Halls.	Section 55 of the Local Act	Director of Planning, Building Control and Licensing
12	Safety of Stands.	Section 58 of the Local Act	Director of Planning, Building Control and Licensing
13	Touting, Hawking, Photographing etc.	Section 60 of the Local Act	Director of Planning, Building Control and Licensing
14	Fire Precautions.	Sections 63 & 66 of the Local Act	Director of Planning, Building Control and Licensing
15	Storage of Flammable Material.	Sections 67-73 of the Local Act	Director of Planning, Building Control and Licensing
16	Entertainment Clubs.	Sections 74-81 of the Local Act	Director of Planning, Building Control and Licensing
17	[...]		

18	Power to Compound to Payment of Tolls.	Section 117 of the Local Act	Strategic Director (Neighbourhoods)
19	Power of Council to require information to allow Council to discharge its duties and powers in relation to markets.	Section 118 of the Local Act	Strategic Director (Neighbourhoods) (where information required in relation to functions of the Council delegated to the Strategic Director (Neighbourhoods)). Director of Planning, Building Control and Licensing (where information required in relation to functions of the Council delegated to the Director of Planning, Building Control and Licensing)
20	Power to permit market places to be used for Public Meetings etc.	Section 119 of the Local Act	Strategic Director (Neighbourhoods) (excluding any property matters which are to be exercised by the Strategic Director (Growth and Development))
21	Night Cafes in Manchester.	Section 136-144 of the Local Act	Director of Planning, Building Control and Licensing
22	Signs on Vehicles.	Section 167 of the Local Act	Director of Planning, Building Control and Licensing
23	The service of an abatement notice in respect of a statutory nuisance	Section 80(1) of the Environmental Protection Act 1990	Strategic Director (Neighbourhoods)
24	The inspection of the Authority's area to detect statutory nuisance	Section 79 of the Environmental Protection Act 1990	Strategic Director (Neighbourhoods)
25	The investigation of any complaint as to the existence of a statutory nuisance	Section 79 of the Environmental Protection Act 1990	Strategic Director (Neighbourhoods)

IV Appeals		
1	To determine appeals where payments of the National Non-Domestic Rate would cause hardship, appeals in function relation to Discretionary Rate Relief, and appeals to reduce amount of council tax payable.	Not Delegated
2	To determine appeals against the decisions of Chief Officers to remove companies from approved lists of contractors in relation to the execution of works and the supply of goods and services.	Deputy Chief Executive and City Treasurer
3	To determine appeals by market traders where the trader's licence has been revoked.	Director of Commercial and Operations
4	To determine any other appeals referred to the Committee against any decision made by or on behalf of the authority.	All Chief Officers

V	Conditions etc. and Enforcement	
1	The functions of imposing any condition, limitation or other restriction on any approval, consent, licence, permission or registration granted in the exercise of any of the above functions and determining any other terms to which any such approval, consent, licence, permission or registration is subject.	Officer relevant to the function.
2	The function of determining whether, and in what manner, to enforce - (a) any contravention or failure to comply with an approval, consent, licence, permission or registration granted as mentioned above; or (b) any failure to comply with a condition, limitation or term to which any such approval, consent, licence, permission or registration is subject.	Officer relevant to the function except in relation to the enforcement of licensing functions (excluding private hire and hackney carriage) within the remit of the Neighbourhoods Directorate or the Growth and Development Directorate which is delegated to the Strategic Director (Neighbourhoods).
3	The function of - (a) amending, modifying or varying any such approval, consent, licence, permission or registration as is mentioned above, or any condition, limitation or term to which it is subject, or (b) revoking any such approval, consent, licence, permission or registration.	Officer relevant to the function.
4	The function of determining - - (a) Whether a charge should be made for any approval, consent, licence, permit or registration as is mentioned above; and (b) Where a charge is made for any such approval, consent, licence, permit or registration, the amount of the charge.	Officer relevant to the function in consultation with the Chair.

DELEGATION

In exercising the above powers and responsibilities, the Committee and, unless the Committee directs otherwise, the relevant Officer shall have delegated powers to make decisions and act on behalf of the Council, except that in the following matters, neither the Committee nor the relevant Officer shall exercise their delegated powers, but shall submit recommendations to the Council:

- (1) decisions on the control of hackney carriage numbers.
- (2) any item which the Council calls in for determination

[Note: the Committee may refer any items to the Council for determination].

[Note: The members of the Licensing and Appeals Committee will also act as an advisory committee under section 102(4) of the Local Government Act 1972 to advise the Executive on the discharge of the functions of the Council relating to:-

- (1) *Stands (ranks) for hackney carriages pursuant to section 63 of the Local Government (Miscellaneous Provisions) Act 1976 ("the Act");*

- (2) *Fixing of fares for hackney carriages pursuant to section 65 of the Act.]*

LICENSING COMMITTEE

1. To discharge the functions of the Council as licensing authority under the Licensing Act 2003, with the exception of any function conferred on the Council under Section 5 of the Act (statement licensing policy).
2. Without prejudice to the generality of the above the Committee may arrange for the sub-delegation of the functions to subcommittee(s) established by it or (subject to the exceptions in Section 10 (4) of the Act) to an officer of the licensing authority.

Matter to be dealt with		Subcommittee (Licensing Panel)	Director of Planning, Building Control and Licensing
1	Application for personal licence.	If a police objection made and not withdrawn	If no unwithdrawn objection made
2	Application for personal licence with unspent convictions.	If a police objection made and not withdrawn	If no unwithdrawn objection made
3	Application for premises licence/club premises certificate.	If relevant representation made and not withdrawn	If no relevant unwithdrawn representation made
4	Application for provisional statement.	If relevant representation made and not withdrawn	If no relevant unwithdrawn representation made
5	Application to vary premises licence/club premises certificate.	If relevant representation made and not withdrawn	If no relevant unwithdrawn representation made
6	Application to vary designated premises supervisor.	If a police objection made and not withdrawn	All other cases
6A	Request to be removed as designated premises supervisor		All cases
7	Application for transfer of premises licence.	If a police objection made and not withdrawn	All other cases
8	Applications for interim authorities.	If a police objection made and not withdrawn	All other cases
9	Application to review premises licence/club premises certificate.	All cases	
9A	Decision on whether a representation is irrelevant, frivolous or vexatious.		All cases
9B	Decision on whether a ground for review is irrelevant, frivolous or vexatious.		All cases
10	Decision to object when local authority is a consultee and not the relevant authority considering the application.	All cases	
11	Determination of an objection to a temporary event notice.	All cases	
11A	Determination of application to vary premises licence at community premises to include alternative licence condition.	If a police objection made and not withdrawn	All other cases

12	Determination of minor variation.		All cases
13	Decision whether or not to give consideration to revoke or suspend a personal licence, and to give notice to the licence holder following convictions or immigration premises penalties.		All cases
14	Decision to revoke or suspend a personal licence following convictions or immigration penalties	All cases	
15	Decision on whether there has been a material change of circumstances in cases of representations against any interim steps taken pending review.		All cases

Note: Where no representations are received in respect of an application, the licensing officer will deal with the application under delegated authority without the need for a hearing.

- The Licensing Committee has delegated to the **Director of Planning, Building Control and Licensing** ~~Strategic Director (Neighbourhoods)~~ the function of discharge the functions of the Council in relation to the suspension of licences under section 55A (premises licence) and section 92A (club premises licence) of the Licensing Act 2003.
- To discharge the functions of the Council as licensing authority under the Gambling Act 2005 and Regulations made thereunder with the exception of any function conferred on the Council under sections 166, and 349 of the Act.
- Without prejudice to the generality of the above the Committee may arrange for the sub-delegation of the functions to sub-committee(s) established by it or (subject to the exceptions in Section 154(4) of the Gambling Act 2005) to an officer of the licensing authority.

Matter to be dealt with		Full Council	Sub-committee of licensing committee	Director of Planning, Building Control and Licensing
1	Three year licensing policy.	✓		
2	Policy not to permit casinos.	✓		
3	Fee setting (when appropriate).			✓
4	Application for premises licences.		Where representations have been received and not withdrawn	Where no representations received/representations have been withdrawn
5	Application for a variation to a licence.		Where representations have been received and not withdrawn	Where no representations received/representations have been withdrawn
6	Application for a		Where representations	Where no representations

	transfer of a licence.		have been received from the Commission	received from the Commission
7	Application for a provisional statement.		Where representations have been received and not withdrawn	Where no representations received/representations have been withdrawn
8	Review of a premises licence.		✓	
9	Application for club gaming/club machine permits.		Where objections have been made (and not withdrawn)	Where no objections made/objections have been withdrawn
10	Cancellation of club gaming/club machine permits.		✓	
11	Applications for other permits.			✓
12	Cancellation of licensed premises gaming machine permits.			✓
13	Consideration of Temporary Use Notice.			✓
14	Decision to give a counter notice to a Temporary Use Notice.		✓	

6. To establish one or more sub committees consisting of three members of the Committee.

NOTE

Where the committee is unable to discharge any function delegated to it because of the number of members who are unable to take part in the consideration or discussion of any matter or vote on any question, the committee must refer the matter back to the licensing authority and the authority must discharge the function.

LICENSING POLICY COMMITTEE

1. To monitor and keep under review the Council's policy with respect to its licensing functions during the three year period of the policy.
2. To make arrangements for consulting the statutory consultees and other appropriate persons or bodies in relation to the Council's policy.
3. To make recommendations to the Council as licensing authority as to any proposed revisions to its licensing policy during the period of that policy and in relation to any new policy for a subsequent three year period.

ART GALLERIES COMMITTEE

1. To discharge the functions of the Council under section 149 of the Greater Manchester Act 1981 and, insofar as they relate to art galleries, sections 12-15 of the Public Libraries and Museums Act 1964 and section 145 of the Local Government Act 1972.
2. To provide such financial and other information as is required by the Executive and the Council to enable them to exercise their functions in relation to the revenue and capital budgets.

Delegation

In exercising the above powers and responsibilities, the Committee shall have delegated power (subject to Council Procedure Rule 9 – Reference to Council of Decisions taken under Delegated Powers) to make decisions and act on behalf of the Council.

AUDIT COMMITTEE

Purpose

1. The main purpose of the Committee is to obtain assurance over the Council's corporate governance and risk management arrangements, the control environment and associated anti-fraud and anti-corruption arrangements.

Governance

2. Review the Council's corporate governance arrangements including consideration of the Code of Corporate Governance.
 - Review the Annual Governance Statement prior to approval and consider whether it properly reflects the risk environment and supporting assurances, taking into account internal audit's opinion on the overall adequacy and effectiveness of the Council's framework of governance, risk management and control.
 - Review the governance and assurance arrangements for significant partnerships or collaborations, including the Register of Significant Partnerships.
 - To consider the effectiveness of the Council's risk management arrangements including reviewing the Risk Management Strategy and Policy; and the Corporate Risk Register.
 - Review the assessment of fraud risks and potential harm to the Council from fraud and corruption including oversight of key anti-fraud policies and monitoring of the counter-fraud strategy.
 - To make recommendations to the Chief Finance Officer and Monitoring Officer in respect of Part 5 of the Council's Constitution (Financial Regulations).

Financial Reporting

3. Review and approval of the annual Statement of Accounts. Specifically, to consider whether appropriate accounting policies have been followed and whether there are concerns arising from the financial statements or from the audit that need to be brought to the attention of the Council.
 - Consider the external auditor's report to those charged with governance on issues arising from the audit of the accounts and monitor the Council's response to individual issues of concern identified.
 - Approve the Council's Statement of Accounts and associated governance and accounting policy documents in accordance with the Accounts and Audit Regulations 2015.

External Audit

4. Support the independence of external audit through consideration of the external auditor's annual assessment of its independence and review of any issues raised by Public Sector Audit Appointments (PSAA).
 - Consider the external auditor's annual audit plan, annual audit letter, relevant reports and the report to those charged with governance.
 - Advise and recommend on the effectiveness of relationships between external and internal audit and other inspection agencies or relevant bodies.

Internal Audit

5. Oversee and provide assurance to the Council on the provision of an effective internal audit service and the main issues arising from Internal Audit work. In particular undertake the duties of the Board as set out in Public Sector Internal Audit Standards (PSIAS) as follows:
 - Approve the Internal Audit Charter
 - Review and approve the risk-based internal audit plan, including internal audit's resource requirements, including any significant changes, the approach to using other sources of assurance and any work required to place reliance upon those other sources.
 - Receive confirmation from the Head of Audit and Risk Management with regard to the organisational independence of the internal audit activity and make appropriate enquiries of management and the Head of Audit and Risk Management to determine whether there are inappropriate scope or resource limitations.
 - Provide free and unfettered access to the Audit Committee Chair for the Head of Audit and Risk Management, including the opportunity for a private meeting with the Committee.
 - Consider any impairments to independence or objectivity arising from additional roles or responsibilities outside of internal auditing of the Head of Audit and Risk Management. To approve and periodically review safeguards to limit such impairments.
 - Receive the results of the Quality Assurance and Improvement Plan annually and the external quality assessment of internal audit that takes place at least once every five years.
 - Receive communications from the Head of Audit and Risk Management on the internal audit activity's purpose, authority, responsibility and performance relative to its plan. To include significant risk exposures and control issues, including fraud risks, governance issues and other matters needed or requested by senior management and the Committee.
 - Consider the Head of Audit and Risk Management's annual opinion and report.

- Seek assurance on the adequacy of management response to internal audit advice, findings and recommendations in the form of implementation of agreed action plans.
- To monitor the implementation and outcomes of the Council's internal audit programme and where required, to review summary and individual audit reports with significant implications for financial management and internal control.

Treasury Management

6. To monitor the performance of the Treasury Management function including:
- approval of / amendments to the organisation's adopted clauses, treasury management policy statement and treasury management practices;
 - budget consideration and approval;
 - approval of the division of responsibilities; **and**
 - receiving and reviewing regular monitoring reports and acting on recommendations; **and**
 - **approving the selection of external service providers and agreeing terms of appointment.**

Additional role of Audit Committee

7. To overview the Council's whistleblowing policy.

Delegation: In exercising the above powers and responsibilities, the Committee shall have delegated power to make decisions and act on behalf of the Council.

Note: The Committee may itself determine not to exercise its delegated powers and instead make recommendations to the Council.

CONSTITUTIONAL AND NOMINATION COMMITTEE

Delegations

1. To discharge the functions relating to elections set out in schedule 1(D) of the Local Authorities (Functions and Responsibilities) (England) Regulations 2000.

	Function	Provision of Act	Delegation to Officers
1	Duty to appoint an electoral registration officer.	Section 8(2) of the Representation of the People Act 1983.	Not Delegated
2	Power to assign officers in relation to requisitions of the registration officer.	Section 52(4) of the Representation of the People Act 1983.	Chief Executive
3	Duty to appoint returning officer for local government elections.	Section 35 of the Representation of the People Act 1983.	Not Delegated
4	Duty to divide constituency into polling districts.	Sections 18A, 18B, 18C, 18D and 18E of, and Schedule A1 to the Representation of the People Act 1983.	Not Delegated
5	Power to divide electoral divisions into polling districts at local government elections.	Section 31 of the Representation of the People Act 1983.	Not Delegated
6	Powers in respect of holding of elections.	Section 39(4) of the Representation of the People Act 1983.	Chief Executive
7	Power to pay expenses properly incurred by electoral registration officers.	Section 54 of the Representation of the People Act 1983.	Chief Executive
8	Power to fill vacancies in the event of insufficient nominations.	Section 21 of the Representation of the People Act 1985.	Chief Executive
9	Duty to declare vacancy in office in certain cases.	Section 86 of the Local Government Act 1972.	Chief Executive
10	Duty to give public notice of a casual vacancy.	Section 87 of the Local Government Act 1972.	Chief Executive
11	Power to make temporary appointments to parish councils.	Section 91 of the Local Government Act 1972.	Not Delegated
12	Power to submit proposals to the Secretary of State for an order for pilot schemes for local elections in England and Wales.	Section 10 of the Representation of the People Act 2000.	Not Delegated
13	Duties relating to publicity.	Sections 35, 41 and 52 of the Local Government and Public Involvement in Health Act 2007.	Chief Executive
14	Duties relating to notice to Local Government Boundary Commission.	Sections 36 and 42 of the Local Government and Public Involvement in Health Act 2007.	Chief Executive

2. To make payments and provide other benefits in cases of maladministration.

Referred to make recommendations

3. To consider reports from time to time of the Monitoring Officer on the Council's Constitution and to make reports to Council on proposed amendments.

4. To make recommendations to Council on:
 - 4.1 the power to dissolve small parish councils under Section 10 of the Local Government Act 1972.
 - 4.2 the power to make orders for grouping parishes, dissolving groups and separating parishes from groups under Section 11 of the Local Government Act 1972.
 - 4.3 the duty to consult on change of scheme for elections under Sections 33(2), 38(2) and 40(2) of the Local Government and Public Involvement in Health Act 2007.
 - 4.4 the power to alter the years of ordinary elections of parish councillors under Section 53 of the Local Government and Public Involvement in Health Act 2007.
 - 4.5 functions relating to the change of the name of an electoral area under Section 59 of the Local Government and Public Involvement in Health Act 2007.
 - 4.6 the power to confer the title of honorary alderman or honorary alderwoman, or admit to be an honorary freeman or honorary freewoman, under Section 249 of the Local Government Act 1972.
 - 4.7 making, amending, revoking, re-enacting or enforcing of byelaws.
 - 4.8 the power to promote or oppose local or personal Bills under Section 239 of the Local Government Act 1972.
 - 4.9 the power to appoint "proper officers" for particular purposes.
 - 4.10 the appointment, removal, and substitution of members on committees of the Council and on joint authorities.
 - 4.11 the appointment, removal, and substitution of members to serve on joint committees and other bodies, insofar as they are not concerned with executive functions.
 - 4.12 the appointment of the Chairs of Scrutiny Committees, Ordinary Committees and Advisory Committees.
 - 4.13 establishing and control of the political balance requirements.
 - 4.14 functions relating to changing governance arrangements under Part 1A of the Local Government Act 2000.
 - 4.15 functions relating to community governance under the Local Government and Public Involvement in Health Act 2007 in relation to:
 - community governance reviews under Section 79 of the 2007 Act;

- community governance petitions under Sections 80, 83 to 85 of the 2007 Act;
- terms of reference of review under Sections 81(4) to (6) of the 2007 Act;
- power to undertake a community governance review under Section 82 of the 2007 Act;
- making of recommendations under Sections 87 to 92 of the 2007 Act;
- duties when undertaking review under Section 93 to 95 of the 2007 Act;
- duty to publicise outcome of review under Section 96 of the 2007 Act;
- duty to send two copies of order to Secretary of State and Local Government Boundary Commission under Section 98(1) of the 2007 Act; and
- power to make agreements about incidental matters under Section 99 of the 2007 Act.

4.16 the formal recognition of the outstanding contributions and achievements of individuals and organisations under Rule 31.3 of the Council Procedures Rules (“The City of Manchester Award”).

EMPLOYEE APPEALS COMMITTEE

Delegations

1. To determine appeals against dismissal.

PERSONNEL COMMITTEE

1. To establish at the appropriate time panels of members as a sub-committee to act as appointment panels for the appointment of the Chief Executive and Chief Officers (except where the Chief Executive exercises delegated powers to appoint Non-Statutory Chief Officers (as defined in the Officer Employment Procedure Rules)).
2. To establish at the appropriate time two sub-committees to act as an investigating and disciplinary committee and an appeals committee in relation to disciplinary proceedings against the Head of Paid Service, the Monitoring Officer and the Chief Finance Officer.
3. To determine major changes to workforce strategy and major changes to terms and conditions.
4. To consider major Organisational Reviews.
5. To provide the Head of Paid Service, the Monitoring Officer and the Chief Finance Officer with such staff as are in the opinion of those officers sufficient to allow their statutory duties to be performed, where there is a specific statutory duty on the Council to make such provision.
6. To determine policies relating to local government pensions and discretionary compensation for early termination of employment.
7. To provide input, alongside the officers constituting the Employers' side of any Local Joint Committee with the relevant trade unions, in the event of a major dispute.
8. To make recommendations to Council in relation to the annual Pay Policy Statement, other statutory statements relating to staffing, and any amendments to such statements.
9. To make recommendations to Council in relation to decisions affecting the remuneration of any newly established or upwardly regraded post whose remuneration is or is proposed to be or would become £100,000 p.a. or more and in relation to proposed severance packages with a value of £100,000 or more.
10. To make recommendations to Council (or to the City Solicitor acting under delegated powers) in relation to the appointment of the senior coroner for the coroner area of Manchester (City) and to make recommendations to Council in accordance with the provisions of paragraph 15 of Schedule 3 to the Coroners and Justice Act 2009 in relation to agreeing the salary to be paid to the senior coroner or any area coroner where the salary is or is proposed to be or would become £100,000 p.a. or more.
11. Insofar as is compatible with any order made by the Lord Chancellor under Paragraph 2 of Schedule 3 to the Coroners and Justice Act 2009 to recommend whether the Council (or the City Solicitor acting under delegated powers) should appoint an area coroner for the coroner area of Manchester (City), and to recommend the number assistant coroners to be appointed by the Council (or the City Solicitor acting under delegated powers) for the coroner area of Manchester (City);

12. To make recommendations to the Council (or to the City Solicitor acting under delegated powers) in relation to:

- the salary to be paid to any area coroner (except in respect of where the salary is or is proposed to be or would become £100,000 p.a. or more, in which case any recommendation should instead be made to Council in accordance with delegation 9 above); and
- the amount of the fees to be paid to any assistant coroners,

in accordance with the provisions of paragraphs 16 and 17 of Schedule 3 to the Coroners and Justice Act 2009

Delegation

In exercising the above powers and responsibilities, the Committee shall have delegated power (subject to Council Procedure Rule 9 – Reference to Council of decisions taken under Delegated Powers) to make decisions on behalf of the Council, except in relation to delegations 8 - 12 or any matter where:

- (a) the Head of the Paid Service determines the matter should be considered by full Council, or
- (b) the Council has resolved to determine the matter.

Note: The Committee may itself determine not to exercise its delegated powers and instead make recommendations to Council.

HEALTH AND WELLBEING BOARD

1. To encourage, for the purpose of advancing the health and wellbeing of people in Manchester, persons who arrange for the provision of any health or social care services in Manchester to work in an integrated manner.
2. To provide such advice, assistance or other support as appropriate for the purpose of encouraging partnership arrangements under section 75 of the National Health Service Act 2006 between the Council and NHS bodies in connection with the provision of health and social care services.
3. To encourage persons who arrange for the provision of health-related services (i.e. services which are not health or social care services but which may have an effect on the health of individuals) to work closely with the Board and with persons providing health and social care services.
4. To exercise the functions of the Council and its partner Clinical Commissioning Groups in preparing a joint strategic needs assessment under section 116 of the Local Government and Public Involvement in Health Act 2007 and a joint health and wellbeing strategy under section 116A of that Act.
5. To give the Council the opinion of the Board on whether the Council is discharging its duty to have regard to the joint strategic needs assessment and joint health and wellbeing strategy in discharging the Council's functions.
6. To exercise such other Council functions which are delegated to the Board by the Council or the Leader.
7. To appoint such sub-committees or joint sub-committees as the Board considers appropriate.

SECTION F

Scheme of Delegation to Officers

CONTENTS

CHAPTER 1 – GENERAL

INTRODUCTION

GENERAL DELEGATIONS TO ALL CHIEF OFFICERS AND CHAPTER 3A OFFICERS

CHAPTER 2 – CHIEF OFFICERS

CHIEF EXECUTIVE

CITY SOLICITOR

DEPUTY CHIEF EXECUTIVE AND CITY TREASURER

STRATEGIC DIRECTOR (CHILDREN'S AND EDUCATION SERVICES)

EXECUTIVE DIRECTOR OF ADULT SOCIAL SERVICES

STRATEGIC DIRECTOR (GROWTH AND DEVELOPMENT)

STRATEGIC DIRECTOR (NEIGHBOURHOODS)

DIRECTOR OF POPULATION HEALTH AND WELLBEING

ASSISTANT CHIEF EXECUTIVE

CHAPTER 3A – OFFICERS

DIRECTOR OF HIGHWAYS

DIRECTOR OF HR AND OD

DIRECTOR OF ICT

DIRECTOR OF EDUCATION

DEPUTY CITY TREASURER

DIRECTOR OF PLANNING, BUILDING CONTROL AND LICENSING

CHAPTER 3B – OFFICERS

DIRECTOR OF CAPITAL PROGRAMMES

DIRECTOR OF DEVELOPMENT ~~AND CORPORATE ESTATE~~

~~HEAD OF WORK AND SKILLS~~ **DIRECTOR OF INCLUSIVE ECONOMY**

DIRECTOR OF COMMERCIAL AND OPERATIONS

HEAD OF ~~SERVICE~~ (COMPLIANCE, **ENFORCEMENT** AND COMMUNITY SAFETY)

PREVENT AND COMMUNITY COHESION COORDINATOR

CHAPTER 1

INTRODUCTION

1. Officers may only exercise the delegated powers in this Scheme in accordance with:
 - 1.1 statute or other legal requirements, including the principles of public law, the Human Rights Act 1998, statutory guidance and statutory codes of practice.
 - 1.2 the Constitution, the Council's Register of the Delegation of Executive Functions, Standing Orders and Financial Regulations of the Council.
 - 1.3 the revenue and capital budgets of the Council, subject to any variation thereof which is permitted by the Council's financial regulations.
2. Officers may not exercise delegated powers where -
 - 2.1. the matter is reserved to the Council by law or by the Council's Constitution.
 - 2.2. the matter is a function which cannot by law be discharged by an officer.
 - 2.3. the Council, a Committee or Sub-committee (in the case of a non-executive function), or the Leader or the Executive (in the case of an executive function), has determined that the matter should be discharged otherwise than by an officer.
 - 2.4. the relevant Executive Member or the Leader of the Council (in the case of an executive function) has directed that the matter should be referred to the Executive or the appropriate committee or sub-committee for determination.
 - 2.5. the Chief Executive has directed that the officer concerned should not exercise a delegated function in special circumstances.
3. Subject to 1 above, officers may not exercise delegated powers in a way contrary to the policies and plans approved by or on behalf of the Council.
4. Before exercising delegated powers, particularly on matters involving the reputation of the Council, officers should consider the advisability of consulting the Chief Executive or the appropriate Chief Officer and/or the appropriate Executive Member.
5. Before exercising delegated powers, on matters of significance to a particular ward or wards, officers should consult, where practicable, the relevant ward councillors.
6. The delegated powers of officers set out in this Scheme **(or elsewhere in Part 3 of this Constitution)** may be exercised by other officers authorised by the officer with the delegated power to act on their behalf and in their name, provided that those officers report directly or indirectly to the officer with the delegated power. The officer with the delegated power should ensure that administrative procedures are in place to record the authorisation and to record and monitor decisions taken by officers acting under the authorisation.

7. Any reference in this Scheme of Delegation to any enactment shall include a reference to any amendment to or re-enactment of the same.
8. For the purposes of this Scheme, except where expressly provided otherwise, the term "Chief Officer" will be defined as those officers defined as such in Part 8 of this Constitution. "Non-Statutory Chief Officer" shall have the same meaning as in the Officer Employment Procedure Rules in Part 4 Section F of this Constitution. "Chapter 3A Officer" means any of those officers included in Chapter 3A of this Scheme.
9. Where an officer has delegated authority to discharge functions by virtue of any other provision of this Constitution or a specific decision of the Council, a committee, a sub-committee (in the case of a non-executive function) or the Leader or the Executive (in the case of an executive function) whether made before or after the adoption of this Scheme, the absence of that delegation from this Scheme shall not be treated as preventing the exercise of that delegation.
10. Where an officer in exercise of delegated authority takes an Executive decision, a record of that decision must be made in accordance with Rule 22 of the Access to Information Procedure Rules in Part 4 Section B of this Constitution. In addition, where that Executive decision is also a "key decision" (as defined in Rule 15 of the Access to Information Procedure Rules) the officer must ensure that the particular publicity and procedural requirements relating to key decisions are met.

11.40A Where an officer in exercise of delegated authority takes a non-Executive decision, a record of that decision must be made in accordance with Rule 23 of the Access to Information Procedure Rules (where the non-Executive decision is of a type that is covered by that Rule).

12.41. Where, in relation to an item before the Council, the Executive, a Committee, or a Sub-Committee, an officer is given specific authority to determine a particular matter, the officer should ensure that there is an appropriate audit trail to evidence such determination.

13.42. Where an officer has delegated authority to discharge functions in consultation with an Executive member, in the absence of that Executive member the officer may discharge such function in consultation with the Leader instead (or in the Leader's absence, in cases of urgency, with the Deputy Leader).

14.43. Where the delegation provides for discharge of a function in consultation with the Leader, in the absence of the Leader the officer may discharge the function in case of urgency in consultation with the Deputy Leader instead.

15.44. Where an officer who is not a Chief Officer (as defined in Part 8 of this Constitution) has delegated authority to discharge a function ("the delegated function") by virtue of this Constitution, the relevant Chief Officer in relation to that officer or post may discharge the delegated function where that officer is unable to act, through absence or otherwise, or where the post with the delegated function is vacant or has been disestablished.

1615. The delegations in this Scheme of Delegation include the discharge of both executive and non-executive functions. |

1716. The Scheme describes particular functions as executive functions and non-executive functions for illustrative purposes only, and misattribution of a function as executive or non-executive shall not be treated as preventing the exercise of the delegation. |

GENERAL DELEGATIONS TO ALL CHIEF OFFICERS AND CHAPTER 3A OFFICERS

*The delegations below may only be exercised by **Chief Officers / Chapter 3A Officers** in connection with their own service area(s).*

General Functions

These functions can be either Executive or Non-Executive depending on the circumstances.

~~1. [...]~~

1A To be responsible for the management of their service area(s)

2. To determine officer and Member attendance at Conferences/Seminars/visits, including those held abroad (in the case of Member attendance, in consultation with the appropriate Chair or Executive Member).

~~3. [...]~~

34. Subject to any delegations to specific officers, the nomination, appointment and removal of representatives to serve on relevant outside organisations.

45. To determine (and agree increases in) fees and charges (where there is an express power to charge), in consultation with the appropriate Chair or Executive Member.

56. To reduce elements of fees and charges for individual events or items whereby such action will increase, overall, the income to the Council.

~~7. [...]~~

68. Service of statutory notices or orders and/or arranging for the execution of work consequent on non-compliance with such notices or orders in relation to aspects of their service in consultation where appropriate with the Chief Executive.

78A. To exercise the responsibilities assigned to the officer concerned under the Financial Regulations.

Non-Executive Functions

Financial

89. To agree payments not exceeding £1000 in cases of maladministration.

~~10. [...]~~

944. To approve financial assistance for private legal proceedings on behalf of employees, on the advice of the City Solicitor, where the police/Crown Prosecution Service have declined to prosecute in cases of violence to employees.

Human Resources

1012. Assignment of temporary posts which are for a period not exceeding 12 months.

1113. Filling of vacant posts within approved establishments except Chief Officer and Deputy Chief Officer posts.

1214. Establishment of additional posts and re-grading of existing posts:

(a) up to and including Grade 11;

(b) Grade 12 and over but below £100,000 salary, in consultation with the Director of HR and OD,

provided in all cases the proposals are within available budget and Grades to be assigned through the Council's Job Evaluation process.

1315. Agreement to employees progressing beyond the competency bar.

1416. In exceptional circumstances granting acceleration of increments for any staff within their substantive grade for merit and ability.

~~17. [...]~~

1518. Determination of extensions of sickness allowance up to a maximum of one year on full pay, in consultation with the Director of HR and OD.

~~19. [...]~~

1620. In exceptional circumstances determination of planned overtime for officers above Spinal Column Point 25, in consultation with the Director of HR and OD, provided the overtime payments can be accommodated within existing budgets.

1721. Determination of the payment of removal expenses, lodging allowances or travelling allowances.

1822. Determination of requests for the reimbursement of post-entry training and examination fees.

1923. Determination of proposals to attend training courses.

2024. Determination of proposals for the retention fees for trainers.

2125. Determination of staff grievances.

2226. Discipline, suspension and/or dismissal of employees.

2327. Authority to assimilate staff on appointment or regrading where appropriate within the approved grade in exceptional circumstances where agreed by the relevant Chief Officer on the basis of a clear business case and in accordance with the Council's Pay Policy Statement.

2427A. To determine market rate supplements for posts, in consultation with the Director of HR and OD and subject to review by Director of HR and OD after 12 months.

2527B. To agree requests or recommendations for honoraria (in consultation with the Director of HR and OD in the case of honoraria in respect of posts Grade 12 and over), subject to review by the Director of HR and OD for honoraria of more than 12 months' duration, provided in all cases the proposals are within available budget.

2627C. To approve the filling of vacant posts within approved establishments (except for Chief Officer and Deputy Chief Officer posts).

Miscellaneous

28. ~~[...]~~

2729. To require information as to interests in land under Section 16 of Local Government (Miscellaneous Provisions) Act 1976.

2830. To submit applications for deemed planning consent in respect of development by the Council.

Health and Safety at Work Functions

2934. Functions under any of the "relevant statutory provisions" within the meaning of Part 1 (health, safety and welfare in connection with work, and control of dangerous substances) of the Health and Safety at Work etc. Act 1974, to the extent that those functions are discharged otherwise than in the Council's capacity as an employer.

Executive Functions

Business Plan/Policy

3032. Implementation in conjunction with Heads of Service of Departmental Business Plans and delivery of service as defined therein within the annual cash limited net budget allocation identified to a particular service area within the overall approved Council budget.

Financial

3133. To accept tender(s) or bid(s) for the carrying out of works for the Council, the purchase, leasing or hiring of goods, materials and equipment by the Council, or the supply of services to the Council, where the estimated contract value does not exceed £250,000 or where it does exceed £250,000 with the agreement of the Deputy Chief Executive and City Treasurer and, **in the case of Chapter 3A Officers, the approval of the Chief Officer to whom the Chapter 3A Officer reports.** ~~the written approval of a member of the Council's Strategic Management Team, (as specified in Part 8 of the Constitution) provided i~~ In all cases that budget provision **must be** available.

3234. To enter into contract documentation following tender/bid acceptance (subject to the provisions of Financial Regulations relating to contract formalities and the execution of contracts under seal).

3335. To negotiate and agree price increases where a contract provides for price increases to be negotiated and agreed by the parties, subject to the agreement of the Deputy Chief Executive and City Treasurer.

3436. The assignment or novation of a contract.

3537. The approval of the appointment of or the acceptance of the tender of a sub-contractor or supplier for the specialist work or material provided that this does not result in the budget provision for the works as a whole being exceeded.

3638. Provided that authority to let a contract has been obtained: -

3638.1 Acceptance of the highest tender or bid for the award of concession/sponsorship contracts and the acceptance of other than the highest tender or bid with the agreement of the Chief Executive and the Deputy Chief Executive and City Treasurer.

3638.2 Entry into contract documentation following tender/bid acceptance (subject to the provisions of Financial Regulations relating to contract formalities and the execution of contracts under seal).

3739. To bid and tender for and enter into contract documentation for the supply of works, goods or services to other bodies where provided for in the relevant Business Plan (subject to the provisions of Financial Regulations relating to contract formalities and the execution of contracts under seal).

40.— [...]

3841. Subject to the agreement of the Deputy Chief Executive and City Treasurer: -

3841.1 the writing-off of debts which are irrecoverable or losses due to burglaries, etc.; and

3841.2 the writing-off or making adjustments in respect of deficiencies or surpluses of stock, equipment, etc.

3942. Provision of reasonable hospitality to representatives of other authorities visiting the Department or premises under the Chief Officer's / Chapter 3A Officer's control, subject to agreement by the Chief Executive for expenditure in excess of £500.

4043. To make grants and loans to voluntary and other organisations in accordance with such grant procedures in place at the time of making the grant.

4144. To vary the price of goods and services supplied, in accordance with changes in the cost incurred by the Council, in consultation with the Deputy Chief Executive and City Treasurer.

- 4245.** To negotiate and agree variations in contracts arising out of statutory requirements.
- 4346.** Determination of ex-gratia claims for damage to, or loss of, personal property subject to the approval of the City Solicitor for claims in excess of £1000.
- 4447.** To settle claims not exceeding £1000 in line with the Council's procedure for low value claims handling.
- 4548.** Disposal of surplus or obsolete equipment, (except vehicles) to the highest tenderer.

Land and Property

- 4649.** Management of land, property, accommodation and facilities held or used for a purpose within their remit.
- ~~50. [...]~~
- ~~51. [...]~~
- 4752.** Authorising the use of premises for political meetings (other than public election meetings held in accordance with candidates' rights under electoral legislation) but with a discretion for the Officer to refuse any such application, after consultation with the Leader of the Council, the Leader of the Opposition and the Chief Executive, where the Officer is of the opinion that a breach of the peace, injury to persons, damage to the Council's premises or equipment, is likely to arise should such a meeting take place.
- ~~53. [...]~~
- ~~54. [...]~~

Miscellaneous

- 4855.** Production of publications, relating to the service provided, for sale or free distribution to the public, subject to Section 2 of the Local Government Act 1986 and the Code of Recommended Practice on Local Authority Publicity.
- 4956.** Authorisation to statutory authorities to carry out essential works.
- ~~57. [...]~~
- 5058.** To respond to consultation papers relating to matters within the Officer's remit, subject to consultation with the appropriate Executive Member where the consultation raises policy issues and (where the matter has corporate significance) the Chief Executive.
- 5159.** To exercise the functions of the Council pursuant to Section 115 (disclosure of information to a relevant authority) of the Crime and Disorder Act 1998.

5260. To arrange for the determination of requests for information under the Freedom of Information Act 2000 and the Environmental Information Regulations 2004, including dealing with internal reviews and complaints, and the determination of requests to exercise individual data subject rights under data protection legislation and the determination of requests by third~~s~~ parties for disclosure of personal data, including where there is reliance on relevant exemptions set out in data protection legislation.

5361. To arrange for:

— [...]

— [...]

53.161.3 (subject to consultation with the City Solicitor) the entering into of Information Sharing and Data Processing Agreements; and

53.261.4 effective reporting of breaches of data protection legislation.

5462. Authorise the appointment of consultants and professional advisers.

5563. Power to authorise entry onto premises under relevant legislation in connection with the Council's functions and provide signed authorisation for such entry.

CHAPTER 2

CHIEF EXECUTIVE

The holder of the post of Chief Executive shall have overarching responsibility for the Council's paid service, with direct management responsibility for the senior management team, and without prejudice to the foregoing shall have power subject to compliance with the Constitution, Standing Orders, any relevant provisions of the Financial Regulations, and any legal requirements, to:

General Functions

These functions can be either Executive or Non-Executive depending on the circumstances.

1. Discharge any function of the Council or the Executive which has not been specifically delegated to another officer, committee or otherwise reserved by full Council or the Executive and may direct any officer not to exercise a delegated function in special circumstances except where that officer is required to do so by law.
2. Authorise officers to enter premises in exercise of specific statutory powers.
3. Take any action which is required as a matter of urgency in the interests of the Council, in consultation with the Leader if time permits.
4. Take preliminary steps to protect the rights and interests of the Council subject to consultation with the Leader in relation to any Bill or Statutory Instrument or Order in Parliament.
5. Nominate, appoint and remove, in consultation with the Leader, Council representatives on the board of companies, trusts and other bodies (including those companies, trusts and other bodies on which the Council has representatives who have been nominated by other bodies) and to agree constitutional arrangements for such companies, trusts and other bodies and give any necessary consent required within relevant constitutions.
6. Discharge any function that has been delegated to a Chief Officer (as defined in Part 8 of this Constitution), where that Chief Officer is unable to act, through absence or otherwise, or where the Chief Officer post with the delegated function is vacant or has been disestablished.

Non-Executive Functions

7. Discharge the following functions of the Council relating to parliamentary elections, local government elections and referenda:

- 7.1. Power to assign officers in relation to requisitions of the registration officer (Section 52(4) of the Representation of the People Act 1983)

~~7.2~~ [...]

- 7.~~32~~ Powers in respect of holding of elections (Section 39(4) of the Representation of the People Act 1983)
 - 7.~~43~~ Power to pay expenses properly incurred by electoral registration officers (Section 54 of the Representation of the People Act 1983)
 - 7.~~54~~ Power to fill vacancies in the event of insufficient nominations (Section 21 of the Representation of the People Act 1985)
 - 7.~~65~~ Duty to declare vacancy in office in certain cases (Section 86 of the Local Government Act 1972)
 - 7.~~76~~ Duty to give public notice of a casual vacancy (Section 87 of the Local Government Act 1972)
 - 7.~~87~~ Duties relating to publicity (Sections 35, 41 and 52 of the Local Government and Public Involvement in Health Act 2007)
 - 7.~~98~~ Duties relating to notice to the Local Government Boundary Commission for England (Sections 36 and 42 of the Local Government and Public Involvement in Health Act 2007)
8. To appoint Deputy Electoral Registration Officers.
 9. In consultation with the Leader, Executive Member with portfolio responsibility for ~~Finance and~~ Human Resources, Chair of Personnel Committee and relevant Portfolio Executive Member to appoint Non-Statutory Chief Officers in accordance with the Officer Employment Procedure Rules in Part 4 Section F of this Constitution.
 10. In consultation with the Deputy Chief Executive and City Treasurer, to administer the scheme of Members' allowances and to uprate such allowances annually in accordance with the formula agreed by the Council.
 11. Agree in consultation with the Leader, starting salaries (within the range determined by the Council) on appointment of Chief Officers and for any assimilations into revised grades if the Chief Officer's post is re-graded.
- ~~42.~~ [...]
- ~~43~~12. The making of arrangements with other local authorities for the placing of staff at the disposal of those other authorities.
 - ~~44~~13. Power to register common land or town or village greens, except where the power is exercisable solely for the purpose of giving effect to an exchange of lands effected by an order under Section 19(3) of, or paragraph 6(4) of Schedule 3 to, the Acquisition of Land Act 1981.
 - ~~45~~14. Power to register variation of rights of common.
 - ~~46~~15. To discharge the Council's functions relating to the registration of common land and town or village greens pursuant to Part 1 of the Commons Act 2006 and the Commons (Registration of Town or Village Greens) (Interim Arrangements) (England) Regulations 2007.

Executive Functions

Financial

- ~~17~~16.** Incur expenditure (including the making of grants and loans) up to £100,000 and above that level (up to £500,000) in consultation with the Leader, for the purposes of promoting or improving economic social or environment well-being of the City consistent with the Manchester Strategy ('Our Manchester').
- ~~18~~17.** Make all decisions relating to the reclaim of capital grants, cessation of revenue grants and variation to any legal agreement (including financial returns to the Council) in relation to the above expenditure.
- ~~19~~18.** Determine applications (in consultation with the Leader) for financial assistance for anti-deportation campaigns up to a maximum of £500.
- ~~20~~19.** Administer, following consultation with the Leader, the economic regeneration fund for the purposes of improving services, keeping and generating jobs, attracting visitors to the City or raising Manchester's national and international profile.
- ~~24~~20.** Be responsible for Capital Investment bids for the refurbishment of property, changes in office layout, replacement of lifts, heating and other plant.

Human Resources

- ~~22~~21.** Maintain the list of Senior Nominated Officers in connection with establishment arrangements for the protection of children.

~~23.~~ [...]

~~24.~~ [...]

~~25.~~ [...]

~~26.~~ [...]

~~27.~~ [...]

Manchester Airport.

- ~~28~~22.** Grant consent to the waiver of covenants or to variations to the Leases granted to Manchester Airport group of Companies where these are urgently required to enable the Company to carry out specific development projects.
- ~~29~~23.** Acquire land and property within the Airport's operational area on behalf of Manchester Airport group of Companies subject to no net cost to the Council being incurred and to the basis of the purchase and the provisional terms being approved by the Airport Board.

~~30~~24. Exercise any functions of the Council under the byelaws relating to Manchester Airport, including the authorisation of persons to act on behalf of the Council.

~~31. [...]~~

Miscellaneous

~~32~~25. Deal with emergencies and disasters and the carrying out of civil defence functions.

~~33~~26. Without prejudice to the specific delegations to other Council officers, to exercise a strategic overview of the Council's communications.

~~34~~27. Deal with issues relating to the Commission for Local Administration provided that: -

~~34~~27.1 Complaints involving Members shall be notified to the Member concerned and the Leaders of the majority and minority parties;

~~34~~27.2 Complaints involving the Chief Executive shall be undertaken by a Chief Officer nominated by the Leader;

~~34~~27.3 The Leader shall be consulted about statements to be published with the Local Commissioner.

~~35. [...]~~

~~36~~28. Determine arrangements for civic hospitality.

~~37~~29. Determine attendance at conferences where more than one service is involved in consultation with appropriate Executive Members, Chairs and Chief Officers / Chapter 3A Officers.

~~38. Not allocated.~~

~~39~~30. Attend and vote on behalf of the Council at any meeting of Manchester Airport Holdings Limited meetings, including meetings of any associated companies of Manchester Airport Holdings Limited and to nominate a member of staff to attend and vote where the Chief Executive is unable to do so.

~~40~~31. Attend and vote on behalf of the Council at meetings of any other company or organisation of which the Council is a member, and to nominate a member of staff to attend and vote where the Chief Executive is unable to do so.

~~41~~32. Following consultation with appropriate partners, to appoint (remove and hold to account) the Chairs of the Manchester Safeguarding Partnership and Manchester Safeguarding Adults Board.

~~42~~33. Subject to consultation with the City Solicitor to enter into Agreements in relation to any of the above matters.

The CHIEF EXECUTIVE is designated as the Council's HEAD OF PAID SERVICE for the purposes of Section 4 of the Local Government and Housing Act 1989. The duties of the Head of Paid Service are to report to the Council where necessary setting out proposals with respect to the co-ordination of the Council's functions, the number and grades of staff required and the organisation, appointment and proper management of the Council's staff.

The Chief Executive is also:

- a. The electoral registration officer.*
- b. The returning officer for the election of Councillors and Parish Councillors.*
- c. Responsible in consultation with the Council's monitoring officer for considering and determining applications for exemptions from political restriction;*
- d. The proper officer:*
 - i) responsible for the list of politically restricted posts;*
 - ii) (together with the City Solicitor) in respect of declarations of acceptance of office for the purpose of Section 83 of the Local Government Act 1972;*
 - iii) for the purpose of any enactment, unless the Council or this Constitution designates another officer for the purpose of a specific enactment.*

CITY SOLICITOR

The holder of the post of City Solicitor shall act as Solicitor to the Council and shall be responsible for the management of the City Solicitor's Division of the Corporate Core Directorate and without prejudice to the foregoing, shall have power subject to compliance with the Constitution, Standing Orders, any relevant provisions of the Financial Regulations, and any legal requirements, to:

General Functions

These functions can be either Executive or Non-Executive depending on the circumstances.

1. To institute, conduct, prosecute, defend and, if in the interests of the Council, to settle any legal proceedings or threatened legal proceedings on behalf of the Council, or where the Council considers it expedient for the protection or the promotion of the interests of the inhabitants of the City, subject to consultation with the Leader in any case where the Council is to appeal to the Court of Appeal or the Supreme Court.
2. To determine procedural arrangements for the issue of all consents, refusals, decisions and notices on behalf of the Council under statutory powers.
3. To determine applications made under the Council's Scheme of Allowances to employees injured in the course of their employment.
4. To approve the entering into of arrangements for the provision of legal services to third parties.

Non-Executive Functions

5. Make arrangements for reviews of the permanent exclusion of pupils.
6. Make arrangements for school admission appeals.
7. Make arrangements for appeals by governing bodies.
8. Approve premises for the solemnisation of marriages and civil partnerships.
9. Maintain a register of common land and a register of town or village greens.
10. Power to apply for an enforcement order against unlawful works on common land pursuant to Section 41 of the Commons Act 2006.
11. Power to protect unclaimed registered common land and unclaimed town or village greens against unlawful interference pursuant to Section 45(2)(a) of the Commons Act 2006.
12. Power to institute proceedings for offences in respect of unclaimed registered common land and unclaimed town or village greens pursuant to Section 45(2)(b) of the Commons Act 2006.

13. To designate an officer of the Council to discharge the functions of the Council's Scrutiny Officer under Section 9FB of the Local Government Act 2000.
14. To appoint (i) a Senior Coroner for the Manchester (City) Coroner area and (ii) area Coroner(s) or any Assistant Coroner(s) to the Manchester (City) Coroner area, in accordance with the Coroners and Justice Act 2009.
15. To appoint, in consultation with the Leaders of political groups represented on the Council, members of the independent remuneration panel established under regulation 20 of the Local Authorities (Members' Allowances) (England) Regulations 2003.

Ethical Standards

16. To act as the Council's proper officer to receive complaints that Council members have failed to comply with the Council's Code of Conduct for Members;
17. To determine, after consultation with the Independent Person, and in accordance with the Council's Arrangements for dealing with complaints that Council Members have failed to comply with the Council's Code of Conduct for Members ("the Council's Arrangements") including whether to reject, informally resolve or investigate a complaint or and where appropriate following an Investigating Officers report convene a hearing.
18. To issue guidance to be followed by an Investigating Officer on the investigation of complaints.
19. Independent Persons and Co-opted Independent Members
 - a. To make arrangements to advertise a vacancy, shortlist and make interview arrangements for recommendations to the Council on the appointment of:
 - i. Independent Persons and
 - ii. Co-Opted Independent Members.
20. Council's Register of Member's Interests
 - a. To prepare and maintain a Council Register of Member's Interests to comply with the requirements of the Localism Act 2011 and the Council's Code of Conduct for Members, and ensure that it is available for inspection and published on the Council's website as required by the Act.
 - b. To prepare and maintain a register of Member's interests for Ringway Parish Council to comply with the Localism Act 2011 and the Code of Conduct adopted by Ringway Parish Council and ensure that it is available for inspection as required by the Act.

21. Dispensations

To grant dispensations from Section 31(4) of the Localism Act 2011 if, having had regard to all relevant circumstances, the Monitoring Officer:-

- (i) considers that without the dispensation the number of persons prohibited by Section 31(4) of the Localism Act from participating in any particular business would be so great a proportion of the body transacting the business as to impede the transaction of the business; or
- (ii) considers that without the dispensation each member of the Council's Executive would be prohibited by Section 31(4) of the Localism Act from participating in any particular business to be transacted by the Council's Executive.
- (iii) considers that without the dispensation the representation of different political groups on the body transacting any particular business would be so upset as to alter the likely outcome of any vote relating to the business.

Executive Functions

- 22. Under Section 223 of the Local Government Act 1972 to authorise officers who are not admitted solicitors to appear in Magistrates' Court on behalf of the Council.
- 23. Under Section 60 of the County Courts Act 1984, to authorise officers of the Council to conduct actions on behalf of the Council in the County Court before a district judge in respect of: -
 - 23.1 the recovery of possession of houses and other property belonging to the Council;
 - 23.2 the recovery of any rent, mesne profits, damages or other sum claimed by the Council in respect of the occupation by any person of such a house.
- 24. To arrange for the discharge of the Council's functions relating to petitions.
- 25. To arrange for the discharge of the Council's functions relating to Local Land Charges.
- 26. To appoint a secretary to Local Access Forums and to provide administration and support to the same and its members.
- 27. Without prejudice to the generality of the above delegations: -
 - 27.1 To prosecute any person who places or maintains a notice deterring public use of Access Land under Section 14 of the Countryside and Rights of Way Act 2000.
 - 27.2 To prosecute contravention of byelaws in relation to Access Land.
 - 27.3 To defend appeals against notices served under Sections 36(3) and 37(1) of the Countryside and Rights of Way Act 2000.

- 27.4 To prosecute for obstruction of persons entering land pursuant to Section 40 of the Countryside and Rights of Way Act 2000.
28. To maintain a list of assets of community value and a list of land nominated by unsuccessful community nominations, pursuant to Part 5 (Chapter 3) of the Localism Act 2011 and to discharge any ancillary functions under that act in relation to the nomination and/or review of assets of community value.
29. To act as the Council's Senior Information Risk Owner and to oversee the Council's responsibilities under data protection legislation (including the General Data Protection Regulation and the Data Protection Act 2018), the Freedom of Information Act 2000 and the Environmental Information Regulations 2004.
30. To approve information governance policies and guidance, except for those specifically relating to Information and Communications Technology (ICT) matters.
31. To provide training to Members that is relevant to their role in the carrying out of 'Council business' (as defined in the Use of Council Resources Guidance for Members contained in Section C of Part 6 of this Constitution).
32. To control and co-ordinate press and media relations, the organisation of press conferences, publicity and public relations including the issue of official publicity and official publications.
33. To enter into Agreements in relation to any of the above matters.

The CITY SOLICITOR is designated as the Council's MONITORING OFFICER for the purposes of Section 5 of the Local Government and Housing Act 1989. The functions of the monitoring officer are listed in Article 12.3 in Part 2 of the Constitution and include:

- *Report on contraventions or likely contraventions of any enactment or rule of law.*
- *Report on any maladministration or injustice where the Ombudsman has carried out an investigation.*
- *Appointment of a Deputy.*
- *Power to delegate functions of the Monitoring Officer.*
- *Establish and maintain the Register of Members' interests.*
- *Maintain, monitor and review the Constitution (and to make minor or consequential changes to the Constitution in accordance with Article 15.2(a) of Part 2 of the Constitution).*
- *Support the Standards Committee.*
- *Promote and maintain high standards of conduct.*
- *Advise on whether executive decisions are within the Budget & Policy Framework.*
- *Provide advice on vices issues, maladministration, financial impropriety, probity, Budget and Policy Framework issues to all members.*

The City Solicitor is also:

- 1. Authorised to act as Solicitor to the Council.*
- 2. The Clerk to the Independent Education Appeals Panel and authorised to appoint lay members to serve on the Panel.*
- 3. The monitoring officer for Ringway Parish Council.*
- 4. The Senior Responsible Officer on behalf of the Council in relation to Part 1 of the Regulation of Investigatory Powers Act 2000.*
- 5. Responsible for approving and authorising officers to act as: -*
 - a. An Authorising Officer in relation to Part 2 of the Regulation of Investigatory Powers Act 2000, and*
 - b. A designated person and single point of contact in relation to Part 1 of the Regulation of Investigatory Powers Act 2000.*
- 6. The proper officer:*
 - a. for the purposes of Sections 225 (Deposit of Documents) and 229 (Certification of Photocopies) of the Local Government Act 1972.*
 - b. in respect of declarations of acceptance of office for the purpose of Section 83 of the Local Government Act 1972.*
 - c. for the Registration of Births, Deaths and Marriages or to nominate in writing another officer to be the Proper Officer.*
 - d. for Access to Information.*
- 7. The qualified person for the purposes of section 36 of the Freedom of Information Act 2000.*

DEPUTY CHIEF EXECUTIVE AND CITY TREASURER

The holder of the post of Deputy Chief Executive and City Treasurer shall be responsible for the management of the Corporate Core Directorate (except for the City Solicitor's Division) and without prejudice to the foregoing, shall have power subject to compliance with the Constitution, Standing Orders, any relevant provisions of the Financial Regulations and any legal requirements, to:

General Functions

These functions can be either Executive or Non-Executive depending on the circumstances.

1. To exercise the responsibilities assigned to the Deputy Chief Executive and City Treasurer in the Financial Regulations.
2. In consultation with the Executive Member with portfolio responsibility for Finance ~~and Human Resources~~, to determine the council tax base pursuant to the Local Government Finance Act 1992 and the Local Authorities (Calculation of Council Tax Base) (England) Regulations 2012.
3. In consultation with the Executive Member with portfolio responsibility for Finance ~~and Human Resources~~, to estimate any surplus or deficit with respect to council tax in the collection fund in respect of any financial year and to notify relevant precepting authorities accordingly.
4. In consultation with the Executive Member with portfolio responsibility for Finance ~~and Human Resources~~, to estimate any surplus or deficit with respect to business rates in the collection fund in respect of any financial year and to notify relevant precepting authorities accordingly.
5. In consultation with the Executive Member with portfolio responsibility for Finance ~~and Human Resources~~, to calculate the Council's business rate income for budget setting purposes, including the share of such income of central government and the Greater Manchester Combined Authority ("GMCA") and such other calculations in relation to business rate income as may be required by the Local Government Finance Acts 1988 and 2012 and any regulations made thereunder.
6. To approve Form NNDR1 and notify relevant business rate calculations to the Secretary of State and the GMCA.
7. To agree dates for payment of their respective shares of council tax with the GMCA and of business rates with central government, the GMCA the relevant major precepting authorities.
8. In consultation with the Executive Member with portfolio responsibility for Finance ~~and Human Resources~~ to determine whether the Council should be part of a business rate pooling arrangement with other local authorities.
- 8A9. To exercise a strategic overview of the Council's functions and services relating to environmental strategy and subject to consultation with the City Solicitor to enter into Agreements in relation to the same.

Non-Executive Functions

- ~~9~~**10**. Determine appeals against the decisions of officers to remove companies from approved lists of contractors in relation to the execution of works and the supply of goods and services.
- ~~40~~**11**. Subject to ~~44~~**12** and ~~43~~**14** below, to exercise the functions of the authority in relation to pensions.
- ~~44~~**12**. In consultation with the relevant Chief Officer, to determine applications for voluntary early retirement- (subject to consultation with the Executive Member with portfolio responsibility for Finance ~~and Human Resources~~ where applications for voluntary early retirement have a capital cost) and to determine discretionary payments/awards in connection with such applications in accordance with the Council's policy statement.
- ~~42~~**13**. In consultation with the Executive Member with portfolio responsibility for Finance ~~and Human Resources~~ and the City Solicitor, to determine requests for guarantees to the Greater Manchester Pension Fund from bodies entering into admission agreements with the Fund.
- ~~43~~**14**. Be the person specified to determine disputes in the first instance arising from the decisions of the Council as scheme employer under the Local Government Pension Scheme Regulations 2013.
- ~~14~~. [...]
15. Determine appropriate arrangements for the reimbursement of expenditure incurred by staff in the exercise of Council duties, including establishing petty cash accounts where this is the most appropriate arrangement.
16. To operate the assisted car / bicycle purchase scheme.
17. To discharge the functions of the "Responsible Financial Officer" under the Accounts and Audit Regulations 2015, including the requirement under Regulation 9(1) to sign and date the statement of accounts, and certify that it presents a true and fair view of the financial position of the Council at the end of the year to which it relates and of the Council's income and expenditure for that year.
18. To discharge the functions of the Council under the Accounts and Audit Regulations 2015 with the exception of Regulations 6(2), 9(2) and 20(1).
- ~~18A~~**19**. To consider grievances which cannot appropriately be resolved at departmental level.
- ~~18B~~. [...]

Executive Functions

Financial

- ~~19~~~~20~~. To raise loans and make investments (temporary investment of surplus monies and longer term investment of monies held for specific purposes) as and when required at appropriate rates.
- ~~20~~~~21~~. To enter into leasing agreements for items included within the approved capital or revenue budgets.
- ~~21~~~~22~~. To assess and administer the Council Tax support scheme and Housing and Education benefits.
- ~~22~~~~23~~. To determine requests for the award of discretionary housing payments in exceptional circumstances.
- ~~23~~~~24~~. To grant discretionary rate relief under Section 47 of the Local Government Finance Act 1988.
- ~~24~~~~25~~. To reduce or remit liability for rates under Section 49 of the Local Government Finance Act 1988.
- ~~25~~~~26~~. To reduce the liability of a person for council tax under Section 13A of the Local Government Finance Act 1992.
- ~~26~~~~27~~. To institute and conduct recovery proceedings (including winding up of companies) in relation to council tax, poll tax and national non-domestic rates.
- ~~27~~~~28~~. To nominate persons to appear in Courts of Law in connection with any proceedings for distress or other recovery action relating to the National Non-Domestic Rate and Council Tax.
- ~~28~~~~29~~. To operate the Home Loans scheme including mortgage advances, transfer of mortgages, sales of houses in possession and guarantees to building societies.
- ~~29~~~~30~~. To provide financial services, either on an agency basis or where required by statute for other authorities or bodies.
- ~~30~~~~31~~. To determine and declare local average rates in accordance with legislation and the interest rate for loans to housing associations.
- ~~31~~~~32~~. To organise (in consultation with the Leader, Executive Member **with portfolio responsibility** for Finance ~~and Human Resources~~ and the Chief Executive) the letting of capital contracts through MANSAT Developments Ltd; subject to: -
- schemes let to MANSAT already having approval in accordance with Financial Standing Regulation 4.7; and
 - the approval of the MANSAT Board of Directors to undertake the projects concerned.
- ~~32~~~~33~~. To sign certificates under the Local Government (Contracts) Act 1997.

- 3334.** To authorise persons to act for the Council at Company and creditors meetings.
- 3435.** In accordance with Section 38 of the Local Government Finance Act 1992, to publish within 21 days in the local newspaper notice of the amount of Council tax set by the authority.
- 3536.** To appoint, and determine the rate of remuneration, of any accountant employed by the Council concerning gaming, lotteries and amusements after consultation with a registered pool betting promoter or the occupier of a licensed betting track.
- 3637.** To be the officer nominated, or to nominate in writing another officer, as the person to receive disclosures of suspicious transactions for the purposes of the Proceeds of Crime Act 2002 and any regulations made thereunder.
- 3738.** To determine an amount (not exceeding the sterling equivalent of 15,000 euros) being the maximum sum which the Council will receive in cash without the express written consent of the Deputy Chief Executive and City Treasurer.
- 3839.** To determine (in consultation with the relevant Executive Member) whether to accept, reject or modify any expression of interest in providing a relevant service that is made to the Council under the Localism Act 2011 (Community Right to Challenge).
- 38A40.** To make amendments to the Council's Community Right to Challenge Procedure.
- 3941.** To authorise in consultation with the Executive Member **with portfolio responsibility** for Finance ~~and Human Resources~~, increases in capital expenditure by up to £500,000 per scheme, subject to external funding, capital receipts or revenue budget being available.
- 4042.** To exercise the Council's powers under the Prevention of Social Housing Fraud Act 2013.

VAT

- 4143.** Where appropriate, to elect for VAT status on particular land and property.

Facilities Management and Operational Estate Management

- 44. To discharge the functions of the Council relating to Building Cleaning, Depot Facilities Management, Public Conveniences, Security, Storage and Archiving and Town Hall Services (including security).**
- 45. Insofar as they relate to the Council's operational estate, dealing with all matters relating to the management of the Council's interests in land and property (excluding acquisition and disposal of such interests) and without prejudice to the general delegation of Chief Officers and Chapter 3A Officers in relation to the management of operational land and property or to the specific estate management functions delegated to the Strategic Director (Growth and Development).**

46. Determining appropriate arrangements in relation to the Council's duty to manage asbestos in non-domestic premises in accordance with Regulation 4 of The Control of Asbestos Regulations 2012, insofar as they relate to the Council's operational estate.

General

- 4247.** Power to authorise entry onto premises under Section 61 of the Public Health (Control of Disease) Act 1984 in connection with the Council's functions in relation to burial and cremation under Section 46 of the same Act and provide signed authorisation for such entry.
- 4348.** To institute proceedings in the Court of Protection in relation to financial Deputyship under the Mental Capacity Act 2005.
- 4449.** To pay compensation in lieu of wages to persons excluded from work on public health grounds.
- 4550.** To exercise the Council's functions in relation to the naming and numbering of streets and their renaming and renumbering, including the exercise of the Council's powers in relation to Street Numbers under Section 22 of the Greater Manchester Act 1981, in consultation with the Executive Member **with portfolio responsibility** for **Highways**~~Environment, Planning and Transport~~.
- 4651.** Except where the functions are non-executive, specifically delegated to other officers, delegated to the Greater Manchester Combined Authority or delegated to a joint committee, to discharge the Council's functions in relation to:
- i) On-street and off-street parking including without prejudice to the generality of the foregoing the administration of parking within the Council's remit and dealing with the misuse of permits and the badges on vehicles, included disabled person's badges;
 - ii) Parking and Bus lane enforcement (except those relating to appointment etc. of Adjudicators to be discharged by joint committee arrangements) and to approve and authorise officers to undertake the function of cancelling penalty Charge Notices;
 - iii) The removal and immobilisation of vehicles in accordance with the Vehicle Excise Duty (Immobilisation, Removal and Disposal of Vehicles) Regulations 1997 and to store and dispose of such vehicles;
 - iv) Dealing with abandoned vehicles and vehicles causing a nuisance and to take whatever action is required, including authorisation of entry onto land and premises;
 - v) Providing and maintaining parking spaces on the highway and regulate parking on grass verges, central reservations and footways; and
 - vi) Determining applications for operational car parking spaces in the Council's car parks and to reserve car parking spaces in off street car parks for exhibitions and other events, subject to capacity being available.

52. To discharge the functions of the Council as Billing Authority for the purposes of the Business Improvement Districts (England) Regulations 2004 and the Business Improvement Districts (Property Owners) (England) Regulations 2014.

- 4753.** Subject to consultation with the City Solicitor to enter into Agreements in relation to any of the above matters.

The DEPUTY CHIEF EXECUTIVE AND CITY TREASURER is designated as the Council's CHIEF FINANCE OFFICER for the purposes of Section 151 of the Local Government Act 1972. The functions of the chief finance officer are listed in Article 12.4 in Part 2 of the Constitution and include:

- 1. Responsibility for the administration of financial affairs under Section 151 Local Government Act 1972*
- 2. Exercise of the duties of the Chief Finance Officer under Section 114, 114A, 115 & 116 of the Local Government Finance Act 1988 and Section 25 of the Local Government Act 2003, namely ensuring lawfulness and financial prudence of decision-making.*
- 3. Appointment of a Deputy*

In the absence of the Deputy Chief Executive and City Treasurer, the above delegations may be exercised by the Deputy City Treasurer.

STRATEGIC DIRECTOR (CHILDREN'S AND EDUCATION SERVICES)

The holder of the post of Strategic Director (Children's and Education Services) is the statutory officer (referred to as the Director of Children's Services) for the purposes of Section 18 of the Children Act 2004 and shall be responsible for the management of the Children's and Education Services Directorate in accordance with that section and without prejudice to the foregoing, shall have the powers set out below subject to compliance with the Constitution, Standing Orders, any relevant provisions of the Financial Regulations and any legal requirements.

Executive Functions

1. To discharge the education functions (as defined by Section 18(10) of the Children Act 2004) conferred on or exercisable by the Council.
2. To discharge the Social Services functions (as defined by Section 1A of the Local Authority Social Services Act 1970) conferred on or exercisable by the Council in so far as those functions relate to children.

Without prejudice to the generality of the above insofar as the functions relate to children and (as defined by statutory guidance) young people:

3. To discharge the functions conferred on the authority under Sections 23B to 24D of the Children Act 1989 (so far as not falling within paragraph 2 above).
- ~~3A~~4. To be accountable for discharging the Council's functions in relation to Safeguarding Children.
- ~~4~~5. To discharge the functions conferred on the authority under Sections 10 to 12, 12C 12D, and 17A of the Children Act 2004.
- ~~5~~6. To discharge any function exercisable by the authority under Section 75 of the National Health Service Act 2006 on behalf of an NHS body, so far as those functions relate to children.
- ~~6~~7. To discharge the functions conferred on the authority under Part 1 of the Childcare Act 2006.
- ~~7~~8. To discharge the functions of the authority in relation to Early Years, youth justice and youth offending services.
- ~~8~~9. To exercise the functions of the authority under the Safeguarding Vulnerable Groups Act 2006, so far as those functions relate to children.
- ~~9. [...]~~
10. To discharge the functions of the authority in relation to parenting contracts and parenting orders in connection with anti social behaviour under Part 3 of the Anti-social Behaviour Act 2003, as amended.

11. To discharge the functions of the authority relating to children and (as defined by statutory guidance) young people under the following enactments (except those specifically delegated to the Director of Education):

Local Authority Social Services Act 1970
 Education Act 1973
 Children Act 1989
 Education Act 1980
 Education (Fees and Awards) Act 1983
 Mental Health Act 1983
 Further Education Act 1985 (except Sections 4 and 5)
 Education Act 1986
 Education Reform Act 1988
 Further and Higher Education Act 1992
 Education Act 1994
 Education Act 1996
 Family Law Act 1996
 Education Act 1997
 School Standards and Framework Act 1998
 Teaching and Higher Education Act 1998
 Local Government Act 2000
 Adoption and Children Act 2002
 Education Act 2002
 Female Genital Mutilation Act 2003
 Higher Education Act 2004
 Education Act 2005
 Mental Capacity Act 2005
 Child Care Act 2006
 Children and Adoption Act 2006
 Education and Inspections Act 2006
 Forced Marriage (Civil Protection) Act 2007
 Children and Young Persons Act 2008
 Borders, Citizenship and Immigration Act 2009
 Coroners and Justice Act 2009
 Apprenticeship, Skills, Children and Learning Act 2009
 Children, Schools and Families Act 2010
 Education Act 2011
 Care Act 2014
 Children (Performance and Activities) (England) Regulations 2014
 Children and Families Act 2014
 Anti-social Behaviour, Crime and Policing Act 2014
 Criminal Justice and Court Act 2015
 Childcare Act 2016
 Children and Social Work Act 2017

Financial

12. To grant or refuse financial assistance to students and pupils in accordance with statutory regulations.

~~13. Not allocated.~~

Human Resources

- 4413.** To arrange the secondment of Teachers and other Education Service staff to promote the provision of an efficient and effective Education Service.
- 4514.** To appoint Representatives to serve on Joint Committees of Recommendation in accordance with Articles of Government in consultation with the Executive Member **designated as the Lead Member** for Children's Services.
- 4615.** To determine recommendations of Governing Bodies/the Council's Joint Committees with education functions for the appointment of teaching staff.

Miscellaneous – Education

- 4716.** To authorise the closure of school premises for the purposes of Local and National Elections and referenda.
- 4817.** To exercise the functions of the Council pursuant to Sections 16 and 115 of the Crime and Disorder Act 1998 (removal of truants and disclosure of information to a relevant authority).
- 4918.** To nominate and remove the Council's representatives on Governing Bodies.
- 20. [...]**
- 2419.** To exercise powers in respect of activities on playgrounds, playing fields and sports complexes under Section 163 of the Greater Manchester Act 1981.

Miscellaneous – Children's Social Care

- 2220.** To pay legal fees for adopters where legal representation is necessary (and where there is no possibility of public funding being allowed) and in appropriate circumstances the payment of medical fees in connection with adoption.
- 2321.** To pay reasonable legal expenses incurred in relation to applications for Special Guardianship and Child Arrangements Orders in accordance with relevant legislation, guidance and policy and where there is no possibility of public funding being allowed.
- 2422.** To provide financial support to holders of Special Guardianship and Child Arrangements Orders in accordance with relevant legislation.
- 2523.** To act as the next friend of any child in care with regards to insurance claims.
- 2624.** To effect a change of name by deed poll of a child in care.
- 2725.** To impose requirements to prohibit the accommodating of foster children at specified addresses.

~~28~~26. To authorise the placement and cost thereof of older children under the inter-agency placement scheme established by the Association of British Adoption and Fostering Agencies on the Adoption Resource Exchange. |

~~29~~27. To decide upon recommendations of Adoption and Fostering Panels. |

~~30~~28. To take any action urgently required to protect the interests of any child in need under the Children Act 1989. |

~~34~~29. To act as Authorised Officer under Children's and Adoption Legislation. |

~~32~~30. To commission services to house and support families under the Children Act. |

Miscellaneous

~~33~~31. Subject to consultation with the City Solicitor to enter into Agreements in relation to any of the above matters. |

EXECUTIVE DIRECTOR OF ADULT SOCIAL SERVICES

The holder of the post of Executive Director of Adult Social Services is the Director of Adult Social Services for the purposes of Section 6 of the Local Authority Social Services Act 1970 as amended and shall be responsible for the management of the Adult Social Services Directorate and without prejudice to the foregoing, shall have the powers set out below subject to compliance with the Constitution, Standing Orders, any relevant provisions of the Financial Regulations and any legal requirements.

Executive Functions

Social Services

1. To discharge the Social Services functions of the Authority as defined in Section 1A of the Local Authority Social Services Act 1970 as amended from time to time other than those functions for which the Director of Children's Services is responsible under Section 18 of the Children Act 2004.
2. Without prejudice to the generality of the aforesaid, such functions include but are not limited to powers of the Social Services authority under the following legislation: -
 - National Assistance Act 1948
 - Disabled Persons (Employment) Act 1958
 - Mental Health Act 1959
 - Health Services & Public Health Act 1968
 - Chronically Sick and Disabled Persons Act 1970
 - Supplementary Benefits Act 1976
 - Mental Health Act 1983
 - Health & Social Services & Social Security Adjudications Act 1983
 - Public Health (Control of Disease) Act 1984
 - Housing Act 1996
 - Disabled Persons (Services, Consultation & Representation) Act 1986
 - National Health Service & Community Care Act 1990
 - Carers (Recognition & Services) Act 1995
 - Community Care (Direct Payments) Act 1996
 - Local Government Act 2000
 - Health and Social Care Act 2001
 - Nationality, Immigration and Asylum Act 2002
 - Community Care (Delayed Discharges etc) Act 2003
 - Health & Social Care (Community Health & Standards) Act 2003
 - Carers (Equal Opportunities) Act 2004
 - Mental Capacity Act 2005
 - Health and Social Care Act 2012
 - Mental Health (Amendment) Act 1982
 - Equality Act 2010
 - Care Act 2014
3. Without prejudice to the generality of 1 and 2 above to be accountable for discharging the functions of the Council in relation to Safeguarding Adults.

Housing

4. Without prejudice to the generality of 1 and 2 and 3 above to exercise the functions of a Local Housing Authority pursuant to:-
- Section 213(1)(b) Part VII of the Housing Act 1996 concerning co-operation between the Council as a Social Services Authority and housing authorities (Homelessness)
 - Part 1 Chapter 1 of the Housing Grants and Construction and Regeneration Act 1996 (Disabled facilities grants) and any General Consents given by the Secretary of State.
- ~~5. To authorise the City Solicitor to issue notices to quit and commence proceedings for possession of any tenancy or licence granted by the Council pursuant to any function under Part VII of the Housing Act 1996.~~
- ~~65.~~ To manage funds and commission services to support people in residential accommodation.

Financial

- ~~76.~~ To set fees for rates of maintenance at homes operated by voluntary and private organisations in consultation with the Deputy Chief Executive and City Treasurer.
- ~~8 Not allocated~~
- ~~97.~~ To agree payments for adaptations to carers' property to facilitate placements.

Miscellaneous

- ~~408.~~ To authorise the exercise of all powers of entry vested in the Council as Social Services Authority or Local Housing Authority.
- ~~449.~~ To authorise the approval of Approved Mental Health Professionals (AMHP) under the Mental Health Act 1983 (as amended by the Mental Health Act 2007).
- ~~4210.~~ To administer grants made by the Manchester Immigration Needs Trust.
- ~~4311.~~ To exercise the functions of the Council pursuant to the Forced Marriage (Civil Protection) Act 2007, except insofar as those functions relate to children.
- ~~4412.~~ To exercise the functions of the Council under the Safeguarding Vulnerable Groups Act 2006, except where such functions relate to children.
- ~~4513.~~ To discharge any functions exercisable by the authority under Section 75 of the National Health Service Act 2006 on behalf of an NHS body, so far as those functions relate to adults.
- ~~4614.~~ To exercise the functions of the Council in respect of domestic homicide reviews established and conducted under Section 9 of the Domestic Violence, Crime and

Victims Act 2004 in partnership with the Strategic Director (Neighbourhoods) and the Greater Manchester Community Safety Partnership (CSP).

Public Health

- | ~~47~~15. Without prejudice to the specific delegations to the Director of Population Health and Wellbeing to maintain a strategic overview of the discharge of the Council's functions in relation to public health under the Health and Social Care Act 2012 and other legislation.
- | ~~48~~16. To discharge the Supervisory Body (SB) function of the Council for the Deprivation of Liberty safeguards (DOLS) in hospitals and care homes, pursuant to Schedule A1 of the Mental Capacity Act 2005 and the Deprivation of Liberty Code of Practice.

Miscellaneous

- | ~~49~~17. Subject to consultation with the City Solicitor to enter into Agreements in relation to any of the above matters.

STRATEGIC DIRECTOR (GROWTH AND DEVELOPMENT)

The holder of the post of Strategic Director (Growth and Development) shall be responsible for the management of the Growth and Development Directorate, ~~including Housing Services and the formulation and review of Housing policy, the discharge the functions of the Council relating to Building Cleaning, Depot Facilities Management, Public Conveniences, Security, Storage and Archiving and Town Hall Services (including security)~~ and without prejudice to the foregoing, shall have the powers set out below subject to compliance with the Constitution, Standing Orders, any relevant provisions of the Financial Regulations and any legal requirements:

General Functions

These functions can be either Executive or Non-Executive depending on the circumstances:

- ~~A11~~. Without prejudice to the specific delegations of the Director of Planning, Building Control and Licensing, to exercise a strategic overview of the Council's functions and services relating to licensing.
- ~~B12~~. In consultation with the Director of Planning, Building Control and Licensing, to discharge the functions of the Council as Local Planning Authority in relation to preparing and maintaining Local Development Plans under Part 2 of the Planning and Compulsory Purchase Act 2004 as amended, except when the matter is:
 - reserved to full Council or the Executive by statute or this Constitution; or
 - delegated exclusively to the Planning and Highways Committee; or
 - delegated to the Joint Committee for the Joint Waste Development Plan Document; or
 - the Council, the Executive or the Planning and Highways Committee or this Constitution has expressly limited the extent of this delegation.
- ~~C13~~. To exercise a strategic overview of the Council's functions and services relating to private sector housing and regeneration.
- ~~D14~~. At the request of the Chief Executive, to exercise any power delegated to the Chief Executive relating to economic development and regeneration.

Executive Functions

- ~~45~~. To exercise the functions of the Council relating to caravan sites (such functions to include the exercise of the powers of the Council conferred by Sections 77 to 80 of the Criminal Justice and Public Order Act 1994).
- ~~26~~. To exercise the power conferred by the Countryside and Rights of Way Act 2000 on owners of, or persons having an interest in, access land or land proposed to be access land, in consultation with the Chief Officer or Chapter 3A Officer within whose remit management of the relevant land lies.
- ~~37~~. Where a disposal of open space within the meaning of the Open Spaces Act 1906 is in prospect, to instruct the City Solicitor to advertise in accordance with Section 123 of

the Local Government Act 1972 or Section 233 of the Town and Country Planning Act 1990.

- 48.** To accept any offer or tender and agree and arrange for, the disposal of land or property and other interests in land or property provided the offer or tender is in the opinion of the Director of Development ~~and Corporate Estate~~ the best consideration that can reasonably be obtained, subject to the consent of the Executive in the case of disposals of the freehold (other than freehold disposals for the purposes of the economic well-being of the area, or plots of land of no strategic importance and incapable of being developed on their own, or where the Leasehold Reform Act 1967 will eventually require a freehold sale, in all of which cases the consent of the Executive is not required).
- 59.** To agree terms for the sale of land and property where the Council's property is the subject of compulsory purchase by another body.
- 5A10.** Dedicate where appropriate Council owned land as a highway.
- 5B11.** Approve development briefs in consultation with the Leader and other relevant Executive Members.

Estate Management

- 612.** To deal with all matters relating to the management of the Council's interests in land and property without prejudice to the general delegation of Chief Officers and Chapter 3A Officers in relation to the management of operational land and property or to the specific estate management functions delegated to the Deputy Chief Executive and City Treasurer in relation to the management of the Council's operational estate and to the Strategic Director (Neighbourhoods) in relation to the management of property acquired or held for the purpose of fulfilling the functions of the Council as Local Housing Authority.
- 713.** To determine appropriate arrangements in relation to the Council's duty to manage asbestos in non-domestic premises in accordance with Regulation 4 of The Control of Asbestos Regulations 2012, without prejudice to the corresponding delegation to the Deputy Chief Executive and City Treasurer in relation to the Council's operational estate.

Acquisitions

- 814.** To acquire by agreement or under a compulsory purchase order, land and property (including freehold chief rents) subject always to the purchase price, or the purchase price when added to other transactions in respect of the same project, being within the estimate of costs included in the capital programme.
- 915.** To agree to the acquisition and renewal of leases of land and property at a rack rent where no credit cover is required for the lease under the capital finance legislation and where provision for the rent is available in the revenue budget.
- 4016.** To agree to the acquisition of properties which the Council is under a legal obligation to acquire under Town and Country Planning legislation.

~~44~~**17**. To accept the surrender of a leasehold interest in land and property where such surrender will lead to a further letting or disposal of the land or property.

~~42~~**18**. To acquire land in the interests of economic development, by way of exchanging interests where the land acquired is equal to or less in value than that exchanged (premium also required where less) and the land acquired is no more onerous in terms of title and physical condition than that exchanged.

Appropriations

~~43~~**19**. To discharge the functions of the Council in relation to the appropriation of land, in consultation with the relevant Chief Officers, including (where it is proposed to appropriate open space) to instruct the City Solicitor to advertise in accordance with Section 122 of the Local Government Act 1972, and / or Section 232 of the Town and Country Planning Act 1990.

Housing

~~14. Discharge the functions of the Council as a Local Housing Authority (within the meaning of Section 1 of the Housing Act 1985) except those housing functions specifically delegated to the Strategic Director (Neighbourhoods) or the Executive Director of Adult Social Services.~~

~~Without prejudice to the generality of 14 above to:~~

~~15. Manage the Council's interest in any land and property acquired or held for the purpose of fulfilling the functions of the Council as Local Housing Authority, except the authorisation of common law notices to quit, and other notices required to terminate a tenancy which shall be exercised by the City Solicitor.~~

~~16. Exercise the functions of the Council in recovering money due to the Council as Local Housing Authority, as a result of the exercise of functions under 15 above.~~

~~17. To exercise the functions of the Council under Section 22 of the Housing Act 1996 (promotion of and assistance to Registered Social Landlords).~~

~~48~~**20**. To monitor and review the policy adopted pursuant to the Regulatory Reform (Housing Assistance) (England and Wales) Order 2002 (home improvement and relocation assistance).

~~49~~**21**. In consultation with the Executive Member with portfolio responsibility for Housing Management and Regeneration, to make minor amendments to the Housing Allocation Scheme under Section 166A of the Housing Act 1996.

Miscellaneous

~~20~~**22**. To review decisions made to include land in the Council's list of assets of community value under Section 92 of the Localism Act 2011 in consultation with the Deputy Leader (provided the Deputy Leader has not taken part in the decision under review in which case an alternative member of the Executive will be consulted).

| ~~24~~23. Subject to consultation with the City Solicitor, to enter into Agreements in relation to any of the above matters.

STRATEGIC DIRECTOR (NEIGHBOURHOODS)

The holder of the post of Strategic Director (Neighbourhoods) shall be responsible for the management of the Neighbourhoods Directorate ~~including discharging the functions of the Council relating to Bereavement Services, Catering Services, Manchester Markets, Manchester Fayre and Pest Control~~ and without prejudice to the foregoing, shall have the powers set out below subject to compliance with the Constitution, Standing Orders, and any relevant provisions of the Financial Regulations and any legal requirements.

GENERAL FUNCTIONS

These functions can either be Executive or Non-Executive depending on the circumstances:

1. To support and assist the Chief Executive in their responsibilities in relation to civil contingencies, emergencies and disasters, and to take day to day responsibility for such matters.
- ~~1A2.~~ To co-ordinate all sponsorship and advertising activity for the Council.
- ~~23.~~ To exercise the functions of the Council in relation to environmental health, waste and environmental protection, pollution control, contaminated land, private sector housing, street scene services (including grounds maintenance), trees (except trees on or affecting highways), health and safety, food **hygiene and food standards**~~safety~~, animal welfare, consumer protection, trading standards and weights and measures.
- ~~34.~~ To exercise the functions of the Council relating to the enforcement of all relevant legislation relating to the registration and licensing functions within the remit of the Neighbourhoods Directorate or the Growth and Development Directorate (other than private hire and hackney carriage) including the authorisation of officers to enter on to land and premises to carry out their duties.
- ~~3A5.~~ Without prejudice to the specific delegations of the Director of Highways, to exercise a strategic overview of the Council's functions and services relating to highways, traffic, environmental health, environmental services, waste, environmental protection, pollution control, trading standards, street scene services (including grounds maintenance), sports and recreational facilities, sports development, parks and recreational open spaces and community and cultural services, including art galleries, museums, libraries and theatres.
- ~~4. To discharge the Council's functions as a "responsible authority" as defined by Section 13(4) (za) of the Licensing Act 2003.~~
- ~~5. To discharge the Council's functions as a "responsible authority" as defined by Section 157(a) of the Gambling Act 2005.~~
- ~~6. [...]~~
- ~~76.~~ To take any urgent action, that is not otherwise delegated to the Strategic Director (Neighbourhoods), in connection with the functional areas set out below after consultation with the appropriate Chair or Executive Member, subject to any such

action taken under this power being reported to the next ordinary meeting of the Executive or appropriate committee.

Art Galleries and Museums

- 7A. To discharge the functions of the Council in relation to Art Galleries and Museums and to oversee the management of the City Galleries Service.

Without prejudice to the generality of the above:

- ~~7B~~8. To approve the purchase of works of art using monies from the Art Fund up to a maximum of £25,000 in consultation with the Executive Member with portfolio responsibility for Cultural Strategy~~Schools, Culture and Leisure~~.
- ~~7C~~9. To determine grants, contributions, subscriptions or loans in appropriate cases to bodies or organisations for the provision of artistic activities in the City.
- ~~7D~~10. To maintain war memorials and public statues.

NON-EXECUTIVE FUNCTIONS

- ~~7E~~11. To exercise the functions of the Council in relation to Manchester Art Gallery under Section 149 of the Greater Manchester Act 1981 ("the Local Act") (with the exception of Section 149(3) of the Local Act, which in the case of the proposed sale or exchange of any works or objects of art worth more than £25,000 shall be approved by the Art Galleries Committee).

Environmental Health

- ~~8~~12. The inspection of the Authority's area to detect any statutory nuisance under Section 79 of the Environmental Protection Act 1990.
- ~~9~~13. The investigation of any complaint as to the existence of a statutory nuisance under Section 79 of the Environmental Protection Act 1990.
- ~~10~~14. The service of an abatement notice in respect of a statutory nuisance under Section 80 of the Environmental Protection Act 1990.
- ~~11~~15. Duty to enforce Chapter 1 of the Health Act 2006 (Smoke-Free Premises, Places and Vehicles) and regulations made under it pursuant to Section 10(3) of the Health Act 2006.
- ~~12~~16. Power to authorise officers to act in matters arising under Chapter 1 of the Health Act 2006 (Smoke-Free Premises, Places and Vehicles) and regulations made under it pursuant to Section 10(5) of the Health Act 2006.
- ~~13~~17. To exercise the Council's functions relating to fixed penalty notices pursuant to Paragraphs 13, 15 and 16 of Schedule 1 to the Health Act 2006 and the Smoke-Free (Vehicle Operators and Penalty Notices) Regulations 2007.

- 1418.** Power to transfer enforcement functions to another enforcement authority pursuant to the Smoke-free (Premises and Enforcement) Regulations 2006.
- 1519.** To exercise the Council's powers to give notice to reduce the emission of dust etc. from building operations under Section 34 of the Greater Manchester Act 1981 ("the Local Act").
- 1620.** To exercise the Council's powers to order reduction of gas, vapour or fumes coming from chimneys under Section 36 of the Local Act.
- 1721.** To exercise the Council's powers to require the owner to provide adequate means of lighting in habitable rooms under Section 48 of the Local Act.
- 1822.** To exercise the Council's powers in relation to trees impeding natural light to houses, shops and offices under Section 49 of the Local Act.
- 1923.** Power to register and license premises for the preparation of food under Section 19 of the Food Safety Act 1990.

Markets

- 2024.** To require information to allow the Council to discharge its duties and powers in relation to markets.
- 2125.** To enter into a composition with any person with respect to Payment of Tolls under Section 117 of the Local Act.
- 2226.** To permit any market place or land used for market purposes to be used for public meetings, public services, exhibitions or entertainment purposes etc. under Section 119 of the Local Act (excluding any property matters which are to be exercised by the Strategic Director (Growth and Development)).

Highways

- 2327.** Powers relating to the removal of things so deposited on highways as to be a nuisance under Section 149 of the Highways Act 1980 ("the 1980 Act").
- 2428.** Power in relation to enforcement in relation to the making of openings into cellars etc. under streets, and pavement lights and ventilators under Section 180 of the 1980 Act.
- 2529.** Power in relation to enforcement regarding the deposit of builders' skips on highway under Section 139 of the 1980 Act.
- ~~25A. To consider and determine, in consultation with the Executive Member for Environment, Planning and Transport, objections and representations in respect of the proposed granting of licences under Section 115E of the Highways Act 1980.~~
- 25B30.** To consider and determine, in consultation with the Executive Member with portfolio responsibility for Highways~~the Environment, Planning and Transport,~~

objections and representations of proposed Orders concerning pay and display car parks.

Licensing

~~25C. To discharge the functions of the Council in relation to the suspension of licences under Section 55A (premises licence) and Section 92A (club premises licence) of the Licensing Act 2003.~~

Miscellaneous

~~26~~**31**. To exercise the powers of the Council to take enforcement action in relation to street trading under the Local Government (Miscellaneous Provisions) Act 1982 and the Manchester City Council Act 2010.

~~27~~**32**. To exercise powers in relation to the repair of walls etc. of yards under Section 43 of the Local Act.

~~28~~**33**. Power (subject to consultation with the Director of Planning, Building Control and Licensing) to require proper maintenance of land under Section 215(1) of the Town and Country Planning Act 1990 and to authorise the City Solicitor to prepare and serve notices under Section 215.

~~29. [...]~~

~~30~~**34**. To licence market trading under Part III of, and Schedule 4, to the Local Government (Miscellaneous Provisions) Act 1982.

~~34~~**35**. To discharge the Council's functions in relation to the prohibition of interference with bird traps under Section 53 of the Local Act.

EXECUTIVE FUNCTIONS

Crime and Disorder Strategy

~~32~~**36**. To implement the Crime and Disorder Strategy and to discharge or arrange for the discharge of the Council's functions in relation to reduction of crime and disorder and antisocial behaviour.

Without prejudice to the generality of the above:

~~33~~**37**. To discharge the functions of the Council under the Anti-~~Ss~~**social Behaviour**, Crime and Policing Act 2014 and specifically in relation to anti-social behaviour injunctions (and supervision and detention orders) ~~criminal behaviour orders~~, community protection notices, public spaces protection orders (in consultation with the Deputy Leader when considering and determining objections and representations in respect of proposed public spaces protection orders affecting highways), closure notices and closure orders under that Act.

38. To discharge the functions of the Council under the Sentencing Act 2020 in relation to criminal behaviour orders.

33A39. To be accountable for discharging the Council's functions in relation to domestic violence, the Manchester Multi Agency Risk Conference (MARAC) and the Greater Manchester Multi Agency Public Protection Arrangements (MAPPA).

3440. To discharge the functions of the Council in relation to Mediation Services.

Environmental Health

3541. To exercise the functions of the Council under Part II of the Environmental Protection Act 1990.

3642. To serve statutory notices in respect of the removal of waste from land.

3743. To discharge the functions of the Council in relation to the control of waste and litter generally and including under Section 2 of the Refuse Disposal (Amenity) Act 1978, Section 33(1)(a) of the Environmental Protection Act 1990 and Section 87 of the Environmental Protection Act 1990.

3844. To serve statutory notices in respect of the removal of litter from land.

3945. To exercise the Council's powers in relation to the control of the carriage and storage of waste food under Section 35 of the Local Act.

4046. To deal with applications relating to the control of noise on construction etc., sites.

4147. To exercise powers relating to the prior approval of new furnaces and to the height of chimneys.

4248. To exercise powers and regulations made relating to the control and measurement of grit and dust.

4349. To take action necessary for the removal of asbestos.

4450. To discharge the functions of the Council under the Environmental Permitting (England and Wales) Regulations 2016.

4551. To exercise the Council's functions in relation to Environmental Health, and as food authority under EU Food Hygiene Regulations **(as incorporated into UK law)**, the Food Safety Act 1990 and the Food Safety and Hygiene (England) Regulations 2013.

Without prejudice to the generality of the above:

4652. To exercise the Council's functions in relation to the grant of approvals to establishments handling, preparing or producing products of animal origin for which requirements are laid down in Regulation (EC) 853/2004.

4753. To requisition information in connection with environmental health functions generally and including under Section 93 of the Control of Pollution Act 1974, Section

19 of the Environmental Protection Act 1990 and Section 108 of the Environment Act 1995.

4854. To exercise the Council's functions under The Cattle Identification Regulations 2007.

4955. To exercise the Council's functions under the Pigs (Records, Identification and Movement) Order 2011.

5056. To exercise the Council's functions under the Animal By-Products (Enforcement) (England) Regulations 20**1311**.

5157. Powers of entry under Section 38 of the Local Act for enforcement under Prevention of Damage by Pests Act 1949.

5258. To approve the Council's Annual Food **Safety Service** Plan in consultation with the Executive Member **with portfolio responsibility** for **Environmental Health Enforcement**~~Neighbourhoods~~.

5359. Save where functions are reserved in legislation or by Regulations to the Director of Population Health and Wellbeing to exercise public health enforcement functions and powers, including in relation to the:

- a. Public Health (Control of Disease) Act 1984 and Regulations made thereunder
- b. Public Health (Ships) Regulations 1979
- c. Public Health (Aircraft) Regulations 1979
- d. Public Health (Aircraft)(Amendment)(England) Regulations 2007
- e. Public Health (Aircraft & Ships)(Amendment) Regulations 2007.

5460. To consider and determine representations made under Part 4 of the Littering from Vehicles Outside London (Keepers: Civil Penalties) Regulations 2018.

54A61. To discharge the functions of the Council under sections 59-61, 63 and 95-101 of the Building Act 1984 except, and insofar, as the function has been delegated to the Director of Planning, Building Control and Licensing.

Waste and Cleansing

5562. To exercise the functions of the Council in relation to waste and cleansing.

Housing

5663. To exercise the functions of the Council in relation to conditions associated with private sector and social residential accommodation and facilities (excluding accommodation owned by the Council), including but not limited to powers derived from the following legislation:

- a. Public Health Act 1936
- b. Public Health Act 1961
- c. Prevention of Damage by Pests Act 1949
- d. Greater Manchester Act 1981
- e. Local Government (Miscellaneous Provisions) Act 1976 and 1982

- f. Building Act 1984
- g. Environmental Protection Act 1990
- h. The Water Act 1989
- i. Health and Safety at Work Act 1974
- j. Food Safety Act 1990
- k. Protection from Eviction Act 1977
- l. Housing Act 1985
- m. Housing Act 2004
- n. Redress Schemes for Lettings Agency Work and Property Management Work (Requirement to Belong to a Scheme etc) (England) Order 2014
- o. Smoke and Carbon Monoxide Alarm (England) Regulations 2015
- p. Anti-social Behaviour, Crime and Policing Act 2014

5764. To exercise the Council's powers in relation to the temporary repair of defective premises under Section 44 of the Local Act.

5865. To exercise the Council's powers in relation to urgent repairs to water, gas and electricity apparatus under Section 47 of the Local Act.

5966. To exercise powers relating to the cleansing and disinfection of premises and the temporary removal of occupants.

67. Discharge the functions of the Council as a Local Housing Authority (within the meaning of Section 1 of the Housing Act 1985) except those housing functions specifically delegated to the Strategic Director (Growth and Development) or the Executive Director of Adult Social Services.

Without prejudice to the generality of 59A above to:

68. Manage the Council's interest in any land and property acquired or held for the purpose of fulfilling the functions of the Council as Local Housing Authority (excluding acquisition and disposal of such interests), except the authorisation of common law notices to quit, and other notices required to terminate a tenancy which shall be exercised by the City Solicitor, and without prejudice to the estate management functions delegated to the Strategic Director (Growth and Development).

69. Exercise the functions of the Council in recovering money due to the Council as Local Housing Authority, as a result of the exercise of functions under 59B above.

70. To exercise the functions of the Council under Section 22 of the Housing Act 1996 (promotion of and assistance to Registered Social Landlords).

71. To exercise the functions of the Council under Part VII of the Housing Act 1996 (Homelessness).

Street Scene Services (including Grounds Maintenance)

6072. To discharge the functions of the Council relating to grounds maintenance (excluding schools), street cleansing, arboriculture services and the removal of graffiti etc.

6473. To arrange for sweeping of highways, streets and passages, the removal of fallen leaves, the removal of litter from landscaped areas, and the emptying of litter bins.

Sport and Leisure

6274. To determine applications for the concessionary use of recreational facilities.

6375. To determine applications for the letting of concessions in parks for special events that fall outside the regular events that are let following receipt of Sealed Tenders.

6476. To determine the use of recreational facilities for events under Pleasure Fairs.

6577. To determine applications for the hire of bands, concert parties and entertainments in recreational facilities.

6678. To approve Programmes of Events.

6779. To set tariffs and charges for use of Council controlled leisure facilities.

6880. Closure of parks under Section 12 of the Local Act.

6981. Provision of parking places in parks, etc. under Section 13 of the Local Act.

7082. Provision of vehicles in parks, etc. under Section 14 of the Local Act.

7483. Contribution towards provision of recreational facilities under Section 15 of the Local Act.

Libraries

7284. To discharge all operational functions of the Council relating to libraries.

Catering Services

7385. To manage the service delivery of **Catering Services and** Manchester Fayre including catering in parks and catering concessions, school meals services and catering for the Children's and Education Directorate and the Adult Social Services Directorate (e.g. Day Care Centres).

7486. To exercise or waive the rights to sole and exclusive catering rights within all Council establishments.

7587. To hire entertainments in Civic Catering establishments either for special promotional purposes or on behalf of a third party where this is subject to a full recharge.

Miscellaneous

- ~~76~~**88**. To operate a Dog Control Service (including the control of stray dogs).
- ~~77~~**89**. To exercise the Council's powers in relation to the control of stray dogs under Section 42 of the Local Act.
- ~~78~~**90**. To take action for the seizure and retention, or destruction, or disposal of animals in circumstances where emergency action is warranted.
- ~~79~~**91**. To exercise the functions of the Council relating to unauthorised encampments (such functions to include the exercise of the powers of the Council conferred by Sections 77 to 80 of the Criminal Justice and Public Order Act 1994).
- ~~80~~**92**. To issue fixed penalty notices for offences under Section 224 of the Town and Country Planning Act 1990.
- ~~84~~**93**. To authorise officers to serve suspension notices under the provisions of the Consumer Protection Act 1987.
- ~~82~~**94**. To prepare where necessary statutory statements for works carried out by the Direct Labour Organisations ("DLOs") / Direct Service Organisations ("DSOs") within the directorate including street cleansing, grounds maintenance, fleet management, vehicle maintenance, street lighting, highways, building cleansing.
- ~~82A~~**95**. To discharge the functions of the authority in relation to the youth service.

Highways

- ~~83~~**96**. The Council's functions under the following provisions of the Highways Act 1980:
- Section 131 (Penalty for Damaging Highway etc.).
 - Section 131A (Disturbance of Surface of Certain Highways).
 - Section 132 (Unauthorised Marks on Highway).
 - Section 140 (Removal of Builders' Skips).
 - Section 143 (Power to Remove Structures from Highways).
 - Section 151 (Prevention of soil etc. being washed on to street).
 - Section 153 (Doors etc. in streets not to open outwards).
 - Section 154 (Cutting or felling etc. trees etc. that overhang or are a danger to roads or footpaths).
 - Section 163 (Prevention of water falling on or flowing on to highway).

- Section 164 (Power to require removal of barbed wire).
- Section 165 (Dangerous land adjoining street).
- Section 184 (Vehicle crossings over footways and verges), to the extent that it relates to enforcement activity.

8497. To consider and determine, in consultation with the Executive Member with portfolio responsibility for Highways~~Environment, Planning and Transport~~, objections and representations in respect of proposed traffic regulation orders, speed limits, on-street parking places orders and traffic calming measures; and disposal or appropriation of open spaces.

Bereavement Services

98. To discharge the functions of the Council relating to Bereavement Services.

8599. To arrange and undertake public and private burials and associated activities under the Local Government Act 1972 and Local Authorities' Cemeteries Order 1977 (as amended).

86100. To arrange and undertake cremations and associated activities under the Regulations as to Cremation 1930 (as amended) and the Environmental Protection Act 1990.

87101. To arrange for the provision of a municipal funeral service under the Local Government Act 1972.

88102. To maintain Closed Churchyards (where parochial church council has handed over responsibility to maintain to the Council) under Section 215 of the Local Government Act 1972.

Pest Control

89103. To be responsible for, and discharge the Council's functions relating to, the Council's pest control service, including the discharge of the Council's functions in relation to the control of rats and mice under Section 37 of the Local Act.

Manchester Markets

90104. To discharge the functions of the Council relating to Manchester Markets.

94105. To revoke and suspend market traders' licences.

Without prejudice to the generality of the above, to determine the following matters:-

92106. Allocation, letting or revocation of stalls and premises to traders; applications for occupants of markets to install equipment in connection with their business;

93107. Routine assignment of tenancies;

- 94~~108~~. Minor variations in type of business and change of names; |
- 95~~109~~. Charity collections in markets; |
- 96~~110~~. Applications for licences for privately operated specialist markets and the level of licence fees; |
- 97~~111~~. Applications for licences for charity car boot sales; and |
- 98~~112~~. Legal action as necessary in order to protect the Council's market rights in consultation with the City Solicitor. |

Fleet Management

- 99~~113~~. To arrange for the maintenance and repair of Council and partner owned and operated vehicles and to be responsible for the testing of Hackney Carriages and Private Hire vehicles, and the M.O.T. testing of private motor vehicles. |
- 400~~114~~. To arrange for the management of the Council's vehicle fleet including the disposal of surplus vehicles. |
- 404~~115~~. To determine applications to advertise on Council vehicles. |

CCTV Cameras

- 402~~116~~. To operate or commission the operation of CCTV surveillance systems in connection with the functions of the Council on Bus Lanes and Public Open Spaces. |

Agreements

- 403~~117~~. Subject to consultation with the City Solicitor to enter into Agreements in relation to any of the above matters. |

The STRATEGIC DIRECTOR (NEIGHBOURHOODS) is the Chair of the Channel Panel that has been established by the Council.

DIRECTOR OF POPULATION HEALTH AND WELLBEING

The holder of the post of Director of Population Health and Wellbeing shall be responsible for the discharge of the statutory responsibilities of the Director of Public Health under Section 73A(1) of the National Health Service Act 2006, and without prejudice to the foregoing, shall have power subject to compliance with the Constitution, Standing Orders, any relevant provisions of the Financial Regulations and any legal requirements, to:

1. Provide the public, elected Members of the Council and officers of the Council with expert, objective advice on health matters.
2. Discharge the Council's duty under Section 2B of the National Health Act 2006 and the Health and Social Care Act 2012 to take such steps as the Council considers appropriate for improving the health of the people in its area, including:
 - (a) providing information and advice;
 - (b) providing services or facilities designed to promote healthy living (whether by helping individuals to address behaviour that is detrimental to health or in any other way);
 - (c) providing services or facilities for the prevention, diagnosis or treatment of illness;
 - (d) providing financial incentives to encourage individuals to adopt healthier lifestyles;
 - (e) providing assistance (including financial assistance) to help individuals to minimise any risks to health arising from their accommodation or environment;
 - (f) providing or participating in the provision of training for persons working or seeking to work in the field of health improvement;
 - (g) making available the services of any person or any facilities; and
 - (h) providing grants or loans (on such terms as the Council considers appropriate).
3. Discharge any of the Secretary of State's public health protection or health improvement functions that s/he delegates to the Council, either by arrangement or under regulations, including those services mandated by regulations made under Section 6C of the Health and the National Health Service Act 2006.
4. Ensure that plans are in place to protect the health of the local population from threats to health and prevent, as far as possible, threats to health arising.
5. Exercise the Council's functions in planning for, and responding to emergencies that present a risk to public health.
6. Discharge the Council's functions in relation to dental public health under Section 111 of the National Health Service Act 2006.
7. Discharge any functions imposed on the Council in relation to joint working with the prison service under Section 249 of the National Health Service Act 2006.
8. Prepare an annual report on the health of the population of Manchester under Section 73(B) (5) of the Health Service Act 2006.

9. Commission mandatory public health services on behalf of the Secretary of State and other prevention and health improvement services that meet the needs of the population of Manchester, address the public health outcomes framework and tackle local priorities as set out in Manchester's Health and Wellbeing Strategy.
10. To ensure that public health and population health care advice is provided to the National Health Service.
11. To discharge the functions of the Council in co-operating with the police, the probation service and the prison service to assess the risks posed by violent or sexual offenders.
12. To ensure that plans are in place to tackle the causes of ill health and reduce health inequalities in Manchester.
13. To support the production of a Joint Strategic Needs Assessment that sets out the current health and wellbeing needs of the population of Manchester.
14. To support the Health and Wellbeing Board in its operation as a Committee of the Council and in meeting its statutory requirements under Section 194 of the Health and Social Care Act 2012 and its partnership aspirations.
15. To support the Health and Wellbeing Board to produce and implement a Joint Health and Wellbeing Strategy.
16. To be responsible for the Council's public health response as a responsible authority under the Licensing Act 2003.
17. To discharge the Council's function under the Healthy Start and Welfare Food Regulations 2005 (as amended) in relation to providing Healthy Start vitamins where the Council provides or commissions a maternity or child health clinic.
18. To authorise the Consultants in Communicable Disease Control of Public Health England and/or their deputies, to act on behalf of the Council as proper officer in the exercise of statutory functions relating to the control of infections and other disease and food poisoning.
19. To act on behalf of the Council as proper officer for the purposes of Section 47 of the National Assistance Act 1948, as amended.
20. To authorise on behalf of the Council appropriate port Medical Officers in the exercise of the statutory functions relating to the control of infectious and other diseases and food poisoning.
21. To discharge the functions of the Council under the Public Health (Control of Disease) Act 1984 and Regulations made under that Act, in consultation with the **Strategic Director (Neighbourhoods)**~~Chief Operating Officer—Neighbourhoods~~ where appropriate.
22. To authorise, in consultation with the Deputy Chief Executive and City Treasurer and (where more than £500) the Executive Member **with portfolio responsibility** for

Finance ~~and Human Resources~~, discretionary compensation to persons excluded from work because of infection or contamination.

23. To provide specific services or take particular steps set out in the Local Authorities (Public Health Functions and Entry to Premise by Local Healthwatch Representatives) Regulations 2013.
24. To exercise any of the public health functions of the Secretary of State (so far as relating to the health of the public in the Council's area) by taking such steps as may be prescribed by regulations made under Section 6C of the NHS Act 2006.
25. Subject to consultation with the City Solicitor to enter into Agreements in relation to any of the above matters.

ASSISTANT CHIEF EXECUTIVE

The holder of the post of Assistant Chief Executive shall be responsible for the management of the Policy and Strategy, Reform and Innovation, and Performance Research and Intelligence Departments.

CHAPTER 3A

DIRECTOR OF HIGHWAYS

The holder of the post of Director of Highways shall be responsible for the management of the Highways Division of the Neighbourhoods Directorate and without prejudice to the foregoing, shall have the powers set out below subject to compliance with the Constitution, Standing Orders, any relevant provisions of the Financial Regulations and any legal requirements:

General Functions

These functions can either be Executive or Non-Executive depending on the circumstances:

1. To enforce all relevant legislation relating to the Council's functions as local highway authority, including the authorisation of legal proceedings and the authorisation of officers to enter land and premises and exercise any powers in pursuance of the Council's functions as local highway authority.
2. Without prejudice to the specific delegations of other officers, to exercise a strategic overview of the Council's functions in relation to bus lanes/CCTV, community transport, Manchester Contracts and parking.

NON-EXECUTIVE FUNCTIONS

HIGHWAYS

3. Power to create footpath, bridleway or restricted byway by agreement (subject to consultation with the Chair of the Planning and Highways Committee) under Section 25 of the Highways Act 1980 ("the Act").
4. Power to create footpaths, bridleways and restricted byways (subject to consultation with the Chair of the Planning and Highways Committee) under Section 26 of the 1980 Act.
5. Duty to keep register of information with respect to maps, statements and declarations under Section 31A of the 1980 Act.
6. Power to stop up footpaths, bridleways and restricted byways (subject to consultation with the Chair of the Planning and Highways Committee) under Section 118 of the 1980 Act.
7. Power to make a rail crossing extinguishment order (subject to consultation with the Chair of the Planning and Highways Committee) under Section 118A of the 1980 Act.
8. Power to make a special extinguishment order (subject to consultation with the Chair of the Planning and Highways Committee) under Section 118B of the 1980 Act.

9. Power to divert footpaths, bridleways and restricted byways (subject to consultation with the Chair of the Planning and Highways Committee) under Section 119 of the 1980 Act
10. Power to make a rail crossing diversion order (subject to consultation with the Chair of the Planning and Highways Committee) under Section 119A of the 1980 Act.
11. Power to make a special diversion order (subject to consultation with the Chair of the Planning and Highways Committee) under Section 119B of the 1980 Act.
12. Power to require applicant for order to enter into agreement under Section 119C(3) of the 1980 Act.
13. Power to make Site of Special Scientific Interest (SSSI) diversion orders (subject to consultation with the Chair of the Planning and Highways Committee) under Section 119D of the 1980 Act.
14. Duty to assert and protect the rights of the public to use and enjoyment of highways under Section 130 of the 1980 Act.
15. Duty to serve notice of proposed action in relation to obstruction under Section 130A of the 1980 Act.
16. Power to apply for variation of order under Section 130B of the 1980 Act.
17. Power to authorise temporary disturbance of surface of footpath, bridleway or restricted byway under Section 135 of the 1980 Act.
18. Power to permit deposit of builders' skips under Section 139 of the 1980 Act.
19. Power to licence planting, retention and maintenance of trees etc. in part of highway under Section 142 of the 1980 Act and provision of trees and shrubs under Section 24 of the Greater Manchester Act 1981.
20. Power to authorise erection of stiles etc. on footpaths or bridleways under Section 147 of the 1980 Act.
21. Power to licence works in relation to buildings etc. which obstruct the highway under Section 169 of the 1980 Act.
22. Power to consent to temporary deposits or excavations in streets under Section 171 of the 1980 Act.
23. Power to dispense with obligation to erect hoarding or fence under Section 172 of the 1980 Act.
24. Power to restrict the placing of rails, beams etc. over streets under Section 178 of the 1980 Act.
25. Power to consent to construction of cellars etc. under streets under Section 179 of the 1980 Act.

26. Power to consent to the making of openings into cellars etc. under streets, and pavement lights and ventilators under Section 180 of the 1980 Act.
27. To extinguish certain public rights of way (subject to consultation with the Chair of the Planning and Highways Committee) under Section 32 of the Acquisition of Land Act 1981.
28. Duty to keep definitive map and statement under review under Section 53 of the Wildlife and Countryside Act 1981.
29. Power to include modifications in other orders under Section 53A of the Wildlife and Countryside Act 1981.
30. Duty under Section 53B of the Wildlife and Countryside Act 1981 to keep a register of prescribed information with respect to applications under Section 53(5) of the Wildlife and Countryside Act 1981.
31. Power to prepare map and statement by way of consolidation of definitive map and statement under Section 57A of the Wildlife and Countryside Act 1981.
32. Power to designate footpath as cycle track under Section 3 of the Cycle Tracks Act 1984.
33. Power to extinguish public right of way over land acquired for clearance under Section 294 of the Housing Act 1981
34. Power to consider and (subject to consultation with the Chair of the Planning and Highways Committee) to make applications for an Order to authorise stopping up or diversion of highway under Section 247 of the Town and Country Planning Act 1990.
35. Power to authorise stopping up or diversion of footpath, bridleway or restricted byway (subject to consultation with the Chair of the Planning and Highways Committee) under Section 257 of the Town and Country Planning Act 1990.
36. Power to extinguish public rights of way over land held for planning purposes (subject to consultation with the Chair of the Planning and Highways Committee) under Section 258 of the Town and Country Planning Act 1990.
37. Power to enter into agreements with respect to means of access under Section 35 of the Countryside and Rights of Way Act 2000.
38. Power to provide access in absence of agreement under Section 37 of the Countryside and Rights of Way Act 2000.
39. Power to grant a street works licence under Section 50 of the New Roads and Street Works Act 1991.
40. Power to grant permission under Sections 115E of the 1980 Act (except in relation to the provision of refreshment facilities on highway) ~~but subject to the referral of objections/representations to any such Section 115E proposals to the Strategic Director (Neighbourhoods)~~ and to take enforcement action under Section 115K in

respect of failure to comply with the terms of any such permission granted under Section 115E.

41. Duty under Section 115G of the 1980 Act to publish notice in respect of proposals to grant permission under Section 115E of the 1980 Act, insofar as it relates to the above delegation.

EXECUTIVE FUNCTIONS

42. In consultation with the Executive Member **with portfolio responsibility** for **Highways**~~the Environment, Planning and Transport~~, to:
- Approve the making of permanent and experimental traffic regulation orders, speed limits and on-street parking places orders and their implementation including associated engineering measures subject to the referral of objections/representations received in relation to proposed orders to the Strategic Director (Neighbourhoods);
 - Approve the constructions of road humps and other traffic calming measures subject to the referral of the objections/representations received in response to proposals for road humps to the Strategic Director (Neighbourhoods);
 - Approve the construction, alteration and removal of highway improvement schemes, including the making of agreements for the execution of works under Section 278 of the 1980 Act;
 - Approve the making of applications to the magistrates court under Section 116 of the 1980 Act for orders for stopping up and/or diverting highways and to take such steps considered appropriate to secure the making of such orders for which no further consultation with the Executive Member is required;
 - Approve the variation of charges for parking by notice under Section 46A of the Road Traffic Regulation Act 1984 (in respect of on street parking places) and under Section 35C (in respect of the off street car parks) and instruct the City Solicitor to carry out the necessary formalities to implement these changes.
43. Establish and appoint members to the Local Access Forum under Section 94 of the Countryside and Rights of Way Act 2000 in consultation with the Executive Member **with portfolio responsibility** for **Highways**~~Neighbourhoods~~.
44. In consultation with the Executive Member ~~for~~**designated as the Lead Member for** Children's Services to make arrangements for the provision of school crossing patrols.
45. Except as provided above or **where the functions are:**
- ~~Where the functions are~~ non-executive; ~~or~~
 - ~~Where they are~~ specifically delegated to other officers;
 - ~~Where they are~~ delegated to the Greater Manchester Combined Authority; **or**

(d) ~~Where they are~~ delegated to a joint committee,

to discharge any of the Council's functions:

- (a) relating to highways including as the Highway Authority (including but not limited to functions under the Highways Act 1980);
- (b) as a Traffic Authority (including but not limited to functions under the Road Traffic Regulation Act 1984, the Traffic Management Act 2004);
- (c) in relation to highways and traffic under the Town and Police Clauses Act 1847;
- (d) as a Street Authority (including but not limited to functions under the new Roads and Street Works Act 1991);
- (e) under the Transport Act 2000;
- (f) as a Permit Authority, (including but not limited to functions under the Traffic Management Act 2004 in respect of the Greater Manchester Road Activity Permit Scheme);
- (g) under Sections 248, 249, 251, 253 to 256, 258, 259 and 261 of the Town and Country Planning Act 1990 and Section 48 of the Civil Aviation Act 1982 and to make orders under Section 294 of the Housing Act 1985;
- (h) under Sections 21, 26 to 28 and 164 of the Greater Manchester Act 1981; or
- (i) ~~And~~that are any other functions in relation to:
 - ~~vii)~~ Traffic Management
 - ~~viii)~~ Transportation
 - ~~ix)~~ Network management and maintenance
 - ~~x)~~ Street lighting
 - ~~xi)~~ Design and consultancy
 - ~~xii)~~ Traffic commissioners and heavy goods vehicle operators' licences
 - ~~xiii)~~ Consultations on the highway/traffic implications of planning proposals

FLOOD AND WATER MANAGEMENT

46. To discharge the functions of the Council as a "lead local flood authority" under the Flood and Water Management Act 2010 ("the Flood Act") and under associated regulations.
47. Without prejudice to the generality of the above, to discharge the Council's functions in relation to:
 - (a) the "local flood risk management strategy" under Section 9 of the Flood Act;
 - (b) "investigations" under Section 19 of the Flood Act.

48. To perform the enforcement functions of the Council regarding reservoirs.
49. To exercise the Council's remedial and enforcement functions in relation to watercourses, non-main rivers classified as streams, brooks and ditches etc.
50. Establishing and maintaining a "register" of flood risk structures and features under Section 21 of the Flood Act.
51. To determine plans relating to schemes for culverting watercourses.

CCTV Cameras

52. To operate or commission (in consultation with the Strategic Director (Neighbourhoods)) the operation of CCTV surveillance systems in connection with the functions of the Council on Bus Lanes and Public Open Spaces.

Miscellaneous

53. Subject to consultation with the City Solicitor to enter into Agreements in relation to any of the above matters.

DIRECTOR OF HR and OD

The holder of the post of Director of HR and OD shall be responsible for the management of the HROD Department of the Corporate Core Directorate and without prejudice to the foregoing, shall have power subject to compliance with the Constitution, Standing Orders, any relevant provisions of the Financial Regulations and any legal requirements to:

1. Implement and adopt nationally negotiated decisions on conditions of service and pay awards.
2. Administer the car user and bicycle allowance scheme and to vary on an individual basis the bicycle allowance scheme to ensure that disabled people are able to participate.

DIRECTOR OF ICT

The holder of the post of Director of ICT shall be responsible for the management of the ICT Service operating from within the Corporate Core Directorate and without prejudice to the foregoing, shall have power subject to compliance with the Constitution, Standing Orders, any relevant provisions of the Financial Regulations and any legal requirements to:

1. Develop, implement and deliver an ICT Strategy;
2. Develop the Digital Strategy;
3. Approve information governance policies and guidance, where they relate specifically to Information and Communications Technology (ICT) matters.

DIRECTOR OF EDUCATION

The holder of the post of Director of Education shall be responsible for the management of the Education Department of the Children's and Education Services Directorate and without prejudice to the foregoing, shall have the powers set out below subject to compliance with the Constitution, Standing Orders, any relevant provisions of the Financial Regulations and any legal requirements.

Executive Functions

1. To discharge the functions conferred on or exercisable by the Council in relation to adult education and skills training.
2. Without prejudice to the generality of the above, to discharge:
 - a) Functions under Section 120(3) of the Education Reform Act 1988 (functions of LEAs with respect to higher and further education);
 - b) Functions under Section 85(2) and (3) of the Further and Higher Education Act 1992 (finance and government of locally funded further and higher education);
 - c) Functions under Section 15B of the Education Act 1996 (education for persons who have attained the age of 19);
 - d) Functions under Section 22 of the Teaching and Higher Education Act 1998 (financial support to students).

DEPUTY CITY TREASURER

The Deputy City Treasurer shall be responsible for the management of the **Financial Management, Commercial Governance and Procurement Departments within the Corporate Core Directorate**~~Commercial Unit~~ and for supporting the Deputy Chief Executive and City Treasurer in the exercise of statutory Chief Finance Officer functions.

The DEPUTY CITY TREASURER has been appointed as the DEPUTY CHIEF FINANCE OFFICER.

DIRECTOR OF PLANNING, BUILDING CONTROL AND LICENSING

The holder of the post of Director of Planning, Building Control and Licensing shall be responsible for the management of the Planning, **Building Control and Licensing** Division within the Growth and Development Directorate and without prejudice to the foregoing, shall have power subject to compliance with the Constitution, Standing Orders, any relevant provisions of the Financial Regulations and any legal requirements.

General Functions

These functions can be either Executive or Non-Executive depending on circumstances.

~~1. [...]~~

21. To discharge the functions of the Council as Local Planning Authority (within the meaning of Section 1(2) of the Town and Country Planning Act 1990) and other functions of the Council under the Building Act 1984 and its regulations except where the matter is:

- reserved to full Council or the Executive by statute or this Constitution; or
- delegated exclusively to the Planning and Highways Committee; or
- delegated to the Joint Committee for the Joint Waste Development Plan Document; or
- delegated to another Officer; or
- the Council, the Executive or the Planning and Highways Committee or this Constitution has expressly limited the extent of this delegation.

32. To exercise the Council's functions in relation to licensing.

3. To discharge the Council's functions as a "responsible authority" as defined by Section 13(4) (za) of the Licensing Act 2003.

4. To discharge the Council's functions as a "responsible authority" as defined by Section 157(a) of the Gambling Act 2005.

45. To administer all relevant legislation relating to the Council's licensing functions within the remit of the Growth and Development Directorate, but not those licensing enforcement functions specifically delegated to the Strategic Director (Neighbourhoods).

Without prejudice to the generality of the above:

56. To take any urgent action, that is not otherwise delegated to the Director of Planning, Building Control and Licensing, in relation to planning and building control matters after consultation with the appropriate Chair or Executive Member, subject to any such action taken under this power being reported to the next ordinary meeting of the Executive or appropriate committee.

67. To authorise officers to enter land or premises, to inspect, to investigate and to exercise any other related powers in accordance with the Council's functions in

relation to licensing, as Local Planning Authority and the Council's functions under the Building Act 1984 and its Regulations and any other functions delegated to the Director of Planning, Building Control and Licensing.

~~7. [...].~~

~~8. [...].~~

~~9. [...].~~

~~10~~⁸. To issue decision notices in respect of any application for planning consent and for listed building consent upon receipt of an indication by the Secretary of State that he/she does not require that application to be referred to him/her, such notices to reflect the decision which the Council has already indicated that it is minded to take on the application concerned.

~~11~~⁹. To respond to consultations and all other requests relating to planning applications, listed building applications, nationally significant infrastructure projects and any other plans and proposals, and all other matters of a planning nature in accordance with approved planning policies of the Council.

~~12~~¹⁰. To take decisions on applications to determine whether planning permission is required, in consultation with the City Solicitor where appropriate.

~~13~~¹¹. To exercise the powers of the Council in relation to access and egress to public and other buildings and means of escape in the case of fire.

~~14~~¹². To exercise the powers of the Council as Local Planning Authority (within the meaning of Section 1(2) of the Town and Country Planning Act 1990) to carry out works in default under the Planning (Listed Building and Conservation Areas) Act 1990 and the Town and Country Planning Act 1990 ("the 1990 Act").

~~15~~¹³. To exercise the powers of the Council as Hazardous Substances Authority (within the meaning of Section 1 of the Planning (Hazardous Substances) Act 1990) to issue notices pursuant to Section 24 of that Act.

~~16~~¹⁴. To exercise the powers of the Council as Local Planning Authority (within the meaning of Section 1(2) of the Town and Country Planning Act 1990) to make a direction restricting permitted development pursuant to Article 4 of the Town and Country Planning (General Permitted Development) (England) Order 2015.

Non-Executive Functions

~~16A~~¹⁵. Duty to enter land in Part 2 of the brownfield land register pursuant to Regulations 3 and 5 of the Town & Country Planning (Brownfield Land Register) Regulations 2017.

~~17~~¹⁶. To obtain information under Section 330 of the 1990 Act as to interests in land.

~~18~~¹⁷. To exercise the Council's powers in relation to the weatherproofing of property under Section 50 of the Greater Manchester Act 1981 ("the Local Act").

- 4918.** To exercise the Council's powers in relation to the safety of ceilings in bingo halls under Section 55 of the Local Act.
- 2019.** To exercise the Council's powers in relation to the safety of stands under Section 58 of the Local Act.
- 2420.** To exercise the Council's powers in relation to fire precautions under Sections 63 and 66 of the Local Act.
- 2221.** To exercise the Council's powers in relation to the storage of flammable material under Sections 67 to 73 of the Local Act.
- 2322.** Power to decline to determine application for planning permission under Section 70A of the 1990 Act.
- 2423.** To refuse applications for planning consent, and for listed building consent where the proposal is clearly contrary to approved Council planning policies.
- 2524.** To approve (including approvals subject to conditions) applications for planning consent, for listed building consent and for development by other Council Committees and to determine applications to vary or delete conditions either where there is no objection from a third party of a planning nature or where there are objections of a planning nature from third parties but the proposal is clearly in accordance with approved Council planning policies.
- 2625.** Duties relating to the making of determinations of planning applications under Sections 69, ~~76~~ and 92 of the 1990 Act **andas** Articles 15-27, 32-35 and 40-43 of the Town and Country Planning (Development Management Procedure) (England) Order 2015 and directions made thereunder.
- 2726.** Power to determine applications for planning permission made by a local authority, alone or jointly with another person under Section 316 of the 1990 Act as well as the Town and Country Planning General Regulations 1992 (to approve (including approvals subject to conditions) applications for planning consent either where there is no objection of a planning nature from third parties or where there are objections of a planning nature from third parties but the proposal is clearly in accordance with approved Council planning policies).
- 27A.** To refuse applications for planning permission in principle, under Section 59A(1)(b) of the 1990 Act and Article 5A(1) of the Town and Country Planning (Permission in Principle) Order 2017, where the proposal is clearly contrary to approved Council planning policies.
- 27B28.** To approve (including approvals subject to conditions) applications for planning permission in principle, under Section 59A(1)(b) of the 1990 Act and Article 5A(1) of the Town and Country Planning (Permission in Principle) Order 2017, either where there is no objection of a planning nature from third parties or where there are objections of a planning nature from third parties but the proposal is clearly in accordance with approved Council planning policies.

- ~~28~~29. Power to make determinations, give approvals and agree certain other matters relating to the exercise of permitted development rights under Parts 6, 8, 9, 11, and 15 to 18 of schedule 2 to the Town and Country Planning (General Permitted Development) (England) Order 2015.
- ~~29~~30. Power to enter into agreements under Section 106 of the 1990 Act.
- ~~30~~31. Power to issue a certificate of existing or proposed lawful use or development under Section 191(4) and 192(2) of the 1990 Act.
- ~~31~~32. Power to serve a completion notice under Section 94(2) of the 1990 Act.
- ~~32~~33. Power to grant consent for the display of advertisements under Section 220 of the 1990 Act as well as Town and Country Planning (Control of Advertisements) (England) Regulations 2007.
- ~~33~~34. Power to authorise entry onto land under any relevant provision of the 1990 Act and the Planning (Listed Buildings and Conservation Areas) Act 1990 or Section 36 of the Planning (Hazardous Substances) Act 1990.
- ~~34~~35. Power to serve a planning contravention notice, breach of condition notice or stop notice under Sections 171C, 187A and 183(1) of the 1990 Act.
- ~~35~~36. Power to issue a temporary stop notice under Section 171E of the 1990 Act.
- ~~36~~37. Power to issue an enforcement notice under Section 172 of the 1990 Act.
- ~~37~~38. Power to apply for an injunction restraining a breach of planning control under Section 187B of the 1990 Act.
- ~~38~~39. Power to determine applications for hazardous substances consent, and related powers under the Planning (Hazardous Substances) Act 1990.
- ~~39~~40. Duty to determine conditions to which old mining permissions, relevant planning permissions relating to dormant sites or active Phase 1 or 2 sites, or mineral permissions relating to mining sites, as the case may be, are to be subject under Paragraph 2(6)(a) of Schedule 2 to the Planning and Compensation Act 1991, paragraph 9(6) of Schedule 13 to the Environment Act 1995 and paragraph 6(5) of Schedule 14 to that Act.
- ~~40~~41. Power (subject to consultation with the Strategic Director (Neighbourhoods)) to require proper maintenance of land under Section 215(1) of the 1990 Act.
- ~~41.~~ [...]
42. Power to determine application for listed building consent, and related powers under Sections 16(1) and (2), 17, and 33(1) of the Planning (Listed Buildings in Conservation Areas) Act 1990 (to approve (including approvals subject to conditions) applications for planning consent either where there is no objection of a planning nature from third parties or where there are objections of a planning nature from third

parties but the proposal is clearly in accordance with approved Council planning policies).

43. Duties relating to applications for listed building consent and conservation area consent under Sections 13(1), 14(1) and (4) of the Planning (Listed Buildings and Conservation Areas) Act 1990 as well as Regulations 3 to 6 and 13 of the Planning (Listed Buildings and Buildings and Conservation Areas) Regulations 1990.
44. Power to issue enforcement notice in relation to a listed building under Section 38 of the Planning (Listed Buildings and Conservation Areas) Act 1990.
45. Power to apply for an injunction in relation to a listed building under Section 44A of the Planning (Listed Buildings and Conservation Areas) Act 1990.
46. Powers to acquire a listed building in need of repair and to serve a repairs notice under Sections 47 and 48 of the Planning (Listed Buildings and Conservation Areas) Act 1990.
47. Power to execute urgent works under Section 54 of the Planning (Listed Buildings and Conservation Areas) Act 1990 subject to consultation with the Chair of Planning and Highways Committee.
48. Powers relating to the preservation of trees under Sections 197, 198, 200, 202 and 206 to 214D of the 1990 Act as well as the Town and Country Planning (Tree Preservation) (England) Regulations 2012.
49. Powers relating to the protection of important hedgerows under the Hedgerows Regulations 1997.
50. Powers relating to complaints about high hedges under Part 8 of the Anti-social Behaviour Act 2003.
51. Power to issue amend or replace safety certificates (whether general or special) for sports grounds under the Safety of Sports Grounds Act 1975.
52. Power to issue, cancel, amend or replace safety certificates for regulated stands at sports grounds under Part 3 of the Fire Safety and Safety of Places of Sport Act 1987.
53. To administer and enforce the requirements in accordance with Articles 25 (d) (i) and (ii) of the Regulatory Reform (Fire Safety) Order 2005 at designated sports grounds requiring a general safety certificate, under Section 1(1) of the Safety at Sports Grounds Act 1975 and Regulated Stands within the meaning of Section 26(5) of the Fire Safety and Safety of Places of Sports Act 1987.
54. Power to grant permission under Section 115E of the Highways Act 1980 ("the 1980 Act") for the provision of refreshment facilities on highways (table and chairs licences), and related powers under Sections 115E and 115F of the 1980 Act ~~(but subject to the referral of objections / representations to any such Section 115E proposals to the Strategic Director (Neighbourhoods))~~ and to take any enforcement action under

Section 115K in respect of failure to comply with the terms of any such permission granted under Section 115E.

55. Duty under Section 115G of the 1980 Act to publish notice in respect of proposals to grant permission under Section 115E of the 1980 Act, insofar as it relates to the above delegation.
56. In consultation with the Chair of the Planning and Highways Committee, to make arrangements with another local authority for the Council to discharge that other authority's non-executive functions relating to cross-boundary planning matters affecting the local authority areas of both the Council and that other authority.
57. Power to enter into agreements under Section 26A of the Planning (Listed Buildings and Conservation Areas) Act 1990 (Heritage Partnership Agreements), provided that (where the agreement grants listed building consent) either there is no objection from a third party of a planning nature or, where there are objections of a planning nature from third parties, the proposal is clearly in accordance with approved Council planning policies.

Licensing and Registration Functions

58. To exercise the functions of the Council relating to the administration of all relevant legislation relating to the Council's licensing functions.

Without prejudice to the generality of the above:

Under the Licensing Act 2003

59. To discharge the following Council functions under the Licensing Act 2003:
 - (a) To grant an application for personal licence (with or without spent convictions) where no police objection is made or where any police objection has been withdrawn.
 - (b) To reject an application for personal licence where it appears that the applicant fails to meet the condition in Section 120(2)(a), (aa), (b) or (c) of the Licensing Act 2003.
 - (c) To grant an application for premises licence/club premises certificate where no relevant representations have been made or where all relevant representations have been withdrawn.
 - (d) To grant an application for provisional statement where no relevant representations have been made or where all relevant representations have been withdrawn.
 - (e) To grant an application to vary premises licence/club premises certificate where no relevant representations have been made or where all relevant representations have been withdrawn.
 - (f) To grant or refuse an application for a minor variation to premises licence/club premises certificate (in consultation with the Chair of the Licensing Committee)
 - (g) To grant an application to vary designated premises supervisor where no police objection made or where any police objection has been withdrawn.
 - (h) To approve any request to be removed as designated premises supervisor.

- (i) To grant an application for transfer of premises licence only where no police objection made or where any police objection has been withdrawn.
- (j) To grant or refuse an exemption to an applicant for the transfer of a premises licence from obtaining the holder's consent in accordance with Section 43(5) and (6) of the Licensing Act 2003
- (k) To grant applications for interim authority only where no police objection made or where any police objection has been withdrawn.
- (l) To make a decision as to whether a complaint/representation is irrelevant, frivolous, vexatious etc.
- (m) To make a decision as to whether a ground for review is irrelevant, frivolous, vexatious etc.
- (n) Determination of applications to vary premises licence at community premises to include alternative licence condition, where no police objection is made or where any police objection has been withdrawn.
- (o) To make a decision as to whether or not to give consideration to revoke or suspend a personal licence, and to give notice to the licence holder following convictions or immigration **penalties** premises.
- (p) To make a decision as to whether there has been a material change of circumstances in cases of representations against any interim steps taken pending review.
- (q) To discharge the functions of the Council in relation to the suspension of licences under Section 55A (premises licence) and Section 92A (club premises licence) of the Licensing Act 2003.**

Under the Gambling Act 2005

60. To discharge the following Council functions under the Gambling Act 2005:

- (a) To approve an application for premises licence where either no representations have been received or where any representations have been withdrawn.
- (b) To approve an application for a variation to a licence where no representations are received or any representations have been withdrawn.
- (c) To approve an application for a transfer of a licence where no representations are received from the Commission.
- (d) To approve an application for a provisional statement where either no representations are received or any representations have been withdrawn.
- (e) To approve an application for club gaming/club machine permits either where no objections are made or any objections have been withdrawn.
- (f) To approve applications for permits.
- (g) To cancel licensed premises gaming machine permits.
- (h) To consider Temporary Use Notice.

61. To discharge the Council's functions in relation to fee setting under the Gambling Act 2005 and the Gambling (Premises Licence Fees) (England and Wales) Regulations 2007 in consultation with the Chair of the Licensing Committee and the Executive Member **with portfolio responsibility** for **Licensing**~~the Environment, Planning and Transport~~ and to produce a monitoring report for the information of the Licensing Committee.

62. [...]

General Licensing and Registration Functions

- ~~63~~62. The registration of Hairdressers and Barbers under Section 30 of the Greater Manchester Act 1981 ("the Local Act").
- ~~64~~63. The registration of Acupuncturists, Tattooists, Ear Piercers, Electrolysis etc. under Section 32 of the Local Act.
- ~~65~~64. The registration of dealings in Second Hand Goods under Section 54 of the Local Act.
- ~~66~~65. The discharge of the functions under Section 60 of the Local Act relating to Touting, Hawking, Photographing etc.
- ~~67~~66. The registration of Entertainment Clubs under Sections 74 to 79 and 81 of the Local Act and the power to enter upon, inspect and examine premises used as Entertainment Clubs under Section 80 of the Local Act.
- ~~68~~67. Power to require information as to goods sold in any market carried on by the Council under Section 118 of the Local Act.
- ~~69~~68. The registration of Night Cafes in Manchester under Sections 136 to 141 and 143 to 144 of the Local Act and the power to enter upon, inspect and examine premises used as Night Cafes under Section 142 of the Local Act.
- ~~70~~69. Regulation of Signs on Vehicles (regarding signs that may only be used by hackney cabs and private hire vehicles) under Section 167 of the Local Act.
- ~~74~~70. Power to issue licenses authorising the use of land as a caravan site ("site licences") under Section 3(3) of the Caravan Sites and Control of Development Act 1960.
- ~~72~~71. Power to license the use of moveable dwellings and camping sites under Section 269(1) of the Public Health Act 1936.
- ~~73~~72. Power to license hackney carriages and private hire vehicles:-
- (a) as to hackney carriages, under the Town Police Clauses Act 1847, as extended by Section 171 of the Public Health Act 1875, and Section 15 of the Transport Act 1985; and Sections 47, 57, 58, 60 and 79 of the Local Government (Miscellaneous Provisions) Act 1976;
 - (b) as to private hire vehicles, under Sections 48, 57, 58, 60 and 79 of the Local Government (Miscellaneous Provisions) Act 1976.
- ~~74~~73. Power to license drivers of hackney carriages and private hire vehicles under Sections 51, 53, 54, 59, 61 and 79 of the Local Government (Miscellaneous Provisions) Act 1976.
- ~~75~~74. Power to license operators of hackney carriages and private hire vehicles under Sections 55 to 58, 62 and 79 of the Local Government (Miscellaneous Provisions) Act 1976.

~~76~~**75**. To exercise the functions of the Council relating to the enforcement of all relevant legislation relating to the Council's private hire and hackney carriage licensing functions including the authorisation of legal proceedings and the authorisation of officers to enter on to land and premises to carry out their duties.

~~77. [...]~~

~~78~~**76**. Power to renew licences of sex shops, sex cinemas and sexual entertainment venues under Section 2 and Schedule 3 of the Local Government (Miscellaneous Provisions) Act 1982.

~~79~~**77**. Power to license performances of hypnotism under the Hypnotism Act 1952.

~~80~~**78**. Power to license premises for acupuncture, tattooing, ear-piercing and electrolysis under Sections 13 to 17 of the Local Government (Miscellaneous Provisions) Act 1982.

~~84~~**79**. Power to license pleasure boats and pleasure vessels under Section 94 of the Public Health Acts Amendment Act 1907.

~~82~~**80**. Power to license street trading under Part III of, and Schedule 4 to, the Local Government (Miscellaneous Provisions) Act 1982.

~~83~~**81**. ~~Power to license premises for the breeding of dogs under Section 1 of the Breeding of Dogs Act 1973 and Section 1 of the Breeding and Sale of Dogs (Welfare) Act 1999. Power to grant or renew a licence for a licensable activity under Regulation 4 of the Animal Welfare (Licensing of Activities Involving Animals) (England) Regulations 2018 (selling animals as pets, providing or arranging for the provision of boarding for cats or dogs, hiring out horses, breeding dogs or keeping or training animals for exhibition).~~

~~84. Power to license or register activities involving animals including pet shops and other establishments where animals are bred or kept for the purposes of carrying on a business under Section 1 of the Pet Animals Act 1951; Section 1 of the Animal Boarding Establishments Act 1963; the Riding Establishments Acts 1964 and 1970; Section 1 of the Breeding of Dogs Act 1973 and Sections 1 and 8 of the Breeding of Dogs (Welfare) Act 1999; and Section 13 of the Animal Welfare Act 2006.~~

~~85. Power to register animal trainers and exhibitors under Section 1 of the Performing Animals (Regulation) Act 1925.~~

~~86~~**82**. Power to license zoos under Section 1 of the Zoo Licensing Act 1981.

~~87~~**83**. Power to license dangerous wild animals under Section 1 of the Dangerous Wild Animals Act 1976.

~~88~~**84**. Power to license persons to collect for charitable and other causes under Section 5 of the Police, Factories etc. (Miscellaneous Provisions) Act 1916 and Section 2 of the House to House Collections Act 1939.

8985. Power to grant consent for the operation of a loudspeaker under Schedule 2 to the Noise and Statutory Nuisance Act 1993.

89A86. Power (subject to consultation with the Strategic Director (Children's and Education Services)) to licence the employment of children (Part II of the Children and Young Persons Act 1933 byelaws made under that Part, and Part II of the Children and Young Persons Act 1963).

89B87. To exercise the functions of the Council relating to pavement licences under Sections 1 to 7 of the Business and Planning Act 2020

Executive Functions

9088. After consultation with the City Solicitor, to issue certificates of alternative development under the Land Compensation Act 1961.

91. [...].

92. [...].

93. [...]

9489. To exercise the functions of the Council as a mineral planning authority as set out in the Environment Act 1995.

9590. To adopt screening and scoping opinions under the Town and Country Planning (Environmental Impact Assessment) (England and Wales) Regulations 2011.

9691. To exercise the functions set out in Parts I & II of the Building Act 1984 (Building Regulations and Supervision of Building Work, etc. Otherwise than by Local Authorities).

9792. To exercise the functions set out in Section 71 and Section 72 of the Building Act 1984 (Entrances, Exits, etc, to be required in certain cases and Means of Escape in case of Fire).

9893. To exercise the functions set out in Sections 77 to 83 of the Building Act 1984 (Dangerous, Ruinous and Dilapidated Buildings and Notices in respect of Intended Demolition).

9994. To exercise the functions set out in the Building (Local Authority Charges) Regulations 2010.

40095. In conjunction with the Deputy Chief Executive and City Treasurer, to enter into agreements for the purchase and supply of energy and water.

40496. To administer the Council's Disabled Access grants scheme.

40297. To progress environmental improvement schemes across the City through to final completion on site following approval of the schemes by the relevant Committees of the Council.

- | ~~403~~98. To exercise the Council's powers in relation to the vesting of former highway land under Section 29 of the Greater Manchester Act 1981.
- | ~~404~~99. To approve non material changes to planning permission under Section 96A of the Town and Country Planning Act 1990.
- | ~~405~~100. Powers in respect of licences under the provisions of the Scrap Metal Dealers Act 2013.

Miscellaneous

- | ~~406~~101. Subject to consultation with the City Solicitor to enter into Agreements in relation to any of the above matters.

CHAPTER 3B

DIRECTOR OF DEVELOPMENT ~~AND CORPORATE ESTATE~~

EXECUTIVE FUNCTION

To determine claims for compensation from owners or previous owners of land included in the Council's list of assets of community value under Section 99 of the Localism Act 2011.

DIRECTOR OF CAPITAL PROGRAMMES

EXECUTIVE FUNCTION

To review decisions made concerning compensation payable to owners or previous owners of land included in the Council's list of assets of community value under Section 99 of the Localism Act 2011 in consultation with the Executive Member **with portfolio responsibility** for ~~Housing and Regeneration~~**Planning** (provided that this Member has not taken part in the decision under review in which case an alternative Member of the Executive will be consulted).

HEAD OF WORK AND SKILLS DIRECTOR OF INCLUSIVE ECONOMY

EXECUTIVE FUNCTION

Assets of Community Value

To determine community nominations of land for inclusion in the Council's list of assets of community value under the Localism Act 2011 in consultation with the relevant Chief Officers/Heads of Service and the relevant ~~Directorate~~ Executive Member.

DIRECTOR OF COMMERCIAL AND OPERATIONS

EXECUTIVE FUNCTION

Markets

To determine appeals by market traders where the trader's licence has been revoked.

STRATEGIC LEAD (HEAD OF COMPLIANCE, ENFORCEMENT AND COMMUNITY SAFETY)

EXECUTIVE FUNCTION

To exercise the Council's functions under the Littering from Vehicles Outside London (Keepers: Civil Penalties) Regulations 2018 (except for functions under Part 4 of the Regulations concerning the consideration and determination of representations).

PREVENT AND COMMUNITY COHESION COORDINATOR

EXECUTIVE FUNCTION

To make referrals to the Channel Panel.

PART 4

RULES OF PROCEDURE

CONTENTS

Section A.	Council Procedure Rules
Section B.	Access to Information Procedure Rules
Section C.	Budget and Policy Framework Procedure Rules
Section D.	Executive Procedure Rules
Section E.	Scrutiny Procedure Rules
Section F.	Officer Employment Procedure Rules

COUNCIL PROCEDURE RULES

Part 4

Section A

Council Procedure Rules

COUNCIL PROCEDURE RULES

SECTION A: COUNCIL PROCEDURE RULES

CONTENTS

(FOR USE AT COUNCIL AND COMMITTEE AND SUB-COMMITTEE MEETINGS)

- Rule 1. Interpretation and Chair's Ruling**
- Rule 2. Suspension and Amendment of Rules**
- Rule 3. Lord Mayor and Deputy Lord Mayor**
- Rule 4. Political Groups and Leaders**
- Rule 5. Establishment of Committees and Sub-Committees**
- Rule 6. Membership of Committees, Sub-Committees, Joint Authorities and Joint Committees**
- Rule 7. The Leader and Executive**
- Rule 8. Chairs and Deputy Chairs**
- Rule 9. Decisions Taken under Delegated Powers**
- Rule 10. Convening Meetings**
- Rule 11. Cancelling Meetings**
- Rule 12. Withdrawal of Items from Agenda**
- Rule 13. Order of Business**
- Rule 13A. Petitions Requiring Debate**
- Rule 14. Notices of Motion to Council**
- Rule 15. Quorum**
- Rule 16. Minutes of Meetings**
- Rule 17. Committee Minutes/Reports Submitted to Council**
- Rule 18. Amendments to be Moved at Council**
- Rule 19. Motions Without Notice at Council**
- Rule 20. Submission of Motions and Amendments**
- Rule 21. Speeches at Council**
- Rule 22. Voting**
- Rule 23. Questions at Council**
- Rule 24. [...]**
- Rule 25. Previous Decisions and Motions (Six Months' Rule)**
- Rule 26. Conduct at Meetings**
- Rule 27. Duration of Council Meetings**

Part 4 Section A (~~Adopted~~ Amended under delegated powers April ~~November~~ 202~~21~~)

Page 2 of 34

COUNCIL PROCEDURE RULES

- Rule 28. Recording and Filming of Meetings**
- Rule 29. Notification and Declaration of Interests**
- Rule 30. Non-participation and withdrawal in the case of Disclosable Pecuniary Interests and Prejudicial Interests.**

COUNCIL PROCEDURE RULES

1. Interpretation and Chair's Ruling

- 1.1 These Rules apply to meetings of the full Council and, where appropriate, to meetings of committees and sub committees. None of these Rules apply to meetings of the Executive.
- 1.2 References in these Rules to the Lord Mayor, Leader of the Council or chair, include the appointed deputy or any other member acting in their absence.
- 1.3 References in these Rules to the Lord Mayor shall include the chair of any meeting where appropriate.
- 1.4 These Rules should be read in conjunction with other parts of the Council's Constitution.

1.4A Subject to any particular requirement in law as to form, any requirement (howsoever expressed) in these Rules that a document is to be signed shall be interpreted as including (in addition to physical signing) signing by way of an appropriate (as determined by the City Solicitor) electronic signature and any requirement for a document to be signed by more than one individual may be satisfied by an appropriate mechanism (as determined by the City Solicitor) for the document to be signed in separate parts (such as via separate counterpart copies).

- 1.5 ***These Rules are subject to any statute or other enactment whether passed before or after these Rules came into effect.
- 1.6 The ruling of the Lord Mayor on the application and interpretation of these Rules is final.

2. Suspension of Rules

- 2.1 ***With the exception of the Rules marked by an asterisk (***), any Rule may be suspended at Council meetings either by a motion included on the agenda or by a motion put to the meeting without notice and passed by a majority of those present and voting. A motion to suspend any rules will not be moved without notice unless at least one half of the whole number of Councillors are present.

COUNCIL PROCEDURE RULES

3. Lord Mayor and Deputy Lord Mayor

- 3.1 ***The Lord Mayor will be elected, and the Deputy Lord Mayor will be appointed at the Annual Meeting of Council.
- 3.2 ***If the Office of Lord Mayor becomes vacant during a Municipal Year it will be filled at the next Council meeting, or, if a vacancy arises within 14 days before that meeting, it will be filled at the next but one meeting.
- 3.3 If the Office of Deputy Lord Mayor becomes vacant during a Municipal Year it will be filled at the next Council meeting, or, if a vacancy arises within 14 days before that meeting, it will be filled at the next but one meeting.
- 3.4 The Lord Mayor will chair meetings of the Council. In the Lord Mayor's absence the Deputy Lord Mayor will chair the meeting. In the absence of both the Lord Mayor and Deputy Lord Mayor, the Council will appoint another member to chair the meeting.

4. Political Groups and Leaders

- 4.1 A political group will be treated as constituted when the Chief Executive has received a notice in writing signed by two or more members of the Council stating: -
 - a) that the members who have signed it wish to be treated as a political group;
 - b) the name of the group; and,
 - c) the name of one member of the group who has signed the notice and who is to act as its leader.
- 4.2 ***The notice may specify the name of one other member of the group who has signed the notice and who is authorised to act in the place of the leader of the group (This authorised member is referred to as "the representative").
- 4.3 ***The leader of the group may be changed by a further notice in writing to the Chief Executive signed by a majority of the members of the group.

COUNCIL PROCEDURE RULES

- 4.4 ***The name of the group and of "the representative" (see also Rule 4.2) may be changed by a further notice in writing to the Chief Executive signed by the leader of the group or a majority of the members of the group.
- 4.5 ***A member of the Council is to be treated as a member of a political group if s/he has: -
- a) signed a notice in accordance with Rule 4.1; or
 - b) given the Chief Executive a notice in writing, signed by her/him and by the leader (or representative) of the group or by a majority of members of the group, stating that s/he wishes to join the group.
- 4.6 ***A person is to be treated as having ceased to be a member of a political group when: -
- a) s/he has ceased to be a member of the authority;
 - b) s/he has notified the Chief Executive in writing that s/he no longer wishes to be treated as a member of the group;
 - c) s/he joins another political group;
 - d) the Chief Executive receives a notice in writing signed by a majority of members of the group stating that they no longer wish her/him to be treated as a member of it.
- 4.7 ***No person shall be treated as a member of more than one political group at any given time.
- 4.8 The Chief Executive will keep and maintain a record of the current membership of each political group.
- 4.9 The Leader of the Council will be elected at the Annual Meeting of the Council on 19 May 2010 and subsequently in accordance with Rules 7.1 and 7.2.
- 4.10 The leader of the next largest Political Group or combination of Groups (as chosen by the members of the group/s) will be known as the Leader of the Opposition.

Part 4 Section A (~~Adopted~~ Amended under delegated powers April ~~November~~ 2022²¹)

Page 6 of 34

COUNCIL PROCEDURE RULES

5 Establishment of Committees and Sub-Committees

- 5.1 Subject to Rules 5.2 to 5.10 below, the Council may establish such committees as it considers necessary to carry out the work of the Council and may refer to those committees such matters as are considered appropriate.
- 5.2 ***The Council shall establish a committee to discharge its functions relating to Art Galleries. Such committee should consist of at least 14 elected members and 7 non-voting members appointed by the University of Manchester. The Council and the University may agree that a lesser number of University members be appointed.
- 5.3 The Council shall establish a Standards Committee, the composition of which will be in line with Article 9 of Part 2 of the Constitution.
- 5.4 The Council shall establish a Health and Wellbeing Board in accordance with section 194 of the Health and Social Care Act 2012, the composition of which will be in line with Article 8.2 of part 2 of the Constitution.
- 5.5 The Council shall establish a Licensing Committee with not less than 10 and not more than 15 members.
- 5.6 The Council shall establish a Planning and Highways Committee.
- 5.7 The Council shall establish a Licensing and Appeals Committee.
- 5.8 Not allocated.
- 5.9 The Council shall appoint a Constitutional and Nomination Committee, a Personnel Committee, an Employee Appeals Committee and an Audit Committee.
- 5.10 The Council shall establish the following scrutiny committees:
 - Children and Young People
 - Communities and Equalities
 - Environment and Climate Change
 - Economy
 - Health

Part 4 Section A (~~Adopted~~ Amended under delegated powers April ~~November~~ 2022²¹)

Page 7 of 34

COUNCIL PROCEDURE RULES

Resources and Governance

- 5.11 Committees may establish standing sub-committees, subject to the approval of the Council, to carry out the work of the committee and may refer to those sub-committees such matters as are considered appropriate. Committees may also establish ad hoc sub-committees to consider any specific matter referred to them.
- 5.12 **Subject to Rules 5.13 and 5.14 where a matter is referred to a committee or sub-committee, the decision of the committee must be approved by the Council before it becomes effective.
- 5.13 **Except in relation to those decisions which by statute must only be taken by the full Council, the Council may delegate powers to committees or sub-committees. Where a matter has been delegated to a committee, the committee may further delegate the matter to a sub-committee unless the Council otherwise direct.
- 5.14 ***Subject to Rule 9, where a matter is delegated in accordance with Rule 5.13 above, the decisions of the committee or sub-committee do not require approval by the Council (or committee) unless the delegation has been previously withdrawn in relation to the particular item.

6 Membership of Committees and Sub-Committees and Joint Authorities and Joint Committees

- 6.1 In relation to committees the Annual Meeting of the Council will: -
- a) Subject to Rule 5 above, determine the number of members to serve on them;
 - b) Allocate seats on them to the political groups in accordance with the principles of political balance; and
 - c) Appoint named members to them giving effect to the wishes (where expressed) of each political group.
- 6.2 ***Appointments to all sub-committees will be made by their parent committees in accordance with: -

COUNCIL PROCEDURE RULES

- a) The principles of political balance (as to the allocation of numbers of seats); and
 - b) the wishes of the political groups (as to individual appointments).
- 6.3 Members serving on sub-committees are not required to be members of the parent committee.
- 6.4 Where the Council is entitled to seats on a joint authority or on a joint committee with another local authority or local authorities, appointments to such seats will be made by the Council.
- 6.5 ***Where the Council is entitled to three or more seats on a joint authority or joint committee ("relevant joint authority/joint committee"), the Council in making appointments will: -
 - a) allocate seats on them to the political groups in accordance with "the principles of political balance", and
 - b) appoint members to them giving effect to the wishes (where expressed) of the relevant political group.
- 6.6 ***"The principles of political balance" are: -
 - a) that not all seats on the committee, sub-committee or relevant joint authority or joint committee ("the body") are allocated to the same political group;
 - b) that the majority of seats on the body are allocated to a political group holding the majority of seats on the Council;
 - c) that, subject to (a) and (b) above, the total number of seats held by each political group on all committees of the Council taken as a whole is proportionate to that group's membership of the authority;
 - d) that subject to (a) to (c) above, the number of seats held by each political group on individual bodies is proportionate to that group's membership of the Council.

COUNCIL PROCEDURE RULES

- 6.7 ***Where a political group wishes to change one of its appointed members on a committee or sub-committee, the Council or parent committee shall give effect to that group's wishes.
- 6.8 ***Where there is a change in the political balance of the Council, the allocation of seats on committees and sub-committees will be reviewed and changes made: -
- a) to reflect the new political balance; and,
 - b) the wishes of the political groups.
- 6.9 ***Any scrutiny committee discharging education functions shall also contain, as voting members, three elected representatives of parent governors; and one appointed representative each of the Church of England and the Roman Catholic Church.
- 6.10 In addition to Rule 5.2 non-voting co-opted members may be appointed by: -
- a) The Council, to serve on its committees; and,
 - b) Committees to serve on their sub-committees.
- 6.11 As well as allocating seats on committees, the Council may allocate seats in the same manner for substitute members.
- 6.12 For each committee, the Council may appoint up to the same number of substitutes in respect of each political group as that group holds seats on that committee.
- 6.13 Substitutes will have all the powers and duties of an ordinary member of the committee but will not be able to exercise any special powers exercisable by the person they are substituting.
- 6.14 Substitute members may attend meetings only in the capacity set out below: -
- a) to take the place of the ordinary members for whom they are designated substitute;

COUNCIL PROCEDURE RULES

- b) where the ordinary member will be absent for the whole of the meeting; and
- c) after notifying the Chief Executive by 9.00am on the day of the meeting of the intended substitution.

7. The Leader and the Executive

- 7.1 The Council will elect the Leader at each Annual Meeting for a period of one municipal year expiring on the date of the next annual meeting.
- 7.2 The Council may re-elect the same Councillor as the Leader on the expiry of his/her term of office.
- 7.3 If before the expiry of the Leader's term of office, the Leader –
 - (a) resigns the office of Leader, or
 - (b) ceases to be a councillor, or
 - (c) is removed from office by a resolution of the Council under Article 7.3 (c)(iii) in Part 2 of the Constitution,
 the Council will elect a new Leader as soon as reasonably practicable.
- 7.4 The Leader will appoint between two and nine councillors to the Executive (one of whom will be appointed by the Leader to act as Deputy Leader).

8. Chairs and Deputy Chairs

- 8.1 The Council will appoint an Independent Member of the Standards Committee as Chair of the Committee on the recommendation of the Constitutional and Nomination Committee.
- 8.2 The Council will appoint chairs and (where required) deputy chairs of ordinary committees, scrutiny committees, and advisory committees on the recommendation of the Constitutional and Nomination Committee.
- 8.3 Chairs and (where required) deputies of sub-committees of committees will be appointed by the parent committee.

COUNCIL PROCEDURE RULES

- 8.4 The Council may at any time remove a chair or deputy of a committee.
- 8.5 Where there is a vacancy for chair, the deputy chair will act as chair until the Council fills the vacancy.
- 8.6 Where there are vacancies for both chair and deputy, the committee or sub-committee will appoint one of its members to be temporary chair until the vacancy is filled by the Council.
- 8.7 Written notice of the resignation of a chair or deputy will be effective on receipt by the Chief Executive.
- 8.8 A member may not be chair or deputy of the same committee, or of the same sub-committee, for more than four consecutive whole municipal years.
- 8.9 A member who has been the chair of the same committee for four municipal years cannot be the deputy chair during the following year.
- 8.10 If the chair and deputy are disqualified from acting, are absent, or decline to act as chair, the meeting will appoint another member to chair the meeting. If that member has to leave, another member should be appointed.
- 8.11 A joint meeting should appoint a member to chair the meeting.

9. Decisions Taken Under Delegated Powers

- 9.1 Rule 9 applies to decisions taken by the Art Galleries Committee and the Personnel Committee (“relevant Committees”) exercising delegated powers.
- 9.2 Decisions taken by a relevant committee exercising delegated powers will become valid decisions of the authority as from the time and date set out below: -
 - a) where Rule 9.4 applies, with immediate effect.
 - b) where the Chief Executive has not received a relevant requisition, as from 4.00pm on the fifth working day after the day on which the decision was published.

COUNCIL PROCEDURE RULES

- c) where the Chief Executive receives a relevant requisition, as from the date when the decision is confirmed by Council, with or without modification or amendment.

9.3 A relevant requisition is a written request that a decision should not take effect until confirmed by Council, where such request: -

- a) has been delivered to the Chief Executive before 4.00pm on the fifth working day after the day on which the decision was published; and
- b) has been signed by: -
 - (i) any five members of the Council [this requirement will be satisfied either by individual letters from five members or one requisition signed by five members]; or
 - (ii) the Chair of a Scrutiny Committee whose remit covers the decision in question.

9.4 Decisions taken by a relevant committee exercising delegated powers will become valid decisions of the authority with immediate effect: -

- a) where a matter has been approved in principle by Council and specifically delegated to a relevant Committee for detailed implementation, or
- b) where the relevant Committee determines on the written advice of either the Head of the Paid Service, the Chief Finance Officer or the Monitoring Officer that a matter is urgent on the grounds that the legal or financial position of the Council or the interests of the Council or the residents of Manchester would be prejudiced if action were not taken in advance of the next ordinary Council meeting, or
- c) where the relevant Committee determines on the advice of the City Solicitor that a matter is quasi-judicial.

9.5 Decisions of relevant Committees will be published, including where possible by electronic means, and shall be available at the main office of the Council normally within 2 working days of being made. The record of the decisions will bear the date on which it is published.

Part 4 Section A (~~Adopted~~ Amended under delegated powers April ~~November~~ 2022²¹)

Page 13 of 34

COUNCIL PROCEDURE RULES

10 Convening Meetings

- 10.1 Subject to rule 10.2, the Chief Executive is responsible for determining the schedule of and convening all meetings.
- 10.2 Dates and times of ordinary meetings of the Council, will be agreed by the Council at the Annual Meeting.
- 10.3 The Annual Meeting of the Council will take place on the second Wednesday following the municipal elections in May unless otherwise agreed by the Council.
- 10.4 ***A meeting of the Council will take place between 1st March and 10th March each year in order to calculate the budget requirement and set the Council tax.
- 10.5 Council meetings will start at 10.00 am unless otherwise determined by the Lord Mayor.
- 10.6 ***Those listed below may request the Chief Executive to call extraordinary Council meetings: -
- a) The Council by resolution
 - b) The Lord Mayor
 - c) The Monitoring Officer
 - d) any five members of the Council if they have signed a requisition presented to the Lord Mayor and he/she refused to call a meeting or has failed to do so within seven days of the presentation of a requisition.
- 10.7 Meetings of committees and sub-committees will be convened by the Chief Executive in consultation with the chair.

10.8 The Chief Executive will give notice to the public of the time and place of any meeting in accordance with the Access to Information Procedure Rules at Section B of Part 4 of the Constitution. At least five clear days before a meeting the Chief Executive will authenticate (by signature or otherwise) and send to every

Part 4 Section A (~~Adopted~~ Amended under delegated powers April ~~November~~ 2022²¹)

Page 14 of 34

COUNCIL PROCEDURE RULES

member of the Council a summons by, (i) leaving it at, or sending it by post, to the member's usual place of residence; (ii) where the member has specified an address other than the member's usual place of residence, leaving it at, or sending it by post, to that different address; or (iii) where the member has given consent for the summons to be transmitted in electronic form to a particular electronic address (and consent has not been withdrawn), sending it in electronic form to that address. The summons will give the date, time and place of each meeting and specify the business to be transacted, and will be accompanied by such reports as are available.

11 C cancelling Meetings

- 11.1 The Chief Executive may cancel or postpone any meeting, in consultation with the chair (or the Lord Mayor in the case of City Council meetings), prior to the issue of the agenda or subsequently if there is no business to be transacted other than procedural matters such as the appointment of a Chair or the consideration of the minutes of a previous meeting.

12. Withdrawal of Items from Agenda

- 12.1 The Chief Executive may, in consultation with the City Solicitor and the Chair (or the Lord Mayor in the case of City Council meetings) withdraw an item from the agenda for legal reasons.

13. Order of Business

- 13.1 Subject to Rules 13.3; 13.4; and 14.8, the Agenda and order of business for meetings will be determined by the Chief Executive in consultation with the appropriate chair and chief officers. Items may be withdrawn from an agenda with the consent of the meeting.
- 13.2 The order of business may be altered at the meeting.
- 13.3 Business at the Annual Meeting of the Council will consist of: -

a) Election of the Lord Mayor and appointment of the Deputy Lord Mayor.

Part 4 Section A (~~Adopted~~ Amended under delegated powers April ~~November~~ 2022²¹)

Page 15 of 34

COUNCIL PROCEDURE RULES

- a)b) Special business (including the submission of any urgent business) introduced by the Lord Mayor.
- ~~b) Election of the Lord Mayor and appointment of the Deputy Lord Mayor.~~
- c) Approval of the minutes of the last meeting as a correct record
- d) Disclosure of pecuniary and non-pecuniary interests by members.
- e) Election of the Leader of the Council
- f) Appointment of scrutiny committees, a Standards Committee, an Art Galleries Committee, a Licensing Committee and such other committees as the Council considers appropriate to deal with matters which are neither reserved to the Council nor are executive functions as set out in Part 3 of the Constitution.
- g) Appointment of representatives.
- h) ...
- i) ...
- j) ...
- k) Approval of dates of meetings.
- l) Approval of the non-executive parts of the Constitution, including approval of the delegation of the Council's non-executive functions in the Scheme of Delegation set out in Part 3 of this Constitution, if in the opinion of the Monitoring officer there are any changes to the Constitution that should be made.
- m) ...
- n) ...

COUNCIL PROCEDURE RULES

- o) Any other business specified in the summons to the meeting.

13.4 The business at all ordinary Council meetings will consist of: -

- a) Where the Lord Mayor and Deputy Lord Mayor are absent, to appoint a person to chair the meeting.
- b) Any announcements or special business (including the submission of any urgent business) introduced by the Lord Mayor.
- c) Disclosure of pecuniary and non-pecuniary interests by members.
- d) Approval of the minutes of the last meeting as a correct record.

(The Lord Mayor will move that the minutes be approved and, if so approved, will sign them as a correct record. There will be no discussion on the minutes except as to their accuracy).
- e) Notices of Motion submitted under Rule 14.1 (to be dealt with in their order of receipt by the Chief Executive).
- f) Consideration by the Council of any Petitions Requiring Debate that have been submitted in accordance with the Council's Petition Scheme and which have been included on the Agenda for the Council meeting.
- g) Any business remaining from previous meetings.
- h) Report of the Executive and any questions under Rules 23.2 and 23.3.
- i) Reports or minutes of scrutiny committees, including matters referred to Council by scrutiny committees.
- j) Minutes of other committees and any questions under Rules 23.2 and 23.3.
- k) ...
- l) Any other business specified in the summons to the meeting.

COUNCIL PROCEDURE RULES

13A. Petitions Requiring Debate

13A.1 Presentation of Petition Requiring Debate

Where a Petition Requiring Debate has been properly submitted in accordance with the Council's Petition Scheme and is included on the Agenda for an ordinary or extraordinary Council meeting, the main petition contact (as defined in the Council's Petition Scheme) or a person nominated by the main petition contact to act on their behalf, will be allowed to speak for a maximum of 5 minutes in order to present their petition to Council.

13A.2 Petition Debate

A Petition Requiring Debate under the Council's Petition Scheme will be debated by Council Members. Any Council Member who speaks in a Petition Debate may speak only once and for a maximum of 5 minutes on the issue raised by the Petition Requiring Debate. When chairing a Petition Debate the Lord Mayor will normally seek to ensure that the Petition Debate lasts for a maximum of 25 minutes, although the Lord Mayor has discretion to extend the time for a Petition Debate where he/she considers this to be appropriate.

13A.3 Council Response to Petitions following a Petition Debate

At the end of the Petition Debate, the Lord Mayor will ask for motions on how the Council wishes to respond to the Petition Requiring Debate which may include:

- a) Taking the action requested in the Petition, with or without modifications;
- b) Noting the Petition, but not taking the action requested in the Petition;
- c) Commissioning further investigation into the matter;
- d) Deciding whether to make recommendations to the Executive where the matter falls to the Executive to make the final decision.

Members will vote on such motion(s) by voice or by show of hands, or by ballot, at the discretion of the Lord Mayor.

COUNCIL PROCEDURE RULES

13A.4 Notification of the Council's Response following a Petition Debate

The main petition contact will receive written confirmation from the Council of the decision taken by the Council following a Petition Debate and this will be published on the Council's Petition Website (unless under all the circumstances the Council believes that this would be inappropriate).

14. Notices of Motion to Council

- 14.1 Except for the motions which can be moved without notice under Rule 19.1, and motions in relation to the Council's response to a Petition Requiring Debate under Rule 13A.3, written notice of every motion must be received by the Chief Executive no later than 12.00 noon on the ninth day before the meeting (e.g. where the Council meeting is held on a Wednesday, the Monday in the week preceding the Council meeting), unless that day is not a working day in which case the notice must be received by noon on the first working day thereafter.
- 14.2 Notices of Motions must be signed by the proposer and four other members. For this purpose, and without prejudice to the discretion afforded the City Solicitor under Rule 1.4A, an email from a Councillor, using their individual City Council email account, confirming that they wish to be a signatory to a particular Notice of Motion will constitute their signature being applied to the Notice of Motion.
- 14.3 The proposer of the motion will be taken as being the first signature on the Notice of Motion, unless otherwise indicated on the notice.
- 14.4 Subject to the exception in Rule 14.10, the motion will be withdrawn from the agenda if the proposer is not present at the time that it is due to be considered.
- 14.5 The Chief Executive will number each Notice of Motion in the order in which it is received, and provided it is in order will publish the Notice of Motion together with a record of the time and date of its receipt, in a register open to public inspection.
- 14.6 Motions must either be about matters which affect the Council or the interests of the City or its residents, provided that no such motion may

COUNCIL PROCEDURE RULES

seek to take a decision in respect of a matter which is the responsibility of the Executive (other than to make a recommendation).

14.7 Following consultation with the Chief Executive, the Lord Mayor may: -

- a) Exclude from the Council agenda any motion which s/he considers to be out of order; or,
- b) Amend any motion subject to the agreement of the proposer.

14.8 Valid motions will be listed on the Council agenda in the order in which they were received.

14.9 The proposer may withdraw a motion at any time prior to its consideration. Such a withdrawal must be put in writing to the Chief Executive.

14.10 When the proposer of a motion is unable to attend the Council meeting s/he may write to the Lord Mayor authorising another member who signed the motion to move it. If no such authority has been given, the motion falls.

14.11 Motions containing proposals which would: -

- a) Increase the capital and / or, revenue expenditure of the Council and / or,
- b) Reduce the income of the Council

must first be referred to the Executive by the Chief Executive prior to consideration by Council.

14.12 Prior to consideration of a motion, the Lord Mayor may invite the meeting to decide whether it should be referred to the Executive or an appropriate committee(s) for a report.

15. Quorum

15.1 The minimum number of voting Members necessary for business to be dealt with at each meeting (the "Quorum") is as follows: -

COUNCIL PROCEDURE RULES

<i>Council</i>	25
<i>Committees (with more than 12 Members)</i>	5
<i>Committees (with 12 or fewer Members)</i>	3
<i>Joint meetings*</i>	<i>Relevant Quorum from each Committee</i>
<i>Sub-Committees</i>	3
<i>Joint meetings*</i>	<i>3 from each sub-committee</i>

[*In determining that a quorum for a joint meeting is present, a member who sits on more than one of the committees / sub - committees involved shall be counted towards each individual quorum.]

- 15.2 Subject to Rule 15.4, there must be a quorum within fifteen minutes of the scheduled start time of a meeting, otherwise it cannot take place.
- 15.3 If, during the course of a meeting, the number of members present falls below the quorum the meeting cannot continue and any outstanding business will be carried forward to the next meeting.
- 15.4 The City Solicitor (or the City Solicitor's representative) may determine, with the agreement of the members present, that the deadline in Rule 15.2 should be extended by a further period (not exceeding 45 minutes) provided that
- (a) there is a reasonable expectation that a quorum could be achieved by extending the deadline, and
 - (b) failure to determine the matter on the day of the meeting would cause serious prejudice or cause serious inconvenience to parties affected.

16. Minutes of Meetings

- 16.1 The City Solicitor will prepare the minutes of every meeting.
- 16.2 ***The minutes will be submitted for approval and signature as a correct record at the following meeting, unless that meeting is an extraordinary meeting in which case they may be submitted to the next ordinary meeting.
- 16.3 ***The names of members present at a meeting will be recorded in the minutes.

Part 4 Section A (~~Adopted Amended under delegated powers April~~ **November** 2022²¹)

Page 21 of 34

COUNCIL PROCEDURE RULES

17. Committee Minutes/Reports Submitted to Council

17.1 When moving approval of committee minutes, a chair may: -

- a) Correct any factual inaccuracy; and / or,
- b) Following an explanation, seek the consent of Council to take back any item for reconsideration by the committee.

There will be no discussion.

17.2 ***If a chair declares a prejudicial interest in part of a committee's minutes, s/he should move them with the exception of that part.

17.3 A chair may move part of a committee's minutes, s/he may move them with the exception of any item.

17.4 Any excepted items must be moved, after the other proceedings have been dealt with, by another member of the committee who was present at that meeting.

18. Amendments to be Moved at Council

18.1 Subject to Rules 18.2, 18.3, 18.4, 18.5 and 18.6 amendments to be moved at Council must be in writing and be received by the Chief Executive at least 30 minutes before the meeting.

18.2 Amendments to items of urgent business circulated on the day of the meeting must be in writing and received by the Chief Executive within 30 minutes of the item being circulated to members.

18.3 When the Executive makes recommendations to the Council in relation to calculating the budget requirement and setting the Council tax, any amendments to those recommendations which affect those calculations or the level of Council tax must be submitted in writing and received by the Chief Executive by 4.00 p.m. on the seventh day after the meeting of the Executive. Any such amendment, together with the recommendations of the Executive, will be referred to the Resources and Governance Scrutiny Committee which will report to the Council meeting in March at which the Council calculates the budget and sets the Council Tax ("the Budget Council").

Part 4 Section A (~~Adopted~~ Amended under delegated powers April ~~November~~ 2022²¹)

Page 22 of 34

COUNCIL PROCEDURE RULES

18.4 Nothing in Rule 18.3 will prevent –

- a) Members moving amendments at Budget Council in accordance with Rule 18.1, or
- b) the Executive reconvening and revising their recommendations to Budget Council,

where such amendments or revised recommendations arise out of the proceedings of the Resources and Governance Scrutiny Committee, nor will anything in Rule 18.3 require a further meeting of the Resources and Governance Scrutiny Committee in such circumstances.

18.5 Amendments to the Constitution of the Council (other than amendments proposed by the Monitoring Officer pursuant to Article 15 of Part 2 of this Constitution) must be submitted in writing and received by the Chief Executive not later than 12.00 noon on the ninth day preceding the Council meeting (e.g. where the Council meeting is held on a Wednesday, the Monday in the week preceding the meeting) unless that day is not a working day in which case the amendment must be received by noon on the first working day thereafter.

18.6 Where the Monitoring Officer has submitted proposals to change the Constitution pursuant to Article 15 of Part 2 of this Constitution, nothing in Rule 18.5 shall prevent members from: -

- a) disapproving the proposed changes; or
- b) Moving amendments to the proposed changes at Council in accordance with Rule 18.1.

18.7 All amendments submitted: -

- a) Must only refer to matters to be considered at the meeting.
- b) Must identify the item to be amended and the name of the mover and seconder.
- c) Must be relevant to the item.

COUNCIL PROCEDURE RULES

- d) May refer the item back to the Executive or, as appropriate, to a committee or sub-committee, for further consideration.
- e) May add words.
- f) May delete words or, where more than one item is moved at the same time, may delete any item.
- g) May not be made to decisions of a committee or sub-committee under delegated powers.

h) Must not have the effect of wholly negating the original motion.

- 18.8 Copies of every amendment received will be available to members at the meeting and in the public gallery. Where they are so available they need not be read out.
- 18.9 The Lord Mayor may allow two or more amendments to be debated together if it will help the business to be dealt with more efficiently.
- 18.10 If there is more than one amendment on any item, they will be dealt with in the order in which they were received or in an order determined by the Lord Mayor as being conducive to the efficient conduct of business.
- 18.11 An amendment must be moved by the member who submitted it.
- 18.12 The mover of a motion may not submit an amendment to their own motion.

19. Motions Without Notice at Council

- 19.1 The following motions may be moved without notice. There will be no discussion: -
 - a) To appoint a member to chair the meeting.
 - b) To approve or correct the minutes of the previous meeting.
 - c) To change the order of business on the agenda.

COUNCIL PROCEDURE RULES

- d) To combine debates on items on the agenda.
- e) To withdraw an item from the agenda.
- f) To move committee minutes.
- g) To withdraw any motion or amendment (by the mover).
- h) To not hear a member further.
- i) To require a member to leave the meeting.
- j) To extend the time limit on speeches.
- k) To suspend particular Rules. (see Rule 2.1)
- l) To exclude the public during consideration of confidential business.
- m) To go to the next business. If seconded, the vote will then be taken. If carried, the item under discussion will be treated as withdrawn.
- n) To put the question immediately to a vote. If seconded, the vote will then be taken. If carried, the mover of the original motion shall have a right of reply.
- o) To adjourn the debate. If seconded, the vote will then be taken, and if carried, there are no rights of reply. On resuming the debate, the member who moved the original motion will have the right to speak first.
- p) To adjourn the meeting. If seconded and before taking the vote, the Lord Mayor will deal with any unopposed business (i.e. against which no amendment has been notified in accordance with Rules 18.1 to 18.3). If the motion to adjourn is carried, there will be no right of reply allowed.

(NOTE: During the course of a debate motions (k) to (p) may only be moved by members who have not already spoken in the debate).

COUNCIL PROCEDURE RULES

- 19.2 A member may not move any of the motions in Rule 19.1 more than once at a meeting. If a motion under that Rule 19.1 is not carried, it cannot be moved again on the same item unless the Lord Mayor decides the circumstances have changed significantly.

20. Submission of Motions and Amendments

- 20.1 Motions and amendments must relate to items included on the agenda or accepted by the meeting as urgent business.
- 20.2 An amendment cannot be considered if it is inconsistent with an amendment previously adopted or repeats an amendment previously rejected.
- 20.3 The mover of a motion may, with the consent of the mover of an amendment, incorporate an amendment into the motion. If this happens, the mover of the amendment will have the same speaking rights as if the amendment had been debated separately.
- 20.4 Motions and amendments cannot be debated until they have been moved and seconded.
- 20.5 Subject to Rule 18.9 and Rule 19.1(d) only one amendment may be moved at a time. No further amendments can be moved until the previous amendment has been dealt with.
- 20.6 Each amendment must be voted on separately.
- 20.7 If an amendment is carried, the amended motion becomes the substantive motion to which further amendments may be moved.
- 20.8 If an amendment is lost, other amendments may be moved to the original motion.
- 20.9 The mover may withdraw an amendment at any time in which case no debate will be allowed.
- 20.10 A member may only move one amendment on an item.

21. Speeches at Council

- 21.1 Speeches on motions and amendments will be in the following order: -

Part 4 Section A (~~Adopted~~ Amended under delegated powers April ~~November~~ 2022²¹)

Page 26 of 34

COUNCIL PROCEDURE RULES

- a) Mover then seconder of a motion.
- b) Mover then seconder of any amendment.
- c) Any other member including those who have reserved the right to speak.
- d) Right of reply of mover of the original motion.

21.2 The right of reply is to enable points made in the debate to be answered. New matters must not be introduced.

21.3 A member moving a motion may nominate another member (with the exception of the mover of a defeated amendment) to exercise the right of reply.

21.4 A member may only speak once on each of a motion and/or an amendment except:-

- a) When exercising a right of reply.
- b) When raising a point of order. (This is a request for the Lord Mayor to rule on an alleged irregularity in either the constitution or conduct of the meeting). In these circumstances:-
 - i) A point of order must be raised as soon as it arises.
 - ii) The member must refer to both the Rule in question and how it has been broken.
 - iii) A ruling must be given by the Lord Mayor before the debate continues.
 - iv) There will be no discussion on the ruling.
- c) When giving a personal explanation (i.e. when a member believes that a later speaker has misunderstood or misquoted him / her). In these circumstances:-
 - i) The member must ask the permission of the Lord Mayor to interrupt the speaker.

Part 4 Section A (~~Adopted~~ Amended under delegated powers April ~~November~~ 2022²¹)

Page 27 of 34

COUNCIL PROCEDURE RULES

- ii) There will be no discussion on the Lord Mayor's decision.
 - d) When exercising a reserved right to speak.
- 21.5 The right to speak later in the debate must be reserved when seconding a motion or amendment.
- 21.6 This right is subject to the member being called upon by the Lord Mayor to speak.
- 21.7 A member may only speak about the matter under debate except when raising a point of order or giving a personal explanation.
- 21.8 Subject to Rule 21.9, speeches must be no longer than six minutes although they may be extended by five minutes if the meeting agrees. The meeting may also change these time limits.
- 21.9 Speeches by the member of the Executive for Finance and Human Resources and the finance spokesperson of the opposition group(s) at the meeting referred to in Rule 10.4 to calculate the budget requirement and set Council Tax levels shall be up to 20 minutes duration, but all other speeches shall be subject to the limitations in Rule 21.8 above.
- 21.10 Members should address the meeting through the Lord Mayor.

22. Voting

- 22.1 Subject to the provisions of any enactment and Rule 22.2 and 22.2A, members will vote by voice or by show of hands, or by ballot or by electronic voting system, at the discretion of the Lord Mayor. Where there is a vote by show of hands, all members wishing to vote “for” the motion or amendment should vote before the votes “against” are taken, including the Lord Mayor using his/ her first (but not casting) vote.
- 22.2 At Council: -
 - a) Ten members may demand before the vote is taken that the names of those voting be recorded in the minutes.
 - b) Members may not vote unless they are in the meeting when the matter is put to the vote.

Part 4 Section A (~~Adopted~~ Amended under delegated powers April ~~November~~ 2022²¹)

Page 28 of 34

COUNCIL PROCEDURE RULES

22.2A At a budget decision meeting of the Council:-

- a) Immediately after any vote is taken at a budget decision meeting of the Council there must be recorded in the minutes of the proceedings of that meeting the names of the persons who cast a vote for the decision or against the decision or who abstained from voting.
- b) References to a “budget decision meeting” for the purposes of this Rule 22.2A means a ,meeting of the Council at which it makes a calculation (whether originally or by way of substitute) in accordance with any of sections 31A, 31B, 34 to 36A or 52ZF of the Local Government Finance Act 1992 and includes a meeting where making the calculation was included as an item of business on the agenda for that meeting.
- c) References to a “vote” for the purposes of this Rule 22.2A are references to a vote on any decision related to the making of the calculation.

22.3 ***A member may demand that his / her vote be recorded in the minutes.

22.4 ***Subject to the provisions of any enactment and Rule 22.7 all questions will be decided by a majority of members present and voting.

22.5 If there are more than two people nominated for any one position to be filled and there is not a clear majority in favour of one person, then the name of the person with the least number of votes will be taken off the list and a new vote taken. The process will continue until there is a majority of votes for one person.

22.6 ***Where there is an equality of votes, the Lord Mayor may exercise a second or casting vote.

22.7 ***A decision to promote or oppose a local or personal Bill in Parliament under Section 239 of the Local Government Act 1972 or to [apply for or] oppose an order under the Transport and Works Act 1992 will require to be passed by a majority of the whole number of the Council (i.e. 49 affirmative votes are required).

COUNCIL PROCEDURE RULES

23. Questions at Council

- 23.1 A member may submit a question to the Leader of the Council or a member of the Executive in respect of reports of the Executive submitted to Council.
- 23.2 Subject to Rule 23.4, a member of the Council may ask the Leader of the Council, a member of the Executive, or the chair of a committee, a question on any matter in relation to which the Council has powers or duties, or which affects the interests of Manchester or its residents.
- 23.2A Questions that may be put to the Leader of the Council or to a member of the Executive under Rule 23.2 include questions about any matter which relates to the work of the following bodies on which the Council is represented by the Leader or a member of the Executive:
- a) The Greater Manchester Combined Authority;
 - b) The Greater Manchester Transport Committee; ~~and~~
 - c) The Greater Manchester Police and Crime Panel; ~~and~~
 - d) The Greater Manchester Integrated Care Partnership.**
- 23.3 Subject to Rule 23.4, a member of the committee or sub committee may ask the chair of it a question on any matter in relation to which the Council has powers or duties, or which affects Manchester, and which falls within the terms of reference of that committee or sub committee.
- 23.4 A member may only ask a question under Rules 23.2 or 23.3 if either: -
- a) they have given at least 48 hours notice in writing of the question to the Chief Executive; or
 - b) the question relates to urgent matters, they have the consent of the person to whom the question is to be put and the content of the question is given to the Chief Executive at least two hours prior to the start of the meeting.
- 23.5 An answer may take the form of: -
- a) a direct oral answer;

Part 4 Section A (~~Adopted Amended under delegated powers April~~ **November 2021**)

Page 30 of 34

COUNCIL PROCEDURE RULES

- b) where the desired information is contained in a publication of the Council or other published work, a reference to that publication; or
- c) where the reply cannot conveniently be given orally, a written answer circulated later to the questioner.

23.6 A member asking a question under Rule 23.2 or 23.3 may ask one supplementary question without notice of the member to whom the first question was asked. The supplementary question must arise directly out of the original question or the reply.

23.7 The submission of a question under Rule 23.2 or 23.3 shall not restrict the right of a member to propose a motion or amendment upon the minutes.

24. [...]

25. Previous Decisions and Motions (Six Months' Rule)

25.1 Decisions of the Council made within the last six months may not be changed, unless this Rule is suspended. (see Rule 2.1)

25.2 A motion or amendment in similar terms to one that has been rejected at a meeting of Council in the past six months cannot be moved, unless this Rule is suspended.

26. Conduct at Meetings

26.1 If the Chair calls the meeting to order members shall be silent.

26.2 If a member behaves improperly or offensively or deliberately obstructs business, the Lord Mayor may direct the member to be silent.

26.3 If the member continues such behaviour the Lord Mayor may direct either that the member leave the meeting or that the meeting be adjourned for a specified period.

COUNCIL PROCEDURE RULES

- 26.4 If a member of the public interrupts proceedings, the Lord Mayor will warn the person concerned. If the interruption continues, the Lord Mayor may order his / her removal from the meeting.
- 26.5 If there is a disturbance which interrupts proceedings, or makes business impossible, the Lord Mayor may call for the room to be cleared of those making the disturbance, and may adjourn the meeting for as long as necessary.
- 26.6 Members of the Council, officers and members of the public shall not permit mobile telephones, pagers, or other such devices with audible operating signals, to be brought into Council, Committee or sub committee meetings unless the audible call function is muted for the duration of the meeting.

27. Duration of Council Meetings

- 27.1 Unopposed business may be taken if the meeting continues beyond 3.00pm, or such other time as the Lord Mayor may decide.
- 27.2 Unopposed business is business on which no amendment has been moved.

28. Recording, Filming, Photographing and other reporting of Meetings

Where the public are admitted to council, committee or sub-committee meetings, then audio recording, filming, photographing or other reporting of proceedings may take place in accordance with Rule 3.2 of Section B of Part 4 of this Constitution.

29. Notification and Declaration of Interests

- 29.1 In this Rule and, where relevant, Rule 30 –

“the Code” means the Conduct of Conduct for Members adopted by the Council under Section 28 of the Localism Act 2011;

“disclosable pecuniary interest” means an interest specified in the Relevant Authorities (Disclosable Pecuniary Interests) Regulations 2012 and set out in paragraph 8 of the Code;

“member of the Council” includes a voting co-opted member.

Part 4 Section A (~~Adopted~~ Amended under delegated powers April ~~November~~ 2022²¹)

Page 32 of 34

COUNCIL PROCEDURE RULES

“personal interest” for the purpose of Rule 29.2 means an interest described in paragraph 11 of the Code, and for the purpose of Rule 29.3 and Rule 30 means an interest described in paragraph 11 or 12.2 of the Code;

“prejudicial interest” means an interest described in paragraph 13.1 of the Code;

29.2 Members of the Council must within 28 days of their election or appointment to office notify the Monitoring Officer in writing of the details of their disclosable pecuniary interests (including, where required, interests of their partner) and their personal interests.

29.3 Where a member of the Council is present at a meeting and has a disclosable pecuniary interest or, subject to paragraphs 12.4 to 12.6 of the Code, a personal interest in any matter to be considered at the meeting, they must disclose the interest to the meeting.

30. Non-participation and withdrawal in the case of Disclosable Pecuniary Interests and Prejudicial Interests.

30.1 Where a member of the Council has a disclosable pecuniary interest or, subject to paragraphs 13.3 and 13.4 of the Code, a prejudicial interest in any matter being considered at a meeting, they must not participate or vote on the matter and must withdraw from the room of the meeting while the matter is being considered.

31. Honorary Titles and Awards

31.1 The Council may, by a resolution passed by not less than two thirds of the members voting thereon at a meeting of the Council specially convened for the purpose with notice of the object given, confer the title of honorary aldermen or alderwomen on persons who have, in the opinion of the Council, rendered eminent services to the Council as past members of the Council, but who are not then members of the Council.

31.2 The Council may, by a resolution passed by not less than two thirds of the members voting thereon at a meeting of the Council specially convened for the purpose with notice of the object given, admit to be honorary freemen or honorary freewomen of the city, persons of

COUNCIL PROCEDURE RULES

distinction and persons who have, in the opinion of the Council, rendered eminent services to the city.

- 31.3 The Council may, by resolution at a meeting of the Council specially convened for the purpose with notice of the object given, formally recognise the outstanding contributions and achievements of individuals and organisations whatever their field or expertise under either of the categories described in Rules 31.4 and 31.5 below (the making of such a resolution to be known as “The City of Manchester Award”).

- 31.4 The first category referred to in Rule 31.3 (to be known as “Outstanding Contribution to Manchester”) shall relate to an individual or organisation who lives, works or studies in the city (or who has previously lived, worked or studied in the city) and who has, in the opinion of the Council, made such an exceptional contribution to Manchester that it should be formally recognised and recorded in the city’s history. Outstanding Contributions may arise from a one off event or specific achievement, or consistent excellence, which has had a positive impact on Manchester, its people or the city’s reputation nationally or internationally.

- 31.5 The second category referred to in Rule 31.3 (to be known as “Honorary Mancunian”) shall relate to an individual who does not live or work in the city but who by their actions has, in the opinion of the Council, made an exceptional contribution to the city, its people or the city’s reputation nationally or internationally.

ACCESS TO INFORMATION PROCEDURE RULES

Section B

Access To Information Procedure Rules

ACCESS TO INFORMATION PROCEDURE RULES**Part 4 - Section B: Access to Information Procedure Rules****CONTENTS**

Rule 1.	Scope
Rule 2.	Definitions
Rule 3.	Rights to Attend Meetings
Rule 4.	Notices of Meetings
Rule 5.	Access to Agenda and Reports Before Meeting
Rule 6.	Supply of Copies
Rule 7.	Access to Minutes Etc After the Meeting
Rule 8.	Background Papers
Rule 9.	Summary of Public's Rights
Rule 10.	Exclusion of Access by the Public to Meetings
Rule 11.	Exclusion of Access by Public to Reports
Rule 12.	Business Containing Exempt Information
Rule 13.	Public Rights of Appeal
Rule 14.	Application of Rules to the Executive
Rule 15.	Key Decisions
Rule 16.	Publicity and Procedure in Connection with Key Decisions
Rule 17.	General Exception
Rule 18.	Special Urgency
Rule 19.	Reports to Council
Rule 20.	Procedures Prior to Private Meetings
Rule 21.	Recordings of Executive Decisions made at Meetings
Rule 22.	Recording of Executive Decisions made by Individuals
Rule 23.	Recording of Non-Executive Decisions Made by Individuals
Rule 24.	Scrutiny Committees Access to Documents
Rule 25.	Additional Rights of Access for Members – The Executive
Rule 25A.	Additional Rights of Access for Members – The Council
Rule 26.	Rights of Members – “Need to Know”

ACCESS TO INFORMATION PROCEDURE RULES

1. SCOPE

- 1.1 Except as otherwise indicated, Rules 3 - 13 and Rule 26 apply to all meetings of the Council, scrutiny committees, area committees, the regulatory and other non-executive committees and sub-committees and meetings of the Executive and committees of the Executive (together called meetings). Rules 15 – 22 and 24 - 25 apply exclusively to executive decisions, meetings of the Executive and documents in the possession or under the control of the Executive. **Rule 25A applies exclusively to documents in the possession or under the control of the Council, relating to meetings of the Council, its committees or sub-committees.**
- 1.2 These Rules do not affect any more specific rights to information contained elsewhere in this Constitution or the law.

2. DEFINITIONS

In these Rules: –

- (a) “decision-maker” means the decision-making body by which, or the individual by whom, a decision is taken;
- (b) “the decision-making body” includes the Executive or a committee of the Executive;
- (c) “executive decision” means a decision made or to be made by a decision-maker in connection with the discharge of a function which is the responsibility of the Executive;
- (d) “key decision” has the meaning given in Rule 15;
- (e) “political adviser or assistant” means a person appointed pursuant to section 9 of the Local Government and Housing Act 1989;
- (f) “private meeting” means a meeting, or part of a meeting, during which the public are excluded under Rule 10;
- (g) “public meeting” means a meeting which is open to the public in accordance with Rule 3;
- (h) “relevant scrutiny committee” means such scrutiny committee(s) as determined by the City Solicitor.

3. RIGHTS TO ATTEND MEETINGS

- 3.1 Members of the public may attend all meetings subject only to the exceptions in Rule 10.
- 3.2 While a meeting is open to the public any member of the public attending may report on that meeting by:

ACCESS TO INFORMATION PROCEDURE RULES

- (a) filming, photographing or making an audio recording of proceedings at a meeting;
- (b) using any other means for enabling persons not present to see or hear proceedings at a meeting as it takes place or later; or
- (c) reporting or providing commentary, in writing, on proceedings at a meeting so that the report or commentary is available as the meeting takes place or later to persons not present (oral reporting or commentary is not permitted during a meeting, but may be provided outside or after the meeting).

4. NOTICES OF MEETINGS

- 4.1 The Council will give at least five clear days notice of any meeting by posting details of the meeting at the main office of the Council and on the Council's website.
- 4.2 Where the meeting is convened at shorter notice, notice will be posted at the time the meeting is convened.

5. ACCESS TO AGENDA AND REPORTS BEFORE THE MEETING

- 5.1 Subject to Rule 11 a copy of the agenda and any report for a meeting will be available for public inspection at the main office of the Council and on the Council's website.
- 5.2 Any document which is required by Rule 5.1 to be subject to public inspection must be available for at least 5 clear days before the meeting except that -
 - (a) where the meeting is convened at shorter notice, a copy of the agenda and associated reports must be available for inspection when the meeting is convened; and
 - (b) where an item which would be available for public inspection is added to the agenda, copies of the revised agenda and any report relating to the item must be available for inspection when the item is added to the agenda.

6. SUPPLY OF COPIES

- 6.1 The Council will supply copies of:
 - (a) any agenda and reports which are open to public inspection;
 - (b) any further statements or particulars, if any, as are necessary to indicate the nature of the items in the agenda; and

ACCESS TO INFORMATION PROCEDURE RULES

- (c) if the City Solicitor thinks fit, copies of any other documents supplied to Members in connection with an item;

to any person (including any newspaper) on payment of a charge for postage, copying and any other costs.

- 6.2 A reasonable number of copies of the agenda and reports for the public part of the meeting will be available for the use of members of the public present at the meeting.
- 6.3 The Council will also supply copies of documents available for public inspection under Rule 7 and Rule 8.2 to any person (including any newspaper) on payment of a charge for postage, copying or any other costs.

7. ACCESS TO MINUTES ETC AFTER THE MEETING

- 7.1 The Council will make available at the main office of the Council and on the Council's website as soon as reasonably practicable after a meeting copies of the following: -
 - (a) the minutes of the meeting and records of decisions taken, together with reasons, at meetings of the Executive, excluding any part of the minutes of proceedings when the meeting was not open to the public or which disclose exempt or confidential information;
 - (b) a summary of any proceedings not open to the public where the minutes open to inspection would not provide a reasonably fair and coherent record;
 - (c) the agenda for the meeting; and
 - (d) reports relating to items when the meeting was open to the public.
- 7.2 The above documents will be retained by the Council and be open to inspection for six years after the meeting.

8. BACKGROUND PAPERS

8.1 List of background papers

The relevant Chief Officer will set out in every report and with every written statement made under Rule 23.1 a list of those documents (called background papers) relating to the subject matter of the report which in his/her opinion: -

- (a) disclose any facts or matters on which the report or an important part of the report is based; and

ACCESS TO INFORMATION PROCEDURE RULES

- (b) which have been relied on to a material extent in preparing the report but does not include published works or those which disclose exempt or confidential information (as defined in Rule 10) and in respect of executive reports, the advice of a political adviser or assistant.

8.2 Public inspection of background papers

The Council will make available for public inspection at the main office of the Council and (in the case of executive documents and non-executive delegated decisions falling within Rule 23.1) on the Council's website one copy of each of the documents on the list of background papers. Such papers will be available from the time the report or written statement (to which they are background papers) is available until four years after the date of the meeting.

9. SUMMARY OF PUBLIC'S RIGHTS

A written summary of the public's rights to attend meetings and to inspect and copy documents must be kept at and be available to the public at the main office of the Council.

10. EXCLUSION OF ACCESS BY THE PUBLIC TO MEETINGS

10.1 Confidential information - requirement to exclude public

The public must be excluded from meetings whenever it is likely in view of the nature of the business to be transacted or the nature of the proceedings that confidential information would be disclosed.

10.2 Meaning of confidential information

Confidential information means information given to the Council by a Government Department on terms which forbid its public disclosure or information which cannot be publicly disclosed by reason of a Court Order or any enactment.

10.3 Exempt information - discretion to exclude public

The public may be excluded from meetings by a resolution passed by the meeting whenever it is likely in view of the nature of the business to be transacted or the nature of the proceedings that exempt information would be disclosed.

10.4 Meaning of exempt information

- (a) Exempt information means information falling within the following 7 descriptions (subject to any qualifications).

	Description	Qualification
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ACCESS TO INFORMATION PROCEDURE RULES

1.	Information relating to any individual	Information within paragraph 1 is exempt information if and so long, as in all the circumstances of the case, the public interest in maintaining the exemption outweighs the public interest in disclosing the information.
2.	Information which is likely to reveal the identity of an individual.	Information within paragraph 2 is exempt information if and so long, as in all the circumstances of the case, the public interest in maintaining the exemption outweighs the public interest in disclosing the information.
3.	Information relating to the financial or business affairs of any particular person (including the authority holding that information).	<p>Information within paragraph 3 is not exempt information if it is required to be registered under-</p> <ul style="list-style-type: none"> (a) the Companies Acts (as defined in section 2 of the Companies Act 2006); (b) the Friendly Societies Act 1974; (c) the Friendly Societies Act 1992; (d) the Co-operative and Community Benefit Societies Act 2014; (e) the Building Societies Act 1986; or (f) the Charities Act 2011. <p>Subject to the above, Information within paragraph 3 is exempt information if and so long, as in all the circumstances of the case, the public interest in maintaining the exemption outweighs the public interest in disclosing the information.</p>
4.	Information relating to any consultations or negotiations, or contemplated consultations or negotiations, in connection with any labour relations matter arising	Information within paragraph 4 is exempt information if and so long, as in all the circumstances of the case, the public interest in maintaining the exemption outweighs the public interest in disclosing the information.

ACCESS TO INFORMATION PROCEDURE RULES

	between the authority or a Minister of the Crown and employees of, or office holders under, the authority.	
5.	Information in respect of which a claim to legal professional privilege could be maintained in legal proceedings.	Information within paragraph 5 is exempt information if and so long, as in all the circumstances of the case, the public interest in maintaining the exemption outweighs the public interest in disclosing the information.
6	Information which reveals that the authority proposes – (a) to give under any enactment a notice under or by virtue of which requirements are imposed on a person; or (b) to make an order or direction under any enactment.	Information within paragraph 6 is exempt information if and so long, as in all the circumstances of the case, the public interest in maintaining the exemption outweighs the public interest in disclosing the information
7.	Information relating to any action taken or to be taken in connection with the prevention, investigation or prosecution of crime.	Information within paragraph 7 is exempt information if and so long, as in all the circumstances of the case, the public interest in maintaining the exemption outweighs the public interest in disclosing the information.

Information is not exempt information if it relates to proposed development for which the local planning authority may grant itself planning permission pursuant to regulation 3 of the Town and Country Planning General Regulations 1992.

- 10.5 A member or members of the public may be excluded from a public meeting by use of a lawful power in order to maintain orderly conduct or prevent misbehaviour at a meeting.

11. EXCLUSION OF ACCESS BY THE PUBLIC TO REPORTS

- 11.1 If the City Solicitor thinks fit, the Council may exclude access by the public to the whole or part of any reports which in his or her opinion

ACCESS TO INFORMATION PROCEDURE RULES

relate to items during which, in accordance with Rule 10, the meeting is likely not to be open to the public.

11.2 Where the whole or any part of a report is not available for public inspection by virtue of Rule 11.1 -

- (a) every copy of the whole report or part of the report must be marked "Not for Publication"; and
- (b) there must be stated on every copy of the report -
 - (i) that it contains confidential information; or
 - (ii) by reference to Schedule 12A of the Local Government Act 1972, the description of the exempt information by virtue of which the public are likely to be excluded during consideration of the item.

12. BUSINESS CONTAINING EXEMPT INFORMATION

12.1 A member of the public may request the Chief Officer who wrote a report to be considered at any meeting of the Council, its Committees or Sub Committees or the Executive to:-

- (a) recommend that an item of business containing exempt information should be taken in public; and/or,
- (b) allow him/her to inspect the report or any background document to a report.

12.2 Such a request must be made -

- (a) in the case of the meeting of the Executive or committee of the Executive where notice has been published under Rule 20.3, at least 6 clear days before the meeting; and
- (b) in any other case, at least 48 hours before the meeting.

12.3 If such a request is refused, the Chief Officer must give a reason for the decision together with details of the appeals procedure under Rule 13.1

12.4 A decision by a Chief Officer under Rule 12.2 **to refuse a request** will remain valid unless altered on appeal to the meeting which is to consider the item concerned.

13. PUBLIC RIGHTS OF APPEAL

13.1 The procedure for appealing against a Chief Officer's decision in accordance with Rule 12.2 is as follows: -

ACCESS TO INFORMATION PROCEDURE RULES

- (a) The appellant must write to the Chief Executive giving details of the item concerned together with the reason (s) for his / her request at least 24 hours before the meeting in question. The time of receipt of the appeal must be recorded as any received after this time will be invalid.
- (b) The appeal will be dealt with in private as the first item on the agenda.
- (c) Initially, only the Chief Officer (or representative) who refused the original request, the Chief Executive's representative and the Members concerned may be present.
- (d) The Chief Officer will report the matter to the meeting giving his/her reasons for the decision and Members will be allowed to ask questions.
- (e) The appellant will then be called into the meeting and the chair will summarise the reasons given for the refusal.
- (f) The appellant will give his / her reason (s) for the request and Members will be allowed to ask questions of the appellant and the Chief Officer but having regard to the confidentiality of the item.
- (g) Both parties will withdraw and the meeting will then consider and determine the appeal.

13.2 Where an appeal relates to the inspection of an exempt document and is not dealt with under Rule 13.1 (being made either after the meeting in question or less than 2 hours before), the following procedural timetable will apply: -

- (a) The appellant must submit the appeal in writing to the Chief Executive within 10 working days of the refusal by the Chief Officer;
- (b) The relevant body will determine the appeal within 8 weeks of its receipt.

ACCESS TO INFORMATION PROCEDURE RULES

14. APPLICATION OF RULES TO THE EXECUTIVE

Rules 15 - 22 and 24 – 25 apply exclusively to executive decisions, meetings of the Executive and committees of the Executive, and documents in the possession or under the control of the Executive. Rules 3 - 13 and 26 also apply to meetings of the Executive and its committees.

15. KEY DECISIONS

15.1 A “key decision” means an executive decision which is likely -

- (a) to result in the local authority incurring expenditure which is, or the making of savings which are, significant having regard to the local authority’s budget for the service or function to which the decision relates; or
- (b) to be significant in terms of its effects on communities living or working in an area comprising two or more wards in the area of the local authority.

15.2 For the purposes of (a) above significant expenditure or savings are expenditure or savings (including the receipt or loss of income or capital receipts) in excess of the lesser of £500,000 or (provided that it is not less than £50,000) 10% of the gross operating expenditure for any budget heading in the revenue budget approved by Council provided that the following decisions will not be key decisions, by virtue only of (a) above, whatever the level of expenditure or savings involved:

- (i) any decision to borrow money to meet the short term borrowing requirements of the Council, to fund the approved capital programme, to refinance maturing debt or to restructure the long term borrowing of the Council;
- (ii) any decision to invest funds in accordance with the Treasury Management Strategy approved by the Council;
- (iii) any decision implementing the business plan of any DSO/DLO with the exception of the letting of sub-contracts by the DSO/DLO with a value in excess of £250,000;
- (iv) any of the following decisions relating to the management of Council land subject to the Council obtaining best consideration: Rent reviews, release or waiver of covenants, short term leases (i.e. less than 7 years);
- (v) any decision to apply scheme-specific third party grants or contributions towards expenditure where the net cost to the City Council of the decision is below the level of significant expenditure or savings referred to above;

ACCESS TO INFORMATION PROCEDURE RULES

- (vi) the settlement of any actual or threatened legal proceedings in the interests of the Council;
- (vii) the acceptance of tenders for contracts wholly or mainly involving capital expenditure where the Deputy Chief Executive and City Treasurer in consultation with the Executive Member for Finance and Human Resources, has previously issued formal capital expenditure approval for the scheme¹;
- (viii) implementation of a capital project identified in the approved Capital Programme and in respect of which budget approval has been given and the detailed business case (or equivalent) has been approved.**

16. PUBLICITY AND PROCEDURE IN CONNECTION WITH KEY DECISIONS

- 16.1 Subject to Rule 17 (General Exception) and Rule 18 (Special Urgency), where a decision-maker intends to make a key decision, that decision must not be made unless at least 28 clear days before it is made -
- (a) a document is published containing the information set out in Rule 16.2; and
 - (b) that document is made available at the main office of the Council and published on the Council's website.
- 16.2 The document published under Rule 16.1 must state -
- (a) that a key decision is to be made on behalf of the Council;
 - (b) the matter in respect of which the decision is to be made;
 - (c) where the decision-maker is an individual, that individual's name and title and, where the decision-maker is a body, its name and a list of its Members;
 - (d) the date on which, or the period within which, the decision is to be made;
 - (e) a list of documents submitted to the decision-maker for consideration in respect of the matter;
 - (f) the address from which, subject to any prohibition or restriction on their disclosure, copies or extracts of any document listed are available;
 - (g) that other relevant documents may be submitted to the decision-maker; and
 - (h) the procedure for requesting details of those documents (if any) as they become available.

ACCESS TO INFORMATION PROCEDURE RULES

- 16.3 The document referred to above must not contain any confidential or exempt information or particulars of the advice of a political adviser or assistant, but should contain particulars of the matter to be decided.

ACCESS TO INFORMATION PROCEDURE RULES

17. GENERAL EXCEPTION

- 17.1 Subject to Rule 18 (Special Urgency), where the publication of the intention to make a key decision under Rule 16 is impracticable, the decision may still be made but only if -
- (a) the City Solicitor has informed the chair of the relevant scrutiny committee or if there is no such person, each Member of that committee by notice in writing, of the matter about which the decision is to be made;
 - (b) the City Solicitor has made a copy of that notice available to the public at the main office of the Council, and published it on the Council's website; and
 - (c) at least 5 clear days have elapsed since the City Solicitor complied with (a) and (b).
- 17.2 As soon as reasonably practicable after the City Solicitor has complied with Rule 17.1 (a) and (b), he or she must make available at the main office of the Council a notice setting out the reasons why compliance with Rule 16 is impracticable and publish that notice on the Council's website.

18. SPECIAL URGENCY

- 18.1. Where the date by which a key decision must be made, makes compliance with Rule 17 impracticable, the decision may only be made where the decision-maker may obtain agreement from -
- (a) the Chair of the relevant scrutiny committee; or
 - (b) if there is no such person, or if the chair is unable to act, the Lord Mayor; or
 - (c) where there is no chair of the relevant scrutiny committee or Lord Mayor, the Deputy Lord Mayor,
- that the making of the decision is urgent and cannot reasonably be deferred.
- 18.2 As soon as reasonably practicable after the decision-maker has obtained such agreement under Rule 18.1, the decision-maker must make available at the main office of the Council a notice setting out the reasons that the making of this decision is urgent and cannot reasonably be deferred and publish that notice on the Council's website.

ACCESS TO INFORMATION PROCEDURE RULES

19. REPORTS TO COUNCIL

19.1 When a scrutiny committee can require a report

Where an executive decision has been made and -

- (a) was not treated as a key decision, and
- (b) a relevant scrutiny committee are of the opinion that the decision should have been treated as a key decision

that scrutiny committee may require the Executive to submit a report to Council within such reasonable period as the committee may specify, which shall normally be the next scheduled meeting of the Council.

19.2 Executive's Report to Council

A report under Rule 19.1 must include details of -

- (a) the decision and the reasons for the decision;
- (b) the decision-maker by whom which the decision was made; and
- (c) if the Executive are of the opinion that the decision was not a key decision, the reasons for that opinion.

19.3 Reports on special urgency decisions

The Leader or the City Solicitor will submit reports to the Council on the executive decisions taken in the circumstances set out in Rule 18 (special urgency) in the preceding cycle. The report will include the particulars of decisions so taken and a summary of the matters in respect of which those decisions were taken.

20. PROCEDURES PRIOR TO PRIVATE MEETINGS

20.1 The Executive and any committee of the Executive ("the decision-making body") must meet in public except to the extent that the public are excluded under Rule 10.

20.2 A "private meeting" means a meeting, or part of a meeting, of the Executive during which the public are excluded.

20.3 At least 28 days before a private meeting, the decision-making body or the City Solicitor acting on its behalf, must make available at the main office of the Council and publish on the Council's website a notice of its intention to hold the meeting or part of the meeting in private and a statement of the reasons for the meeting to be held in private.

20.4 At least 5 clear days before a private meeting, the decision-making body or the City Solicitor acting on its behalf, must make available at

ACCESS TO INFORMATION PROCEDURE RULES

the main office of the Council and publish on the Council's website a notice which must include -

- (a) a statement of the reasons for the meeting to be held in private;
- (b) details of any representations received by the decision-making body about why the meeting should be open to the public; and
- (c) a statement of its response to any such representations.

20.5 Where the date by which a meeting must be held makes compliance with these procedures impracticable, the meeting may only be held in private where the decision-making body has obtained agreement from -

- (a) the chair of the relevant scrutiny committee; or
- (b) if there is no such person, or if the chair is unable to act, the Lord Mayor; or
- (c) where there is no chair or Lord Mayor, the Deputy Lord Mayor

that the meeting is urgent and cannot reasonably be deferred.

20.6 As soon as reasonably practicable after the decision-making body has obtained agreement under Rule 20.5 to hold a private meeting, it must make available at the main office of the Council, and publish on the Council's website, a notice setting out the reasons why the meeting is urgent and cannot reasonably be deferred.

21. RECORDING OF EXECUTIVE DECISIONS MADE AT MEETINGS

21.1 As soon as reasonably practicable after any meeting of the Executive or a committee of the Executive at which an executive decision was made, the City Solicitor must ensure that a written statement is produced for every executive decision made which includes the following information -

- (a) a record of the decision and the date it was made;
- (b) a record of the reasons for the decision;
- (c) details of any alternative options considered and rejected;
- (d) a record of any conflict of interest relating to the matter decided which is declared by any member of the decision-making body; and
- (e) in respect of any declared conflict of interest, a note of dispensation granted by the **Chief Executive** ~~Head of Paid Service~~.

ACCESS TO INFORMATION PROCEDURE RULES

- 21.2 Any written statement produced in accordance with this Rule, and any report considered by the Executive or a committee of the Executive relevant to a decision will be available for public inspection at the main office of the Council and on the Council's website.
- 21.3 Nothing in this Rule shall require the disclosure of confidential information or exempt information as defined in these Rules.

ACCESS TO INFORMATION PROCEDURE RULES

22. RECORDING OF EXECUTIVE DECISIONS MADE BY INDIVIDUALS

- 22.1 As soon as reasonably practicable after an individual Member has made an executive decision, that Member must produce or instruct the City Solicitor to produce a written statement of that executive decision which includes the information specified in Rule 22.3.
- 22.2 As soon as reasonably practicable after an Officer has made a decision which is an executive decision, the Officer must produce a written statement including the information specified in Rule 22.3.
- 22.3 The statement referred to in Rule 22.1 and 22.2 should include -
- (a) a record of the decision including the date it was made;
 - (b) a record of the reasons for the decision;
 - (c) details of any alternative options considered and rejected when making the decision;
 - (d) a record of any conflict of interest declared by any Executive Member who is consulted by the Member or Officer which relates to the decision; and
 - (e) in respect of any declared conflict of interest, a note of dispensation granted by the ~~Chief Executive~~Head of Paid Service.
- 22.4 Any written statement produced in accordance with this Rule, and any report considered by the individual Member or Officer relevant to a decision will be available for public inspection at the main office of the Council and on the Council's website.
- 22.5 Nothing in this Rule shall require the disclosure of confidential information or exempt information as defined in these Rules.

23. RECORDING OF NON-EXECUTIVE DECISIONS MADE BY INDIVIDUALS

- 23.1 As soon as reasonably practicable after an Officer has made a non-executive decision of the type in Rule 23.2, the Officer must produce a written statement including the information specified in Rule 23.3.
- 23.2 The non-executive decisions referred to in Rule 23.1 are those taken:
- (a) under a specific express authorisation; or
 - (b) under a general authorisation and the effect of the decision is to—
 - (i) grant a permission or licence;
 - (ii) affect the rights of an individual; or

ACCESS TO INFORMATION PROCEDURE RULES

- (iii) award a contract or incur expenditure which materially affects the Council's financial position.

23.3 The statement referred to in Rule 23.1 should include -

- (a) a record of the decision including the date it was made;
- (b) a record of the reasons for the decision;
- (c) details of any alternative options considered and rejected when making the decision;
- (d) where the decision is taken under a specific express authorisation, the name of any member of the Council who has declared a conflict of interest in relation to the decision.

23.4 Any written statement produced in accordance with this Rule will be available for public inspection at the main office of the Council and on the Council's website.

23.5 Nothing in this Rule shall require the disclosure of confidential information or exempt information as defined in these Rules.

24. SCRUTINY COMMITTEES ACCESS TO DOCUMENTS

24.1 Rights to copies

Subject to Rule 24.3, a member of a scrutiny committee will be entitled to copies of any document which is in the possession or control of the Executive and which contains material relating to: -

- (a) any business transacted at a meeting of the Executive or its committees; or
- (b) any decision taken by an individual member of the Executive; or
- (c) any decision made by an Officer in accordance with executive arrangements.

24.2 Where a member of a scrutiny committee requests a document under Rule 24.1, the Executive must provide the document as soon as reasonably practicable and in any case no later than 10 clear working days after the Executive receives the request.

24.3 Limit on rights

A member of a scrutiny committee will not be entitled to:-

- (a) any document that is in draft form;
- (b) any document that contains exempt or confidential information, unless that information is relevant to an action or decision they

ACCESS TO INFORMATION PROCEDURE RULES

are reviewing or scrutinising or any review contained in the committee's programme of work; or

- (c) any document containing the advice of a political adviser or assistant.

24.4 Where the Executive determines that a member of a scrutiny committee is not entitled to a copy of a document requested, it must provide the scrutiny committee with a written statement setting out its reasons for that decision.

25. ADDITIONAL RIGHTS OF ACCESS FOR MEMBERS – THE EXECUTIVE

25.1 Subject to Rule 25.3, any document which -

- (a) is in the possession or under the control of the Executive; and
- (b) contains material relating to any business to be transacted at a public meeting,

must be available for inspection by any member of the Council for at least 5 clear days before the meeting except that -

- (i) where the meeting is convened at shorter notice, such a document must be available for inspection when the meeting is convened; and
- (ii) where an item is added to the agenda at shorter notice, such a document must be available when the item is added to the agenda.

25.2 Subject to Rule 25.3, any document which is in the possession or under the control of the Executive, and contains material relating to -

- (a) any business transacted at a private meeting;
- (b) any decision made by an individual Member in accordance with executive arrangements, or
- (c) any decision made by an Officer in accordance with executive arrangements,

must be available for inspection by any Member of the Council when the meeting concludes or, where an executive decision is made by an individual Member or Officer, immediately after the decision has been made, and in any event no later than 24 hours after the conclusion of the meeting or the decision being made.

25.3 Rules 25.1 and 25.2 do not require a document to be available for inspection if -

ACCESS TO INFORMATION PROCEDURE RULES

- (a) it contains advice provided by a political adviser or assistant, or
- (b) it appears to the City Solicitor to disclose exempt information under paragraphs 1, 2, 4, 5 and 7 of Schedule 12A to the Local Government Act 1972 (see Rule 10.4).
- (c) it appears to the City Solicitor to disclose exempt information under paragraph 3 of Schedule 12A, but only to the extent that the information relates to any terms proposed or to be proposed by or to the Council in the cause of negotiations for a contract.

[NB: documents containing exempt information by virtue of paragraph 3 (where 25.3 (c) does not apply) and paragraph 6 of Schedule 12A will be available under this Rule]

- 25.4 The above rights are in addition to any other right that a Member of a local authority may have.

25A. ADDITIONAL RIGHTS OF ACCESS FOR MEMBERS – THE COUNCIL

- 25A.1 Any document which is in the possession or under the control of the Council and contains material relating to any business to be transacted at a meeting of the Council or a committee or sub-committee of the Council shall be open to inspection by any Member of the Council.
- 25A.2 Rule 25A.1 above does not require the document to be open to inspection if it appears to the City Solicitor that it discloses exempt information. This Rule 25A.2 does not apply where the exempt information is information of a description for the time being falling within—
- (a) paragraph 3 of Schedule 12A of the Local Government Act 1972 (except to the extent that the information relates to any terms proposed or to be proposed by or to the authority in the course of negotiations for a contract), or
 - (b) paragraph 6 of Schedule 12A of the Local Government Act 1972.

26. RIGHTS OF MEMBERS - “NEED TO KNOW”

- 26.1 In addition, Members will be entitled to access to documents and to attend the confidential part of meetings of Committees and sub-committees and public meetings of the Executive (even though they are not a member of those bodies) where they can demonstrate a “need to know” in order to perform their duties as Members.
- 26.2 Subject to Rule 26.3 below, the circumstances where a “need to know” will be treated as arising will include -
- (a) Where the matter relates to a sub-committee of a committee of which the Member is a member;

ACCESS TO INFORMATION PROCEDURE RULES

- (b) Where the matter relates to a committee of the executive where the Member is an executive member;
 - (c) Where the matter is within the remit of a scrutiny committee of which the Member is a member;
 - (d) Where the full Council is required to approve the decisions or recommendations of committees, sub-committees or the Executive;
 - (e) Where the matter has been delegated to a committee or sub-committee, but significantly affects the reputation of the whole Council;
 - (f) Where the matter relates specifically to a Member's own ward;
 - (g) Where a Member is minded to sign a requisition under Council Procedure Rule 9 in relation to the matter.
- 26.3 There will not be a "need to know" if a Member is acting in bad faith or in pursuance of a personal pecuniary or non-pecuniary interest or merely out of curiosity.
- 26.4 A Member wishing to see confidential or exempt Council, Committee, sub-committee or executive documents or to attend the confidential part of a meeting should make written application to the **City Solicitor**~~Chief Executive~~, setting out the reasons why the document and/or attendance at the meeting is necessary in order to enable the Member properly to perform his/her duties.
- 26.5 Where access to documents or a meeting is refused by the **City Solicitor**~~Chief Executive~~, there will be a right of appeal to the relevant body.
- 26.6 Where a matter or document is confidential or exempt, Members exercising the above rights will be asked to sign an agreement to preserve the confidentiality of the information.

Section E

Scrutiny Procedure Rules

Scrutiny Procedure Rules

CONTENTS

- Rule 1. Establishment and Membership**
- Rule 2. Terms of Reference**
- Rule 3. Meetings of the Scrutiny Committees**
- Rule 4. Quorum**
- Rule 5. Work Programme**
- Rule 6. Matters referred by Councillors and the Manchester Youth Council to Scrutiny Committees**
- Rule 6A. Matters referred to the Health Scrutiny Committee by Local Healthwatch**
- Rule 7. Policy Review and Development**
- Rule 8. Reports and Recommendations of from Scrutiny Committees**
- Rule 8A Reports and Recommendations – partner authorities**
- Rule 8B Reports and Recommendations – “risk management authorities”**
- Rule 8C Publication of reports, recommendations and responses**
- Rule 9. Consideration of Scrutiny Reports by the Executive**
- Rule 10. Rights of Scrutiny Committee Members to Documents**
- Rule 10A. Rights of Executive Members**
- Rule 11. Members and Officers Giving Account**
- Rule 12. Attendance by Others**
- Rule 12A Crime and Disorder Committee – Special Provisions**
- Rule 12B Health Scrutiny Committee – Special Provisions**
- Rule 13. Call-in**
- Rule 14. Call-in and Urgency**
- Rule 15. The Party Whip**
- Rule 16. Procedure at Scrutiny Committee Meetings**
- Rule 17. Matters within the Remit of More than one Scrutiny Committee**

SCRUTINY PROCEDURE RULES

1. Establishment and Membership

- 1.1 The Council will establish the scrutiny committees set out in Article 6 of part 2 of this Constitution and will appoint to them as it considers appropriate from time to time.
- 1.2 Each scrutiny committee shall comprise at least 10 elected members.
Notwithstanding this requirement, where a scrutiny committee comprises less than 10 elected members it shall not be prevented from discharging its functions, provided that when it meets the meeting is quorate.
- 1.3 All Councillors except members of the Executive (and Assistants to Executive Members) may be members of a scrutiny committee. However, no member may be involved in scrutinising a decision in which he/she has been directly involved.
- 1.4 Chairs of scrutiny committees will be appointed by the Council, provided that the political group balance of the Chairs shall reflect the political balance of the Council.
- 1.5 The Children and Young People Scrutiny Committee will deal with the scrutiny role relating to any education function of the Council. Its membership shall include the following voting representatives:
 - one representative of the Diocese of Manchester
 - one representative of the Diocese of Salford and Shrewsbury
 - three elected parent governor representatives
- 1.6 When the Children and Young People Scrutiny Committee deals with matters not relating in whole or in part to any education functions which are the responsibility of the Executive, the representatives in 1.5 above shall not vote, although they may stay in the meeting and speak.
- 1.7 The Children and Young People Scrutiny Committee shall co-opt as non-voting members of committee two teacher representatives.
- 1.8 The Communities and Equalities Scrutiny Committee shall be the Council's Crime and Disorder Committee, pursuant to section 19 of the Police and Justice Act 2006.
- 1.9 The Health Scrutiny Committee will discharge the Council's health scrutiny functions, pursuant to section 244 of the National Health Service Act 2006 and regulations 21, 22, 23 26 and 27 of The Local Authority (Public Health, Health and Wellbeing Boards and Health Scrutiny) Regulations 2013.
- 1.10 The Council is a lead local flood authority by virtue of section 6(7) of the Flood and Water Management Act 2010 and is therefore required to ensure that the Council's scrutiny arrangements include arrangements

SCRUTINY PROCEDURE RULES

for the scrutiny of flood risk management pursuant to section 9FH of the Local Government Act 2000. The Environment and Climate Change Scrutiny Committee shall discharge the Council's flood risk scrutiny functions.

- 1.11 Without prejudice to 1.5 and 1.7 above, scrutiny committees shall be entitled to recommend to Council the appointment of up to two people as non-voting co-optees, provided that a majority of the members of any committee are elected members.

2. Terms of Reference

The general and specific role of the scrutiny committees will be as set out in Article 6 of Part 2 of this Constitution.

3. Meetings of the Scrutiny Committees

There shall be at least 2 ordinary meetings of each scrutiny committee in each council cycle. In addition, extraordinary meetings may be called from time to time as and when appropriate. An extraordinary scrutiny committee meeting shall be called by the Chief Executive at the request of the Chair of the relevant scrutiny committee, by any 5 members of the committee or the Monitoring Officer.

4. Quorum

The quorum for a scrutiny committee shall be in accordance with Council Procedure Rule 15.

5. Work programme

- 5.1 The scrutiny committees/sub-committees will be responsible for setting their own work programme and in doing so they shall take into account the wishes of members on that committee who are not members of the largest political group on the Council.
- 5.2 The scrutiny committees shall also respond, as soon as their work programme permits, to requests from the Council and the Executive to review particular areas of Council activity. Where they do so, the scrutiny committee shall report their findings and any recommendations back to the Executive and/or Council. The Council and/or the Executive shall consider the report of the scrutiny committee at the next available meeting.

6. Matters referred by Councillors to Scrutiny Committee and matters referred by the Manchester Youth Council

- 6.1 Any member of a scrutiny committee or sub-committee may refer to that committee or sub-committee any matter which is relevant to the

SCRUTINY PROCEDURE RULES

functions of the committee/sub-committee by giving notice in writing to the Chief Executive.

- 6.2 Any member of the authority may refer to a scrutiny committee of which they are not a member any matter which is relevant to the functions of the committee and which is not an “excluded matter” (as defined in Rule 6.8) by giving notice in writing to the Chief Executive.
- 6.3 Any member of the authority who is not a member of the Communities and Equalities Scrutiny Committee (being the designated Crime and Disorder Committee) may refer to that committee any local crime and disorder matter (as defined in Rule 6.9) by giving notice in writing to the Chief Executive.
- 6.4 On receipt of a notice under Rule 6.1, 6.2, 6.3, or 6.11, the matter will be included on the agenda for, and discussed at, a meeting of the relevant committee or sub-committee. Notices under Rule 6.2, 6.3 or 6.11 must be served no later than 28 days before the meeting at which the matter is to be discussed.
- 6.5 In considering whether to exercise the power in Rule 6.2 or 6.3, the member must have regard to any guidance issued by the Secretary of State and any protocol issued by the Council in connection with this power.
- 6.6 In considering whether or not to exercise any of its powers in relation to the matter referred under Rule 6.2 or 6.3 the committee may have regard to any representations made by the member as to why it would be appropriate to exercise any of its powers. If the committee decides not to exercise any of those powers in relation to the matter, it must notify the member of the decision and the reasons for it.
- 6.7 Where the scrutiny committee exercises its power to make a report or recommendation to the Council or the Executive on any matter referred to it by a member under Rule 6.2 or 6.3 the committee must provide the member with a copy of the report or recommendation (subject to Rule 8C).
- 6.8 Under Rule 6.2 an excluded matter means -
 - (a) any local crime and disorder matter as defined in Rule 6.9;
 - (b) any matter relating to a planning decision;
 - (c) any matter relating to a licensing decision;
 - (d) any matter relating to a person where that person has a statutory right to a review or right of appeal (other than a right to complain to the Ombudsman);
 - (e) any matter which is vexatious, discriminatory or not reasonable to be included in the agenda for, or to be discussed at, a meeting of the scrutiny committee or sub-committee meeting.

SCRUTINY PROCEDURE RULES

Provided that a matter does not fall within a description in (b), (c) or (d) above, if it consists of an allegation that a function for which the authority is responsible has not been discharged at all or that its discharge has failed or is failing on a systematic basis.

6.9 Under Rule 6.3 a “local crime and disorder matter”, in relation to a member, means a matter concerning -

(a) crime and disorder (including in particular forms of crime and disorder that involve anti-social behaviour or other behaviour adversely affecting the local environment); or

(b) the misuse of drugs, alcohol and other substances,

which affects all or part of the ward for which the member is elected or any person who lives or works in that ward.

6.10 The determination of whether a matter is an “excluded matter” or a “local crime and disorder matter” under Rules 6.8 and 6.9 above shall be made by the Chair of the relevant scrutiny committee in consultation with the City Solicitor.

6.11 The Manchester Youth Council (“the Youth Council”) may, by resolution of either House, refer to a scrutiny committee any matter which is relevant to the functions of the committee and which affects young people who live, work or study in the city and which is not an “excluded matter”; or refer to the Communities and Equalities Scrutiny Committee any crime and disorder matter, as defined in Rule 6.11 (b), which is relevant to the functions of the committee by giving notice in writing to the Chief Executive, including with the notice a copy of the resolution of the Youth Council and any report the Youth Council wishes the committee to consider.

(a) An “excluded matter” means

- (i) any matter relating to a planning decision;
- (ii) any matter relating to a licensing decision;
- (iii) any matter relating to a person where that person has a statutory right to review or a right of appeal (other than a right to complain to the Ombudsman);
- (iv) any matter which is vexatious, discriminatory or not reasonable to be included in the agenda for, or to be discussed at, a meeting of the scrutiny or scrutiny sub-committee meeting.

(b) A “crime and disorder matter” is a matter concerning

- (i) crime and disorder (including in particular forms of crime and disorder that involve anti-social behaviour or other behaviour, adversely affecting the local environment); or

SCRUTINY PROCEDURE RULES

- (ii) the misuse of drugs, alcohol and other substances.

which affects young people who live, work or study in the city.

- 6.12 In considering whether or not to exercise any of its powers in relation to the matter referred under Rule 6.11 the committee may have regard to any representations made by the Youth Council as to why it would be appropriate to exercise its powers. If the committee decides not to exercise any of those powers in relation to the matter, it must inform the Youth Council of the decision and the reasons for it.
- 6.13 The determination of whether a matter is an “excluded matter” or a “crime and disorder matter” under Rule 6.11 shall be made by the Chair of the relevant scrutiny committee in consultation with the City Solicitor.

6A Matters referred to the Health Scrutiny Committee by Local Healthwatch

- 6A.1 The Local Healthwatch organisation or Local Healthwatch contractor (which in Manchester is Healthwatch Manchester) may refer any matter relating to the planning, provision and operation of the health service in Manchester to the Health Scrutiny Committee in accordance with the provisions of the Local Authority (Public Health, Health and Wellbeing Boards and Health Scrutiny) Regulations 2013.

7. Policy review and development

- 7.1 The role of the scrutiny committees in relation to the development of the Council's budget and policy framework is set out in the Budget and Policy Framework Procedure Rules.
- 7.2 In relation to the development of the Council's approach to other matters not forming part of its policy and budget framework, scrutiny committees or sub-committees may make proposals to the Executive for developments in so far as they relate to matters within their terms of reference.
- 7.3 Scrutiny committees may hold enquiries and investigate the available options for future direction in policy development and may appoint advisers and assessors to assist them in this process. They may go on site visits, conduct public surveys, hold public meetings, commission research and do all other things that they reasonably consider necessary to inform their deliberations. They may ask witnesses to attend to address them on any matter under consideration and may pay to any advisers, assessors and witnesses a reasonable fee and expenses for doing so.

8. Reports and Recommendations of Scrutiny Committees

SCRUTINY PROCEDURE RULES

- 8.1 This rule applies where a scrutiny committee makes a report or recommendation to the Council or the Executive, except in relation to a crime and disorder matter or a health scrutiny matter.
- 8.2 The scrutiny committee may publish the report or recommendations.
- 8.3 The scrutiny committee must by notice in writing require the Council or Executive -
- (a) to consider the report or recommendation;
 - (b) to respond to the committee indicating what (if any) action the Council or Executive proposes to take;
 - (c) if the committee has published the report or recommendation to publish the response;
 - (d) if the committee provided a copy of the report or recommendation to a member under Rule 6.7, to provide the member with the response;
 - (e) to do all of the above within two months of receiving the report or recommendations or (if later) the notice.
- 8.4 It is the duty of the Council or Executive to which a notice is given under Rule 8.3 to comply with the requirements specified in the notice.

8A Reports and Recommendations – “partner authorities”

- 8A.1 This rule applies where a scrutiny committee makes a report or recommendation to the Council or the Executive (otherwise than by virtue of subsection 1(b) or 3(a) of section 19 of the Police and Justice Act 2006 (local scrutiny of crime and disorder matters) and the report or any of the recommendations relates to functions of a relevant partner authority so far as exercisable in relation to –
- (a) the Council’s area, or
 - (b) the inhabitants of the Council’s area.

For the purposes of Rule 8A a “relevant partner authority” means –

- The Greater Manchester Combined Authority
- The Manchester Clinical Commissioning Group
- The National Health Service Commissioning Board
- Any provider of probation services operating within Manchester in pursuance of arrangements under section 3 of the Offender Management Act 2007 which provide for it to co-operate with responsible authorities
- Any youth offending team established under section 39 of the Crime and Disorder Act 1998 operating within Manchester
- Any National Health Service Trust or NHS Foundation Trust which provides services within Manchester
- Arts Council England
- Sport England
- The Environment Agency

SCRUTINY PROCEDURE RULES

- The Health and Safety Executive
- The Office for Nuclear Regulation
- The Historic Buildings and Monuments Commission for England
- The Homes and Communities Agency
- The Museums, Libraries and Archives Council
- Natural England
- The Secretary of State, but only in relation to –
 - His/her functions under section 2 of the Employment and Training Act 1973 (arrangements with respect to obtaining etc. employment or employees))
 - Functions which he/she has as highway authority by virtue of section 1 of the Highways Act 1980
 - Functions which he/she has as traffic authority by virtue of section 121A of the Road Traffic Regulation Act 1984
 - His/her functions under sections 2 and 3 of the Offender Management Act 2007 (responsibility for ensuring the provision of probation services throughout England).

8A.2 The scrutiny committee may by notice in writing to the relevant partner authority (accompanied by the report/recommendations) require that authority to have regard to the report or recommendation in question in exercising their functions.

8A.3 The relevant partner must comply with the requirement in the notice to have regard to the report/recommendations.

8B Reports and Recommendations – “risk management authorities”

8B.1 This rule applies where the Council’s Environment and Climate Change Scrutiny Committee is discharging the Council’s scrutiny functions as a lead flood authority pursuant to section 9FH of the Local Government Act 2000.

- (a) For the purposes of Rule 8B the following are “risk management authorities” –
- The Environment Agency
 - A Water Company (as defined by Part 2 of the Water Industry Act 1991).
- (b) The risk management authorities listed at Rule 8B.1(a) must comply with a request made by the Council’s Environment and Climate Change Scrutiny Committee for
- (i) information;
 - (ii) a response to a report.

8C Publication of reports, recommendations and response

SCRUTINY PROCEDURE RULES

Where a report or recommendation of a scrutiny committee or response of the Council or the Executive is published pursuant to Rule 8.2 or 8.3(c), and is provided to a member of the Council or relevant partner authority under Rule 6.7 or 8A.2, or risk management authority under Rule 8B any confidential information must be excluded and any relevant exempt information may be excluded in line with the provisions of Section 9FG of the Local Government Act 2000.

9. Consideration of Scrutiny reports by the Executive

- 9.1 The agenda for executive meetings shall include an item entitled 'Issues arising from scrutiny'. The reports of scrutiny committees referred to the Executive shall be included at this point in the agenda (unless they have been considered in the context of the Executive's deliberations on a substantive item on the agenda) within two months of the scrutiny committee completing its report/recommendations.
- 9.2 Where a scrutiny committee or sub-committee prepares a report for consideration by the Executive in relation to a matter where the Leader or Council has delegated decision making power to another individual member of the Executive, then the scrutiny committee will submit a copy of their report to that individual for consideration. At the time of doing so, the scrutiny committee shall serve a copy on the Chief Executive and the Leader. If the member with delegated decision making power does not accept the recommendations of the scrutiny committee then he/she must then refer the matter to the next available meeting of the Executive for debate before exercising his/her decision making power and responding to the report in writing to the scrutiny committee. The Executive Member to whom the decision making power has been delegated will respond to the scrutiny committee within 4 weeks of receiving it. A copy of his/her written response to it shall be sent to the Proper Officer and he/she will attend a future meeting to respond.
- 9.3 Scrutiny committees will have access to the Register of Key Decisions and timetable for decisions and intentions for consultation. Even where an item is not the subject of detailed proposals from a scrutiny committee following a consideration of possible policy/service developments, the committee will be able to respond in the course of the Executive's consultation process in relation to any key decision.

10. Rights of scrutiny committee members to documents

- 10.1 In addition to their rights as councillors, members of scrutiny committees have the additional right to documents, and to notice of meetings as set out in the Access to Information Procedure Rules in Part 4 Section B of this Constitution.

SCRUTINY PROCEDURE RULES

- 10.2 Nothing in this paragraph prevents more detailed liaison between the Executive and scrutiny committees as appropriate depending on the particular matter under consideration.

10A Rights of Executive Members

Subject to the provisions of the Code of Conduct for Members and without prejudice to the rights of Executive Members under rule 13.4, Executive Members and Assistant Executive Members may attend meetings of scrutiny committees including parts of the meeting where exempt items are being discussed and shall be entitled to receive the agenda for the meeting (including exempt matters) and to speak, give evidence and answer questions at the invitation of the committee.

11. Members and officers giving account

- 11.1 Any scrutiny committee or sub-committee may scrutinise and review decisions made or actions taken in connection with the discharge of any Council function. As well as reviewing documentation, in fulfilling the scrutiny role, it may require any member of the Executive, the Head of Paid Service and/or any senior officer to attend before it to explain in relation to matters within their remit:

- (a) any particular decision or series of decisions;
- (b) the extent to which the actions taken implement Council policy; and/or
- (c) their performance

and it is the duty of those persons to attend if so required.

- 11.2 Where, in exceptional circumstances, the member or officer is unable to attend on the required date, then the scrutiny committee shall in consultation with the member or officer arrange an alternative date for attendance.

12. Attendance by others

A scrutiny committee may invite people other than those people referred to in paragraph 11 above to address it, discuss issues of local concern and/or answer questions. It may for example wish to hear from residents, stakeholders and members and officers in other parts of the public sector and may invite such people to attend.

12A Crime and Disorder Committee – Special Provisions

SCRUTINY PROCEDURE RULES**12A.1 In this paragraph**

- (a) the “responsible authorities” are
 - (i) Manchester City Council,
 - (ii) every provider of probation services operating within Manchester in pursuance of arrangements under Section 3 of the Offender Management Act 2007 which provide for it to co-operate with responsible authorities,
 - (iii) the Chief Constable of Greater Manchester Police,
 - (iv) the Greater Manchester Combined Authority
 - (v) the Manchester Clinical Commissioning Group.

- (b) the “co-operating persons or bodies” are
 - (i) every local probation trust within Manchester
 - (ii) every provider of probation services operating within the area in pursuance of arrangements under section 3 of the Offender Management Act 2007 which provide for it to co-operate under this subsection with the responsible authorities;
 - (iii) any parish council within Manchester,
 - (iv) any National Health Service Trust established under section 25 and Schedule 4 of the National Health Service Act 2006 which manages a hospital, establishment or other facility within Manchester,
 - (v) any NHS foundation trust within the meaning of section 30 and Schedule 7 of the National Health Service Act 2006 within Manchester,
 - (vi) any governing body of a school, within the meaning of section 4(1) of the Education Act 1996, within Manchester maintained by the Manchester local education authority,
 - (vii) any proprietor of an independent school, within the meaning of section 463 of the Education Act 1996 within Manchester,
 - (viii) any proprietor of an alternative provision Academy that is not an independent school within Manchester;
 - (ix) any proprietor of a 16 to 19 Academy within Manchester;
 - (x) any governing body of an institution within the further education sector, as defined in section 91 (3) of the Further and Higher Education Act 1992 within Manchester, and
 - (xi) any private registered provider of social housing (within the meaning of Part 2 of the Housing and Regeneration Act 2008) in Manchester.

- (c) “crime and disorder functions” mean the reduction of crime and disorder in the area (including anti-social and other behaviour adversely affecting the environment) and combating the misuse of drugs, alcohol and other substances in the area and reduction of re-offending in the area.

SCRUTINY PROCEDURE RULES

- (d) “local crime and disorder matter” has the meaning set out in paragraph 6.9

12A.2 The Crime and Disorder Committee may make reports and recommendations to the Council or to the Executive, as appropriate, with respect to the discharge by any of the responsible authorities of their crime and disorder functions or with respect to any local crime and disorder matter.

12A.3 The Crime and Disorder Committee may require the attendance before it of an officer and employee of a responsible authority or of a co-operating person or body in order to answer questions, provided that reasonable notice of the intended date of attendance has been given to that person.

12A.4 The Crime and Disorder Committee may make a request in writing to the responsible authorities or the co-operating persons or bodies for information relating to –

- (a) the discharge, or decisions or actions taken in connection with the discharge, by the responsible authorities of their crime and disorder functions; or
- (b) local crime and disorder matters in relation to which the Committee has functions under Section 19 of the Police and Justice Act 2006.

12A.5 Where the Crime and Disorder Committee makes a request for information under paragraph 12A.4, the responsible body or co-operating person or body must provide the information –

- (a) no later than the date indicated in the request, unless some or all of the information cannot be reasonably provided by that date, in which case it must be provided soon as reasonably possible;
- (b) excluding personal data unless (subject to (c) below) the identification of an individual is necessary or appropriate in order to enable the Committee to properly exercise its powers;
- (c) excluding information that would be reasonably likely to prejudice legal proceedings or current or future operations of the responsible authorities or the co-operating persons or bodies.

12A.6 Where the Crime and Disorder Committee makes a report or recommendations to the local authority with respect to a local crime and disorder matter, it must provide a copy of the report and recommendations to such of the responsible authorities or co-operating persons or bodies, as it thinks appropriate.

12A.7 Where the Crime and Disorder Committee provides a report or recommendation to a responsible body or a co-operating person or

SCRUTINY PROCEDURE RULES

body in respect of a local crime and disorder matter, the responses to such report or recommendations shall be in writing and submitted to the Committee within a period of 28 days from the date of the report or recommendation or, if not reasonably practicable, as soon as reasonably possible thereafter.

12B Health Scrutiny Committee – Special Provisions

The Health Scrutiny Committee may review and scrutinise any matter relating to the planning, provision and operation of the health service in Manchester in accordance with the provisions of the Local Authority (Public Health, Health and Wellbeing Boards and Health Scrutiny) Regulations 2013.

13. Call-in

- 13.1 When a decision is made by the Executive, an individual member of the Executive or a committee of the Executive, or a key decision is made by an officer with delegated authority from the Executive, or an area committee or under joint arrangements, the decision shall be published, including where possible by electronic means, and shall be available at the Town Hall normally within two working days of being made. All members of the Council will be sent copies of the records of all such decisions within the same timescale, by the person responsible for publishing the decision.
- 13.2 The record of the decision will bear the date on which it is published and will specify that the decision will come into force, and may then be implemented, as from 4.00pm on the fifth working day after the day on which the decision was published, unless within this time it is called in for scrutiny.
- 13.3 Subject to 13.5 below, a decision may be called-in for scrutiny by a Scrutiny Committee if the Chair of a Scrutiny Committee or any five members of the Council submit a notice in writing to the Chief Executive within the five working day period giving reasons why the decision should be scrutinised.
- 13.4 The Chief Executive shall determine which is the relevant scrutiny committee then notify the decision-taker and the Chair of that committee of the call-in. Any item which is called-in will then be placed on the agenda for the next meeting of that scrutiny committee. The relevant Chief Officer and/or Executive Member shall have the right to attend the meeting to explain the reasons for the decision and to respond to comments made at the meeting subject in the case of Executive Members to the provisions of the Code of Conduct for Members.
- 13.5 A decision may not be called-in if the Committee has already made recommendations to the decision-taker and those recommendations

SCRUTINY PROCEDURE RULES

have been accepted by the decision-taker either in whole or without significant addition or modification.

13.5A Where a decision has been called-in by five members of the Council, those members shall have the right to attend the meeting of the relevant scrutiny committee when it considers the call-in, including where the public have been excluded during that item of business, and may make submissions at the meeting in respect of the call-in in accordance with any call-in procedures adopted by the committee. These rights are without prejudice to any rights a member may have by virtue of being a member of the relevant scrutiny committee.

- 13.6 Where a decision has been called-in by five members of the Council and none of those members attend, the Committee may at its discretion determine not to scrutinise the decision.
- 13.7 If, having considered the decision, (which consideration must be completed before the next scheduled meeting of the Executive) the scrutiny committee is still concerned about it, then it may refer it back to the decision making person or body for reconsideration, setting out in writing the nature of its concerns or refer the matter to full Council. Matters should normally only be referred to full Council if the scrutiny committee consider the decision to be contrary to the policy framework of the Council or contrary to or not wholly in accordance with the budget.
- 13.8 If the decision is referred back to the decision maker they shall then reconsider who may amend the decision or not, before adopting a final decision which will come into force immediately.
- 13.9 If following an objection to the decision, the scrutiny committee does not either refer the matter back to the decision making person or body or refer the matter to full Council or determines under 13.6 above not to scrutinise the matter, the decision shall take effect on the date of the scrutiny meeting.
- 13.10 If the matter was referred to full Council and the Council does not object to the decision which has been made, then no further action is necessary and the decision will be effective in accordance with the provision below. However, if the Council does object, it has no locus to make decisions in respect of an Executive decision unless it is contrary to the policy framework, or contrary to or not wholly consistent with the budget. Unless that is the case, the Council will refer any decision to which it objects back to the decision making person or body, together with the Council's views on the decision. That decision making body or person shall choose whether to amend the decision or not before reaching a final decision and implementing it.

SCRUTINY PROCEDURE RULES

- 13.11 If the Council does not refer the decision back to the decision making body or person, the decision will become effective on the date of the Council meeting.

14. Call-in and Urgency

- 14.1 The call-in procedure set out above shall not apply where the decision being taken by the Executive is urgent. A decision will be urgent if any delay likely to be caused by the call-in process would seriously prejudice the legal or financial position of the Council or the interests of the residents of Manchester. The record of the decision, and the notice by which it is made public shall state whether in the opinion of the decision making person or body (having considered the advice of the Head of the Paid Service and/or the Monitoring Officer and/or the Chief Finance Officer), the decision is an urgent one, and therefore not subject to call-in. The Chair of a relevant scrutiny committee must agree both that the decision proposed is reasonable in all the circumstances and to it being treated as a matter of urgency.

In the absence of the Chair, the Lord Mayor's consent shall be required. In the absence of both, the Head of Paid Service or his/her nominee's consent shall be required. Decisions taken as a matter of urgency must be reported to the next available meeting of the Council, together with the reasons for urgency.

- 14.2 The operation of the provisions relating to call-in and urgency shall be monitored annually, and a report submitted to Council with proposals for review if necessary.
- 14.3 Where a decision is exempted from call-in, it will become effective immediately or (if later) as soon as the agreement of the Chair of the relevant scrutiny committee (or the Lord Mayor/Head of Paid Service, if appropriate) has been obtained.

15. The party whip

When considering any matter in respect of which a member of a scrutiny committee is subject to a party whip the member must declare the existence of the whip, and the nature of it before the commencement of the committee's deliberations on the matter. The declaration, and the detail of the whipping arrangements, shall be recorded in the minutes of the meeting.

16. Procedure at scrutiny committee meetings

- 16.1 Scrutiny committees and sub-committees shall consider the following business:
- (a) minutes of the last meeting;
 - (b) declarations of interest (including whipping declarations);

SCRUTINY PROCEDURE RULES

- (c) consideration of any matter referred to the committee for a decision in relation to call in of a decision;
 - (d) responses of the Executive to reports of the scrutiny committee; and
 - (a) the business otherwise set out on the agenda for the meeting.
- 16.2 Where the scrutiny committee conducts investigations (e.g. with a view to policy development), the committee may also ask people to attend to give evidence at committee meetings which are to be conducted in accordance with the following principles: -
- (a) that the investigation be conducted fairly and all members of the committee be given the opportunity to ask questions of attendees, and to contribute and speak;
 - (b) that those assisting the committee by giving evidence be treated with respect and courtesy; and
 - (c) that the investigation be conducted so as to maximise the efficiency of the investigation or analysis.
- 16.3 Following any investigation or review, the committee/sub-committee shall prepare a report, for submission to the Executive and/or Council as appropriate and shall make its report and findings public.

17. Matters within the remit of more than one scrutiny committee

Where a scrutiny committee conducts a review or scrutinises a matter which also falls (whether in whole or in part) within the remit of another scrutiny committee, then the committee conducting the review shall invite the Chair of the other committee (or his/her nominee) to attend its meetings when the matter is being reviewed.

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Section F

Officer Employment Procedure Rules

1. RECRUITMENT AND APPOINTMENT

1.1 Declarations

- (a) The Council will draw up a statement requiring any candidate for appointment as an officer to state in writing whether they are the parent, grandparent, partner, child, stepchild, adopted child, grandchild, brother, sister, uncle, aunt, nephew or niece of an existing councillor or Senior Officer of the Council; or of the partner of such persons.
- (b) No candidate so related to a councillor or Senior Officer will be appointed without the authority of the relevant Chief Officer or an officer nominated by him/her

1.2 Seeking support for appointment

- (a) Subject to paragraph (c), the Council will disqualify any applicant who directly or indirectly canvasses the support of any councillor for any appointment with the Council. The content of this paragraph will be included in any recruitment information.
- (b) Subject to paragraph (c), no councillor will canvass support for any person for any appointment with the Council.
- (c) Nothing in paragraphs (a) and (b) above will preclude a councillor from giving a written reference for a candidate for submission with an application for appointment.

2. RECRUITMENT OF HEAD OF PAID SERVICE AND STATUTORY CHIEF OFFICERS

- 2.1 Where the Council proposes to appoint the Head of Paid Service or a Statutory Chief Officer and it is not proposed that the appointment be made exclusively from among their existing officers, the Council or its Personnel Committee will establish a committee or sub-committee to act as the appointment panel.

2.2 The appointment panel will:

- (a) draw up a statement specifying the duties of the post concerned and a specification of the qualifications or qualities to be sought in the person to be appointed.
- (b) make arrangements for the post to be advertised in such a way as is likely to bring it to the attention of persons who are qualified to apply for it; and
- (c) make arrangements for a copy of the statement mentioned in paragraph (a) to be sent to any person on request.

- 2.3 The appointment panel will interview all qualified applicants for the post or select a short-list of such qualified applicants and interview those included on the short-list.
- 2.4 Where the Council propose to appoint the Head of the Paid Service or a Statutory Chief Officer exclusively from amongst their existing officers the Council or its Personnel Committee will establish a committee or sub-committee which will make arrangements in connection with the appointment.

3. APPOINTMENT OF HEAD OF PAID SERVICE

- 3.1 The full Council will approve the appointment of the Head of Paid Service following the recommendation of such an appointment by a committee or sub-committee of the Council. That committee or sub-committee must be politically balanced and include at least one member of the Executive.
- 3.2 The full Council may only make or approve the appointment of the Head of Paid Service where the procedure set out in Annex 1 to these Rules has been completed.

4. APPOINTMENT OF STATUTORY CHIEF OFFICERS

- 4.1 A committee or sub-committee of the Council will appoint Statutory Chief Officers.. That committee or sub-committee must be politically balanced and include at least one member of the Executive.
- 4.2 An offer of employment as a Statutory Chief Officer shall only be made where the procedure set out in Annex 1 to these Rules has been completed.

5. APPOINTMENT OF NON-STATUTORY CHIEF OFFICERS

- 5.1 The Chief Executive, in consultation with the Leader, Executive Member for Human Resources, Chair of Personnel Committee and the relevant Portfolio Executive Member, will appoint Non-Statutory Chief Officers.
- 5.2 An offer of employment as a Non-Statutory Chief Officer shall only be made where the procedure set out in Annex 1 to these Rules has been completed.

6. APPOINTMENT OF DEPUTY CHIEF OFFICERS

- 6.1 In relation to Deputy Chief Officer posts the relevant Chief Officer will determine whether appointments to such posts should be made by an officer in consultation with an all officer panel or an officer in consultation with a mixed panel of officers and members.

- 6.2 An offer of employment as a Deputy Chief Officer shall only be made where the procedure set out in Annex 1 to these Rules has been completed.

7. OTHER APPOINTMENTS

- 7.1 Officers below Deputy Chief Officer. Appointment of officers below Deputy Chief Officer (other than assistants to political groups) is the responsibility of the Head of the Paid Service, an officer nominated by him/her, or an officer within the Chapter 2 or 3A of the Scheme of Delegation acting in accordance with the general delegations to such officers, and may not be made by councillors.
- 7.2 Assistants to political groups. Appointment of an assistant to a political group shall be made in accordance with the wishes of that political group.

8. DISCIPLINARY ACTION

- 8.1 No disciplinary action may be taken in respect of the Head of the Paid Service, the Monitoring Officer or the Chief Finance Officer while alleged misconduct is investigated and considered provided that this does not preclude the suspension of the officer on full pay for the purpose of investigating the alleged misconduct and such suspension does not constitute disciplinary action; any such suspension must be reviewed no later than the expiry of 2 months beginning on the day on which the suspension takes effect. Any such suspension may be made by the Investigating and Disciplinary Sub-Committee of the Personnel Committee or by the Director of HR and OD in consultation with the Executive Member for Finance and HR, or the Leader of the Council in his/her absence or inability to act.
- 8.2 Councillors will not be involved in the disciplinary action against any officer below Deputy Chief Officer except where such involvement is necessary for any investigation or inquiry into alleged misconduct. However, the Council's disciplinary, capability and related procedures, as adopted from time to time may allow a right of appeal to members in respect of disciplinary action.

9. DISMISSAL

- 9.1 Councillors will not be involved in the dismissal of any officer below Deputy Chief Officer except where such involvement is necessary for any investigation or inquiry into alleged misconduct. However, the Council's disciplinary, capability and related procedures, as adopted from time to time may allow a right of appeal to members in respect of dismissals.

- 9.2 Where a committee or sub-committee of the authority is discharging, on behalf of the authority, the function of or in relation to, the dismissal of the Head of Paid Service, a Chief Officer or a Deputy Chief Officer, that committee or sub-committee must include at least one member of the executive.
- 9.3 Where a committee or sub-committee is discharging its function in relation to the dismissal of the Head of Paid Service, the Monitoring Officer or the Chief Finance Officer, the full Council must approve any recommendation of dismissal before notice of dismissal is given.
- 9.4 The Council must appoint a panel ("the Panel") under Section 102(4) of the Local Government Act 1972 to advise the Council on matters relating to the dismissal of the Head of Paid Service, the Monitoring Officer or the Chief Finance Officer in accordance with the procedure set out in Annex 2.
- 9.5 The full Council may not approve any recommendation of dismissal of the Head of Paid Service, the Monitoring Officer or the Chief Finance Officer without first taking into account, in particular:
- (a) any advice, views or recommendations of the Panel;
 - (b) the conclusions of any investigation into the proposed dismissal; and
 - (c) any representations from the relevant officer.
- 9.6 Notice of dismissal of the Head of Paid Service, a Chief Officer or a Deputy Chief Officer must not be given until the procedure set out in Annex 3 has been completed.

10. DEFINITIONS

- "Chief Officer" means a statutory chief officer or a non-statutory chief officers as defined in section 2 of the Local Government and Housing Act 1989 ("the 1989 Act").
- Notwithstanding the definition in the 1989 Act, a reference in these Rules to a "Statutory Chief Officer" shall be taken to mean any officer defined as such in section 2(6) of the 1989 Act, along with the officer designated as the Monitoring Officer and any officer who is a non-statutory chief officer under section 2(7)(c) of the 1989 Act.
- Notwithstanding the definition in the 1989 Act, a reference in these Rules to a "Non-Statutory Chief Officer" shall be taken to mean only those officers defined as such in sections 2(7)(a) and 2(7)(b) of the 1989 Act.
- "Deputy Chief Officer" has the same meaning as in section 2(8) of the 1989 Act.

- "Senior Officer" means an officer on spinal column point ~~26~~³² or above.

ANNEX 1**APPOINTMENT OF HEAD OF PAID SERVICE, CHIEF OFFICERS AND DEPUTY CHIEF OFFICERS**

1. This procedure applies to the appointment of the Head of Paid Service, Chief Officers and Deputy Chief Officers (“relevant officers”) and has been incorporated into these Rules, as required by the Local Authorities (Standing Orders) (England) Regulations 2001.
2. In this procedure, “appointor” means, in relation to the appointment of a relevant officer, the committee, sub-committee or officer making the appointment, or, in the case of the appointment of the Head of Paid Service, making a recommendation to the Council.
3. An offer of an appointment as a relevant officer must not be made by the appointor until -
 - (a) the appointor has notified the proper officer of the name of the person to whom the appointor wishes to make the offer and any other particulars which the appointor considers are relevant to the appointment;
 - (b) the proper officer has notified every member of the executive of: -
 - (i) the name of the person to whom the appointor wishes to make the offer;
 - (ii) any other particulars relevant to the appointment which the appointor has notified to the proper officer; and
 - (iii) the period within which any objection to the making of the offer is to be made by the executive leader on behalf of the executive to the proper officer; and
 - (c) either: -
 - (i) the executive leader has, within the period specified in the notice under sub-paragraph (b)(iii), notified the appointor that neither s/he nor any member of the executive has any objection to the making of the offer;
 - (ii) the proper officer has notified the appointor that no objection was received by him/her within that period from the executive leader; or
 - (iii) the appointor is satisfied that any objection received from the executive leader within that period is not material or is not well-founded.
4. The “proper officer” for the purposes of paragraph 3 will be the Deputy Chief Executive and City Treasurer.

ANNEX 2

1. This procedure applies to the appointment of the Panel to advise the full Council in relation to the dismissal of the Head of Paid Service, the Monitoring Officer or the Chief Finance Officer ('relevant officers') and has been incorporated into these Rules as required by the Local Authorities (Standing Orders) (England) (Amendment) Regulations 2015.
2. The Council must invite independent persons appointed under Section 28(7) of the Localism Act 2011 ("the 2011 Act") to be considered for appointment to the Panel, with a view to appointing at least two independent persons to the Panel.
3. In paragraph 2, independent person means any independent person who has been appointed by the Council or, where there are fewer than two independent persons, such independent persons as have been appointed by another authority or authorities as the Council considers appropriate.
4. The Independent Panel shall consist of the Council's independent persons appointed under section 28(7) of the 2011 Act provided that at least two such independent persons are willing to sit on the Panel. If they are not then, subject to paragraph 5, the Council must appoint to the Panel independent persons who have accepted an invitation issued under paragraph 2, in the following order of priority:
 - (a) an independent person who has been appointed by the Council and who is a local government elector in the Council's area;
 - (b) any other independent person who has been appointed by the Council;
 - (c) an independent person who has been appointed by another authority or authorities.
5. The Council may appoint more than two independent persons.
6. The Council must appoint the Panel at least 20 working days before the full Council meets to consider whether or not to approve a proposal to dismiss a relevant officer.
7. Any remuneration, allowances or fees paid by the Council to an independent person appointed to the Panel must not exceed the level of remuneration, allowances or fees payable to that independent person in respect of that person's role as an independent person under the 2011 Act.

ANNEX 3**DISMISSAL OF HEAD OF PAID SERVICE, CHIEF OFFICERS
AND DEPUTY CHIEF OFFICERS**

1. This procedure applies to the dismissal of the Head of Paid Service, Chief Officers and Deputy Chief Officers (“relevant officers”) and has been incorporated into these Rules, as required by the Local Authorities (Standing Orders) (England) Regulations 2001.
2. In this procedure, “dismissor” means, in relation to the dismissal of a relevant officer, the authority or, where a committee, sub-committee or another officer is discharging the function of dismissal on behalf of the authority, that committee, sub-committee or other officer, as the case may be.
3. Notice of the dismissal of a relevant officer must not be given by the dismissor until -
 - (a) the dismissor has notified the proper officer of the name of the person who the dismissor wishes to dismiss and any other particulars which the dismissor considers are relevant to the dismissal;
 - (b) the proper officer has notified every member of the executive of: -
 - (i) the name of the person who the dismissor wishes to dismiss;
 - (ii) any other particulars relevant to the dismissal which the dismissor has notified to the proper officer; and
 - (iii) the period within which any objection to the dismissal is to be made by the executive leader on behalf of the executive to the proper officer; and
 - (c) either: -
 - (i) the executive leader has, within the period specified in the notice under sub-paragraph (b)(iii), notified the dismissor that neither s/he nor any other member of the executive has any objection to the dismissal;
 - (ii) the proper officer has notified the dismissor that no objection was received by him/her within that period from the executive leader; or
 - (iii) the dismissor is satisfied that any objection received from the executive leader within that period is not material or is not well-founded.
4. The “proper officer” for the purposes of paragraph 3 will be the Deputy Chief Executive and City Treasurer.

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Section B

Roles and Responsibilities, Risk Management and Partnerships

CONTENTS

Paragraph Numbers

Chapter 1: Roles and Responsibilities

Chapter 2: Assurance and Risk Management

• Risk Management	1 - 21
• Internal Control	22 - 37
• Internal Audit	38 - 52
• External Audit	53 - 61

Chapter 3: Partnerships

• Partnerships	1 - 22
• Work for Third Parties	23 - 38

ROLES AND RESPONSIBILITIES

SECTION B: ROLES AND RESPONSIBILITIES

CHAPTER 1 – Roles and Responsibilities

Full Council

1. The Full Council is responsible for adopting the Council's Constitution, Code of Conduct for Members and for approving the budget and policy framework within which the Executive operates.

Executive

2. The Executive is responsible for proposing the budget and policy framework to the Full Council, and for discharging executive functions in accordance with the policy framework and budget.
3. The Executive is responsible for taking in-year decisions on resources and priorities in order to deliver the budget and policy framework within the financial limits set by the Council.
4. Executive decisions can be delegated to a committee of the Executive, an individual Executive Member or an officer.
5. Individual Executive Members should consult with relevant officers before taking a decision within their delegated authority. In doing so, the individual member must take account of legal and financial liabilities and risk management issues that may arise from the decision.

Scrutiny Committees

6. Scrutiny Committees are responsible for scrutinising executive decisions before or after they have been implemented and for holding the Executive to account. Scrutiny Committees are also responsible for making recommendations on future policy options and for reviewing the general policy and service delivery of the Council.
7. The Resources and Governance Scrutiny Committee exercises scrutiny functions in relation to financial matters, and other general matters and reports to the Executive and/or Full Council, as appropriate.

Audit Committee

8. The Audit Committee is responsible for approving the Annual Statement of Accounts.
9. The Audit Committee is responsible for approving (but not directing) the internal audit strategy and annual plan and for receiving assurance over the effectiveness of systems of governance, risk management and internal control.

ROLES AND RESPONSIBILITIES

10. The Audit Committee consists of elected members and independent co-opted members. The Committee's responsibilities include reviewing external auditors' reports, the annual audit letter, internal audit and risk management reports and the Deputy Chief Executive and City Treasurer's annual report on the effectiveness of the system of internal audit.

Standards Committee

11. The Standards Committee is responsible for promoting and maintaining high standards of conduct amongst members. In particular, it is responsible for advising the Council on the adoption and revision of the Code of Conduct for Members, and for monitoring the operation of the code.

Chief Executive

12. The Chief Executive is responsible for the corporate and overall strategic management of the Council as a whole. He or she must report to and provide information for the Executive, the Full Council, the Scrutiny Committees and other committees. He or she is responsible for establishing a framework for management direction, style and standards and for monitoring the performance of the Council.

City Solicitor

13. The City Solicitor is responsible for reporting any actual or potential breaches of the law or maladministration to the Full Council and/or to the Executive, and for ensuring that procedures for recording and reporting key decisions are operating effectively.
14. The City Solicitor is also responsible for advising the Executive and/or Full Council about whether a decision is likely to be considered contrary to, or not wholly in accordance with, the Council's budget and policy framework.
15. Together with the Deputy Chief Executive and City Treasurer, the City Solicitor is responsible for advising the Executive or Full Council about whether a decision is likely to be considered contrary to, or not wholly in accordance with the budget. Such actions include:
- initiating a new policy;
 - committing expenditure in future years above the approved budget level;
 - interdepartmental transfers above virement limits;
 - causing total net expenditure to increase beyond the approved budget.

Deputy Chief Executive and City Treasurer

16. The Deputy Chief Executive and City Treasurer has various statutory duties in relation to the financial administration and stewardship of the Council, most of which cannot be overridden. The statutory duties, arise from:
- Section 151 of the Local Government Act 1972
 - Section 114 and 114A of the Local Government Finance Act 1988
 - Section 25 of the Local Government Act 2003
 - The Accounts and Audit Regulations 2015.

ROLES AND RESPONSIBILITIES

17. Section 151 of the Local Government Act 1972 requires the Council to make arrangements for the proper administration of their financial affairs and to secure that one of their officers has responsibility for the administration of those affairs. The Council has designated the Deputy Chief Executive and City Treasurer to fulfil this role and he/she has the statutory title of Chief Finance Officer.
18. Sections 114 and 114A of the Local Government Finance Act 1988 require the Deputy Chief Executive and City Treasurer to report to the Executive or the Full Council (as appropriate) and to the external auditor, if it appears to him/her that the Executive or the Council or a person or committee on their behalf: -
 - has made, or is about to make, a decision which involves or would involve the authority in incurring expenditure which is unlawful
 - has taken, or is about to take, a course of action which, if pursued to its conclusion, would be unlawful and likely to cause a loss or deficiency on the part of the Council
 - is about to enter an item of account, the entry of which is unlawful.
19. Section 114 of the 1988 Act further requires the Deputy Chief Executive and City Treasurer to report to Full Council if it appears to him/her that the expenditure of the Council incurred (including expenditure it proposes to incur) in a financial year is likely to exceed the resources (including sums borrowed) available to it to meet that expenditure.
20. In preparing reports under Section 114 or 114A, the Deputy Chief Executive and City Treasurer should consult so far as practicable the Chief Executive and the City Solicitor. The Deputy Chief Executive and City Treasurer should also nominate a properly qualified member of staff to deputise if he or she is unable to act owing to absence or illness.
21. The Council must provide the Deputy Chief Executive and City Treasurer with such staff, accommodation and other resources as are in his/her opinion sufficient to allow his/her duties under Section 114 and 114A to be performed.
22. When the Council is setting its budget the Deputy Chief Executive and City Treasurer is required under Section 25 of the Local Government Act 2003 to report on: -
 - the robustness of the estimates made for the purposes of the calculations; and
 - the adequacy of the proposed financial reserves.
23. The Accounts and Audit Regulations 2015, require the Deputy Chief Executive and City Treasurer to determine on behalf of the Council: -
 - the form of its accounting records and supporting records;
 - its financial control systems.
24. The Deputy Chief Executive and City Treasurer is responsible for: -
 - the proper administration of the Council's financial affairs;
 - setting and monitoring compliance with financial management standards;

ROLES AND RESPONSIBILITIES

- ensuring proper professional practices are adhered to and to act as head of profession in relation to the standards of finance staff throughout the Council;
 - advising on the corporate financial position and on the key financial controls necessary to secure sound financial management;
 - preparing the revenue budget and capital programme;
 - treasury management and banking; and
 - ensuring that financial information is available to enable accurate and timely monitoring and reporting of comparisons of national and local financial performance indicators.
25. The Deputy Chief Executive and City Treasurer will make arrangements so that internal auditors have the authority:-
- to have access to Council premises at reasonable times;
 - to have access to documents, records and equipment in the possession of the Council;
 - to require any officer of the Council to account for cash, stores or any other Council asset under their control;
 - where possible, to have access to records belonging to third parties, such as contractors, when required;
 - to receive information concerning any matter under investigation; and
 - to have access to the Chief Executive, the Executive, the Audit Committee and Scrutiny Committees.
26. The Deputy Chief Executive and City Treasurer is responsible for: -
- maintaining a continuous review of the Financial Regulations;
 - reporting breaches of financial regulations, where appropriate, to the Chief Executive; and
 - issuing advice and guidance to underpin the financial regulations.

Chief Officers and Heads of Service

27. Responsible for ensuring that all staff in their services are aware of the existence and content of the Council's Financial Regulations and other internal regulatory documents and that they comply with them. They must also ensure that managers and staff are aware of these policies and know how to access them on the Council's Intranet systems.
28. To ensure compliance with the financial management standards set by the Deputy Chief Executive and City Treasurer in their services and to monitor adherence to the standards and practices, liaising as necessary with the Deputy Chief Executive and City Treasurer.
29. To ensure sound financial practices in relation to the standards, performance and development of staff in their services.
30. Responsible for ensuring that Executive Members are advised of the financial implications of all proposals and that the Deputy Chief Executive and City Treasurer has agreed the financial implications.

ROLES AND RESPONSIBILITIES

31. Responsible for consulting with the Deputy Chief Executive and City Treasurer and seeking approval on any matter liable to affect the Council's finances materially, before any commitments are incurred.

ROLES AND RESPONSIBILITIES

CHAPTER 2 – ASSURANCE AND RISK MANAGEMENT**RISK MANAGEMENT****Executive**

1. Responsible for approving the Council's Risk Management Policy Statement and strategy and for reviewing the effectiveness of risk management and to promote a culture of risk management awareness throughout the Council.

Audit Committee

2. Responsible for obtaining assurance over the Council's governance and risk management arrangements, the control environment and associated anti-fraud and anti-corruption arrangements.

Deputy Chief Executive and City Treasurer

3. Responsible for preparing the Council's Risk Management Policy Statement, for promoting it throughout the Council and for advising the Executive on proper insurance cover where appropriate.
4. Responsible for ensuring that proper insurance exists where appropriate.
5. To develop and document risks, controls and assurances through the production of a Corporate Risk Register, in conjunction with other Chief Officers and Heads of Service.
6. To develop and document the Corporate Business Continuity Plan, in conjunction with other Chief Officers and Heads of Service.
7. On a regular basis, the Deputy Chief Executive and City Treasurer will forward to Chief Officers and Heads of Services schedules of assets covered by insurance.
8. To affect corporate insurance cover, through external insurance and internal funding.

City Solicitor

9. To arrange for the negotiation of claims in consultation with other officers, including the Deputy Chief Executive and City Treasurer, where necessary.

Chief Officers and Heads of Service

10. To contribute to the production of a Corporate Risk Register.
11. To take responsibility for risk management, having regard to advice from the Deputy Chief Executive and City Treasurer and other specialist officers (e.g. crime prevention, fire prevention, health and safety).
12. To ensure appropriate resilience and business continuity plans are in place.

ROLES AND RESPONSIBILITIES

13. To ensure that there are regular reviews of risk within their services.
14. To notify the Deputy Chief Executive and City Treasurer immediately of any loss, liability or damage that may lead to a claim by or against the Council, together with any information or explanation required by the Deputy Chief Executive and City Treasurer or the Council's insurers.
15. To notify the Deputy Chief Executive and City Treasurer promptly of all new risks, properties or vehicles that require insurance and of any alterations affecting existing insurances.
16. If any assets covered by insurance are damaged or stolen, Chief Officers and Heads of Services must not order replacements, remedial repairs or other work - except for emergency measures to prevent further damage or loss occurring - until the Deputy Chief Executive and City Treasurer has been consulted. Damage or loss arising from malicious acts should be reported to the police.
17. To notify the Deputy Chief Executive and City Treasurer promptly of any significant acquisitions or disposals of assets or any alteration to the scope or level of services provided, particularly where the risk of accident, injury, loss or damage is likely to increase.
18. To examine the schedules of assets covered by insurance, provided by the Deputy Chief Executive and City Treasurer, and ensure that the details of those assets are correctly recorded and that the scope and level of insurance cover is adequate, consistent with the Council's corporate insurance arrangements.
19. To provide the Deputy Chief Executive and City Treasurer with any information that he or she needs and in the timescale that he or she sets, to enable him or her to manage the Council's insurances effectively.
20. To consult with the Deputy Chief Executive and City Treasurer and the City Solicitor on the terms of any indemnity that the Council is requested to give.
21. To ensure that officers, or anyone covered by the Council's insurances, do not admit liability or make any offer to pay compensation that may prejudice the assessment of liability in respect of any insurance claim.

INTERNAL CONTROL

Audit Committee

22. Responsible for considering and approving the review of the Council's system of governance, risk management and controls in an Annual Governance Statement, which sets out and reviews the Council's governance arrangements as defined in the CIPFA/SOLACE Governance Framework, to accompany the Annual Statement of Accounts.
23. To overview the whistle-blowing policy.

ROLES AND RESPONSIBILITIES

Chief Executive

24. In conjunction with the Deputy Chief Executive and City Treasurer and the City Solicitor to develop and maintain an Anti-Fraud and Anti-Corruption Policy, Whistle-blowing policy and Risk Management Policy and processes.

Deputy Chief Executive and City Treasurer

25. Responsible for advising on effective systems of internal control. These arrangements need to ensure compliance with all applicable statutes and regulations, and other relevant statements of best practice. They should ensure that public funds are properly safeguarded and used economically, efficiently, and effectively and in accordance with the statutory and other provisions that govern their use.
26. In conjunction with the Chief Executive and the City Solicitor to develop and maintain an Anti-fraud and Anti-Corruption Policy, Whistle-blowing Policy and Risk Management Policy and processes.
27. To assist the Council to put in place an appropriate control environment and effective internal controls, which provide reasonable assurance of effective and efficient operations, financial stewardship, probity and compliance with laws and regulations.
28. To maintain adequate and effective internal control arrangements.
29. To review annually the effectiveness of the Council's system of internal audit and to report the findings to the Audit Committee.
30. To ensure that all suspected financial irregularities are reported to the Head of Internal Audit and response appropriate actions are taken., and where sufficient evidence exists to believe that a criminal offence may have been committed, the police are called in by the Head of Internal Audit to determine with the Crown Prosecution Service whether any prosecution will take place.

Chief Officers and Heads of Service

31. Responsible for establishing sound arrangements, consistent with guidance given by the Deputy Chief Executive and City Treasurer, for planning, appraising, authorising and controlling their operations in order to achieve continuous improvement, economy, efficiency and effectiveness and for achieving their financial performance targets.
32. Responsible for devising systems of control to help ensure the Council's objectives are achieved in a manner that promotes economical, efficient and effective use of resources and that the Council's assets and interests are safeguarded.
33. To review existing controls in the light of changes affecting the Council and to establish and implement new ones in line with guidance from the Deputy Chief Executive and City Treasurer. Chief Officers and Heads of Services should also

ROLES AND RESPONSIBILITIES

be responsible for removing controls that are unnecessary or not cost or risk effective, for example, because of duplication.

34. Responsible for managing and reviewing processes to check that established controls are being adhered to and to evaluate their effectiveness, in order to be confident in the proper use of resources, achievement of objectives and management of risks.
35. To ensure staff have a clear understanding of the consequences of lack of control, including the risk of fraud and error.
36. To ensure that all suspected financial irregularities are reported to the Deputy Chief Executive and City Treasurer and Head of Internal Audit.
37. To instigate the Council's disciplinary procedures where the outcome of an audit investigation indicates improper behaviour.

INTERNAL AUDIT

38. Section 151 of the Local Government Act 1972, requires that authorities 'make arrangements for the proper administration of their financial affairs'. The Accounts and Audit Regulations 2015 specifically requires that a "relevant authority must undertake an effective internal audit of its risk management, control and governance processes taking into account public sector internal auditing standards or guidance".
39. Internal audit is an assurance function that provides an independent and objective opinion to the Council on the control environment, by evaluating its effectiveness in achieving its objectives. It objectively examines, evaluates and reports on the adequacy of the control environment as a contribution to the proper, economic, efficient and effective use of resources.

Audit Committee

40. Responsible for reviewing the Deputy Chief Executive and City Treasurer's annual report on the effectiveness of Internal Audit (including the Quality Assurance Improvement Programme) and the Head of Internal Audit's Annual Assurance Statement.
41. Responsible for reviewing and approving the Internal Audit Strategy and Annual Audit Plan.
42. Responsible for overseeing the effectiveness of actions taken by management to implement agreed actions arising from audit recommendations.

Deputy Chief Executive and City Treasurer

43. Responsible for submitting an annual report on the effectiveness of Internal Audit (including the Strategic Quality Assurance Improvement Programme) to the Senior Management Team and the Audit Committee.

ROLES AND RESPONSIBILITIES

44. The Accounts and Audit Regulations 2015 requires the Deputy Chief Executive and City Treasurer to determine on behalf of the Council:
 - The form of its accounting records and supporting records
 - its financial control systems.
45. The Deputy Chief Executive and City Treasurer will make arrangements so that internal auditors have authority:
 - to have access to Council premises at reasonable times;
 - to have access to documents, records and equipment in the possession of the Council;
 - to require any officer of the Council to account for cash, stores or any other Council asset under their control;
 - where possible, to have access to records belonging to third parties, such as contractors, when required;
 - to receive information concerning any matter under investigation; and
 - to have access to the Chief Executive, the Executive, the Audit Committee and Scrutiny Committees.
46. To ensure that effective procedures are in place to investigate promptly any fraud or irregularity.

Chief Officers and Heads of Service

47. To ensure that internal auditors are given access at all reasonable times to premises, personnel, documents and assets that the auditors consider necessary for the purposes of their work.
48. To ensure that auditors are provided with any information and explanations that they seek in the course of their work.
49. To consider and respond promptly to recommendations in audit reports.
50. To ensure that any agreed actions arising from audit recommendations are carried out in a timely and efficient fashion.
51. To notify the Head of Internal Audit immediately of any suspected fraud, irregularity, improper use or misappropriation of the Council's property or resources. Pending investigation and reporting, the Chief Officers and Heads of Service should take all necessary steps to prevent further loss and to secure records and documentation against removal or alteration.
52. To ensure that new systems for maintaining financial records, or records of assets, or changes to such systems, are discussed with the Head of Internal Audit prior to implementation.

EXTERNAL AUDIT

ROLES AND RESPONSIBILITIES

- 53. The External Auditor has rights of access to all documents and information necessary for audit purposes.
- 54. To provide assurance that the accounts are prepared properly, that proper accounting practices have been followed and that quality arrangements have been made for securing economy, efficiency and effectiveness in the use of the Council's resources.
- 55. The Council may, from time to time, be subject to audit, inspection or investigation by various external bodies such as HM Revenues and Customs and the Inland Revenue, who have statutory rights of access.

Audit Committee

- 56. Responsibility to review the External Auditor's reports, the Annual Audit Letter and approve the Annual Statement of Accounts.

Deputy Chief Executive and City Treasurer

- 57. To ensure that external auditors are given access at all reasonable times to premises, personnel, documents and assets that the external auditors consider necessary for the purposes of their work.
- 58. To ensure there is effective liaison between External and Internal Audit.
- 59. To work with the External Auditor and advise the Full Council, Executive and Chief Officers and Heads of Services on their responsibilities in relation to external audit.

Chief Officers and Heads of Service

- 60. To ensure that external auditors are given access at all reasonable times to premises, personnel, documents and assets which the external auditors consider necessary for the purposes of their work.
- 61. To ensure that all records and systems are up to date and available for inspection.

ROLES AND RESPONSIBILITIES

CHAPTER 3 – PARTNERSHIPS**PARTNERSHIPS****Executive**

- 1. The Executive to provide the strategic direction for forming partnership arrangements with other local public, private, voluntary and community sector organisations to address local needs and deliver outcomes linked to Council and city-wide priorities.**
- 2. The Executive will delegate functions as appropriate, including those relating to partnerships, to Chief Officers and Heads of Service. These are set out in the Scheme of Delegation that forms part of the Council's Constitution. Where functions are delegated, the Executive remains accountable for them to the Full Council.**
- 3. Representation of the Council on partnership and external bodies will be decided in accordance with the Scheme of Delegation.**

Chief Executive

- 4. In conjunction with the Deputy Chief Executive and City Treasurer must also ensure adequate and robust governance arrangements are in place and consider the overall corporate governance arrangements, risk and legal issues when arranging contracts with external bodies.**
- 5. Responsible with the Deputy Chief Executive and City Treasurer and City Solicitor for developing and maintaining the Partnership Governance Framework and ensuring that the arrangements to ensure compliance are adhered to.**

Deputy Chief Executive and City Treasurer

- 6. Must ensure that the accounting arrangements to be adopted relating to partnerships and joint ventures are satisfactory.**
- 7. In conjunction with the Chief Executive must also consider the overall corporate governance arrangements, risk and legal issues when arranging contracts with external bodies.**
- 8. Ensure that all new partnership arrangements the Council enters into are registered where applicable, on the Register of Significant Partnerships, and that arrangements are risk assessed. Ensure that accounting and monitoring arrangements adopted by partnerships and joint ventures are satisfactory.**
- 9. To ensure that partnership governance arrangements are underpinned by clear and well-documented internal financial controls.**

ROLES AND RESPONSIBILITIES

10. In conjunction with the appropriate Chief Officers and Heads of Service must ensure that the risks have been fully appraised before agreements are entered into with external bodies.
11. To advise on effective controls that will ensure resources are maximised, not wasted.
12. To advise, as appropriate, on the key elements of funding a project, including:
 - a scheme appraisal for financial viability in both the current and future years.
 - risk appraisal and management.
 - resourcing, including taxation issues.
 - audit, security and control requirements.
 - carry forward arrangements.

Chief Officers and Heads of Service

13. Ensure that all new significant partnership arrangements the Council enters into are registered under the Register of Significant Partnerships, and that arrangements are risk assessed and that the accounting arrangements to be adopted by partnerships and joint ventures are satisfactory.
14. In conjunction with the Deputy Chief Executive and City Treasurer ensure that the risks have been fully appraised before agreements are entered into with external bodies.
15. Chief Officers and Heads of Services are responsible for ensuring that the Deputy Chief Executive and City Treasurer is consulted on the progress of negotiations and that appropriate approval is granted prior to the conclusion of any negotiations with partner organisations.
16. To consult with the Deputy Chief Executive and City Treasurer, as necessary, on a scheme's appraisal for financial viability in both the current and future years.
17. To ensure that, before entering into agreements with external bodies, a risk management appraisal has been prepared for the Deputy Chief Executive and City Treasurer.
18. To provide the Deputy Chief Executive and City Treasurer with all necessary information.
19. To maintain a register of all contracts entered into with external bodies in accordance with procedures specified by the Deputy Chief Executive and City Treasurer.

ROLES AND RESPONSIBILITIES

- 20. To ensure that such agreements and arrangements do not impact adversely upon the services provided by the Council.
- 21. To ensure that all agreements and arrangements are properly documented.
- 22. To provide appropriate information to the Deputy Chief Executive and City Treasurer to enable sufficient information to be entered into the Council's Statement of Accounts concerning material items.

WORK FOR THIRD PARTIES

Executive

- 23. Responsible for approving the contractual arrangements for any work for third parties or external bodies unless this is delegated to Chief Officers and Heads of Service.

Deputy Chief Executive and City Treasurer

- 24. To issue guidance with regard to the financial aspects of third party contracts and the maintenance of the contract register.
- 25. To issue guidance on how proposals for any work with third parties should be costed.
- 26. To issue guidance on financial matters to be included in the completion of contracts.

Chief Officers and Heads of Service

- 27. To ensure that proposals for working with third parties are costed properly and in accordance with guidance provided by the Deputy Chief Executive and City Treasurer.
- 28. To ensure that contracts are drawn up using guidance provided by the Deputy Chief Executive and City Treasurer and the formal approvals process is adhered to.
- 29. To ensure that the approval of the Executive is obtained before any negotiations are concluded to work for third parties.
- 30. To maintain a register of all contracts entered into with third parties in accordance with procedures specified by the Deputy Chief Executive and City Treasurer.
- 31. To ensure that appropriate insurance arrangements are made.
- 32. To ensure that the Council is not put at risk from any bad debts.

ROLES AND RESPONSIBILITIES

33. To ensure that no contract is subsidised by the Council without the approval of the Executive.
34. To ensure that, wherever possible, payment is received in advance of the delivery of the service.
35. To ensure that the service/unit has the appropriate expertise to undertake the contract.
36. To ensure that such contracts do not impact adversely upon the services provided for the Council.
37. To ensure that all contracts are properly documented.
38. To provide appropriate information to the Deputy Chief Executive and City Treasurer to enable relevant disclosures to be made in the Statement of Accounts.

Section CD

Financial Systems and Procedures

FINANCIAL SYSTEMS AND PROCEDURES

SECTION D: Financial Systems and Procedures

CONTENTS

<u>Chapter 1: General Budget Setting and Monitoring</u>	<u>Paragraph Numbers</u>
<u>Paragraph No's.</u>	
• Budgetary	1 - 16
<u>• Corporate Plans</u>	<u>17 - 25</u>
• Budget Preparation and Business Planning	<u>26</u> 17 - <u>44</u> 36
• Resource Allocation	<u>45</u> 37 - <u>52</u> 44
• Monitoring and Controlling Budgets	<u>53</u> 45 - <u>82</u> 74
<u>• Virement (including Thresholds)</u>	<u>83</u> 75 - <u>96</u> 88
<u>• Staffing</u>	<u>97</u> - <u>119</u>
<u>• External Funding</u>	<u>120</u> - <u>130</u>
<u>Chapter 2: Accountancy and VAT</u>	
• Financial Records and Returns	1 - 13
• Treatment of In Year Balances	14 - <u>21</u> 20
<u>• Maintenance of Reserves/Provisions</u>	<u>22</u> 21 - <u>37</u> 38
• <u>Accounting Policies</u>	<u>38</u> - <u>41</u>
• Annual Statement of Accounts	<u>42</u> 39 - <u>48</u> 45
• Taxation	<u>49</u> 46 - 57
<u>Chapter 3: Income and Expenditure Cash, Banking and Treasury Management</u>	
• Income	1 - <u>33</u> 38
• Expenditure	<u>34</u> 39 - <u>71</u> 78
• Imprest Accounts	<u>72</u> 79 - <u>73</u> 80
• Treasury Management	<u>74</u> 81 - <u>87</u> 94
• Banking	<u>88</u> 95 - <u>90</u> 97
• Trust Funds and funds held for Third Parties	<u>91</u> 98 - <u>93</u> 100
<u>Chapter 4: Assets</u>	

FINANCIAL SYSTEMS AND PROCEDURES

- General 1 - 21
- Inventories 22 - 34
- Asset Disposal and Write-off Procedures 35 – 42

CHAPTER 1 -- – GENERAL BUDGET SETTING AND MONITORING

BUDGETARY

Deputy Chief Executive and City Treasurer

1. Responsible for ensuring that the Council's financial systems are sound across the City Council and for any pooled fund or integration arrangement.
2. Responsible for establishing arrangements to approve and be notified, in advance, of any proposed new developments or changes to existing financial systems.
3. Responsible for the operation and administration of the Council's accounting systems, the form of accounts and the supporting financial records, including to:
 - issue advice, guidance and procedures for officers and others acting on the Council's behalf.
 - determine the accounting systems, form of accounts and supporting financial records.
 - ensure that accounts are closed down and financial statements prepared in line with the statutory deadlines.
 - establish arrangements for audit of the Council's financial affairs.
 - comply with Whole of Government accounts requirements, given statutory effect by the Finance Act 1998, in accordance with CIPFA and National Audit Office guidance.

Chief Officers and Heads of Service

4. Responsible for the proper operation of financial processes in their respective service.
5. To ensure that accounting records are properly maintained and held securely.
6. To ensure that systems are documented and that staff receive relevant financial training before staff are granted access to financial systems.
7. To ensure that the Deputy Chief Executive and City Treasurer has approved any changes to the existing procedures, financial systems or the establishment of new systems in advance of implementation.
8. Responsible for ensuring that a proper Scheme of Delegation has been established within their area and is operating effectively. The Scheme of Delegation should identify staff authorised to act on the Chief Officer's and Heads of Service's behalf, in respect of committing expenditure, payments and income collection, together with the limits of their authority.

FINANCIAL SYSTEMS AND PROCEDURES

9. To ensure that invoices and documents with financial implications are retained in line with arrangements approved by the Deputy Chief Executive and City Treasurer.
10. To ensure that a complete management trail, allowing financial transactions to be traced from the accounting records to the original document, and vice versa, is maintained.
11. To incorporate appropriate controls to ensure that, where relevant:
 - all input is genuine, complete, accurate, timely and not previously processed;
 - all processing is carried out in an accurate, complete and timely manner;
 - output from the system is complete, accurate and timely;
 - data is backed up on a regular basis.
12. To ensure that the organisational structure provides an appropriate segregation of duties to provide adequate internal controls and to minimise the risk of fraud or other malpractice.
13. To ensure that effective contingency arrangements, including back-up procedures, exist for computer systems and to ensure there is a documented and tested disaster recovery plan to allow information system processing to resume quickly in the event of an interruption.
14. To ensure that computer systems are registered and operated in accordance with data protection legislation, copyright, designs and patents legislation and that staff are aware of their responsibilities under the legislation. To ensure that:
 - only software legally acquired and installed by the Council is used on its computers.
 - staff are aware of legislative provisions.
 - in developing systems, due regard is given to the issue of intellectual property rights.
15. To ensure that the Council's information and ICT security standards are complied with.
16. To ensure that computer equipment and software are protected from loss and damage through theft, vandalism, etc.

CORPORATE PLANS

Chief Executive

17. **Responsible for proposing the priorities for the Council as set out in The Manchester Strategy ('Our Manchester'), Medium Term Financial Plan and Business Plans to the Executive for consideration before their submission to the Full Council for approval.**

Deputy Chief Executive and City Treasurer

FINANCIAL SYSTEMS AND PROCEDURES

18. To advise and supply, as appropriate and in conjunction with Chief Officer and Heads of Services, the financial information that needs to be included in performance plans in accordance with statutory requirements and agreed timetables.
19. To contribute to the development of corporate and service targets and objectives and performance information.
20. To ensure that systems are in place to measure activity and collect accurate information for use as performance indicators.
21. To ensure that performance information is monitored sufficiently frequently to allow corrective action to be taken if targets are not likely to be met.

Chief Officers and Heads of Service

22. To ensure that performance information is monitored sufficiently frequently to allow corrective action to be taken if targets are not likely to be met.
23. To contribute to the development of performance plans in line with statutory requirements.
24. To contribute to the development of corporate and service targets and objectives and performance information.
25. To advise and supply, as appropriate and in conjunction with the Deputy Chief Executive and City Treasurer the financial information that needs to be included in performance plans in accordance with statutory requirements and agreed timetables.

BUDGET PREPARATION AND BUSINESS PLANNING

- 47-26. Budgets represent the expression in financial terms of the Council's policies and constitute a statement of intent against which judgements can be formed. Each Chief Officer in consultation with the Deputy Chief Executive and City Treasurer must prepare a revenue budget to be presented to the Executive prior to scrutiny and approval by Full Council. Chief Officers may then spend within the amounts shown in the relevant budgets as long as the spending relates to the Council's existing policies. Each Chief Officer, in conjunction with the Deputy Chief Executive and City Treasurer, has a responsibility to ensure that their budgets and establishments are accurately reflected on the Council's financial system (SAP) by 1 April.
- 48-27. The Deputy Chief Executive and City Treasurer must collate capital estimates jointly with Chief Officers and Heads of Services to submit them as a capital programme, including any associated financing requirements, to the Executive which will make recommendations for approval by the Full Council.
- 49-28. It is illegal for the Council to budget for a deficit.

FINANCIAL SYSTEMS AND PROCEDURES

Full Council

~~20.29.~~ Responsible for approving the general format of the Revenue and Capital Budget, proposed by the Executive on the advice of the Deputy Chief Executive and City Treasurer. The draft budget should include allocation to different services and projects, proposed taxation levels and contingency funds.

~~21.30.~~ Responsible for approving the Council's Revenue and Capital Budget and Policy Framework proposed by the Executive. The Policy Framework comprises the plans and strategies set out in Article 4 of Part 2 of the Constitution.

~~22.31.~~ In terms of financial planning, the key elements are:

- The Our Manchester Strategy;
- The Corporate Plan
- Medium Term Financial Strategy (incorporating the Medium Term Financial Plan, Directorate Budgets, the Housing Revenue Account Business Plan and the Dedicated Schools Grant)
- Capital Strategy and Programme;
- Treasury Management Strategy;
- Annual Investment Strategy;
- Minimum Revenue Provision Strategy.

Executive

~~23.32.~~ Responsible for approving guidance on the general content of the Revenue and Capital Budget as submitted by the Deputy Chief Executive and City Treasurer.

~~24.33.~~ Responsible for proposing the Revenue and Capital Budget and policy framework to the Full Council, and for discharging executive functions in accordance with the Revenue and Capital Policy Framework and Budget.

~~25.—To approve schedules for individual capital schemes within the overall budget approved by Full Council.~~

Resources and Governance Scrutiny Committee

~~26.34.~~ To exercise scrutiny functions in relation to financial matters, and other general matters and report to the Executive and/or Full Council, as appropriate.

Deputy Chief Executive and City Treasurer

~~27.35.~~ To prepare and submit reports on budget prospects, for the Executive, including resource constraints set by the government. Reports should take account of medium term prospects, where appropriate.

FINANCIAL SYSTEMS AND PROCEDURES

28-36. To prepare and submit reports to the Executive and the Council on the aggregate spending plans of services and on the resources available to fund them, including the robustness of the estimates made and the adequacy of proposed financial reserves, and identifying, where appropriate, the implications for the level of Council Tax to be levied and on the level of housing rents/service charges.

29-37. To prescribe detailed formats for revenue and capital budget preparation as follows:

Revenue	Capital
To prescribe the detailed format for the preparation of revenue estimates, for submission to and approval by Full Council, in accordance with the Council's general directions.	To issue guidance relating to the strategy and controls for capital schemes. The Deputy Chief Executive and City Treasurer, having regard to Government regulations and accounting requirements, will determine the definition of 'capital'.
The Deputy Chief Executive and City Treasurer is responsible for ensuring that a revenue budget is prepared on an annual basis for consideration by the Executive, before submission to the Full Council. The Full Council may amend the budget or ask the Executive to reconsider it before approving it.	Responsible for ensuring that a capital programme, including prudential indicators for the forthcoming year is prepared on an annual basis for consideration by the Executive before submission to the Full Council. The report to the Executive to take into account, when setting or revising prudential indicators, the following: <ul style="list-style-type: none"> - affordability; - <u>prudence and sustainability</u>; - <u>proportionality</u> - value for money; - stewardship of assets; - service objectives; - practicality.
To ensure, where appropriate, that proposals for external funding have been approved by the Council's Revenue Gateway Panel process prior to Executive approval to ensure strategic fit.	To collate capital estimates jointly with Chief Officers and Heads of Services and the Chief Executive and to submit them to the Executive for approval. The Executive will make recommendations on the capital estimates and on any associated financing requirements to the Full Council. Executive approval is required where a Chief Officer and/or Head of Service proposes to bid for, or exercise additional borrowing approval, not anticipated in the capital programme. <p><u>Council approval is needed to increase forecast borrowing.</u></p>

FINANCIAL SYSTEMS AND PROCEDURES

	To approve, in conjunction with the Executive Member for Finance and Human Resources, capital schemes that include project plans, progress targets and associated revenue expenditure submitted by Chief Officers and Heads of Services.
	To ensure that all capital schemes have been through the Council's Capital Checkpoint Approval Process before approval to spend the allocation is granted. Note that approval is also required for all externally funded schemes (including those which are 100% funded from external sources).

30.38. The guidelines will take account of:

- legal requirements;
- medium-term planning prospects;
- the Our Manchester Strategy and Corporate Plan;
- available resources;
- spending/income pressures;
- CIPFA Accounting Codes and other relevant government guidelines;
- other internal policy documents;
- cross-cutting issues (where relevant).

31.39. To encourage the best use of resources and value for money by working with Chief Officers and Heads of Services to identify opportunities to improve economy, efficiency and effectiveness, and by encouraging good practice in conducting financial appraisals of development or savings options, and in developing financial aspects of service planning.

Chief Officers and Heads of Service

32.40. To ensure that priorities within Business Plans are delivered and that budgets are balanced across services within their directorates.

33.41. To ensure that there is a clear direction for the services in their directorate that aligns with the Our Manchester Strategy and Corporate Plan. The Chief Officer must provide leadership for change, innovation and creativity.

34.42. Responsibility to ensure that budget estimates reflecting agreed service plans are submitted to the Executive and that these estimates are prepared in line with guidance issued by the Deputy Chief Executive and City Treasurer.

FINANCIAL SYSTEMS AND PROCEDURES

35.43. To prepare budgets that are consistent with any relevant cash limits, with the Council's annual budget cycle and with guidelines issued by the Deputy Chief Executive and City Treasurer. The Deputy Chief Executive and City Treasurer in accordance with the Full Council's general directions will prescribe the format.

36.44. When drawing up draft budget requirements, to have regard to:

- spending patterns and pressures revealed through the budget monitoring process;
- legal requirements;
- de minimis levels (capital),
- policy requirements as defined by the Full Council in the approved policy framework and with partners in the delivery of the Our Manchester Strategy,
- initiatives already under way; and
- the following table:

Revenue	Capital
To comply with the laid-down guidance, controls and timetable issued by the Deputy Chief Executive and City Treasurer, and prepare detailed draft revenue proposals for consideration by the Executive and scrutiny committees.	To comply with the laid-down guidance, controls and timetable issued by the Deputy Chief Executive and City Treasurer, and prepare detailed draft capital bids for consideration by the Executive and scrutiny committees.
To integrate financial and budget plans into service planning, so that budget plans can be supported by financial and non-financial performance measures, a risk assessment has been carried out and that links are made with workforce development planning.	To submit a prioritised list of bids as part of the annual capital budgeting process representing the schemes required to deliver service strategy.
To prepare estimates of income and expenditure, with guidance from the Deputy Chief Executive and City Treasurer, to be submitted to the Executive. To ensure that budget estimates reflecting agreed service plans are submitted to the Executive and that these estimates are prepared in line with guidance issued by the Executive and Deputy Chief Executive and City Treasurer.	To prepare schedules for individual schemes within the overall capital budget approved by the Full Council and submit to the Executive for approval or under other arrangements approved by the Full Council.

FINANCIAL SYSTEMS AND PROCEDURES

<p>- To submit revenue proposals to bid for external funding through the Council's Revenue Gateway process and subsequent approval by the Deputy Chief Executive and City Treasurer.</p>	<p>To ensure that each capital project follows the Council's Checkpoint process. At Checkpoint 2 approval should be sought from the Deputy Chief Executive and City Treasurer in consultation with the Executive Member for Finance and Human Resources and the Deputy Leader and relevant Executive Portfolio Holder prior to the project's inclusion in the Capital Budget.</p>
<p>To ensure that approvals to utilise increased grant resources allocated to the Council in year (includes any external funds allocated/received in advance); unbudgeted use of reserves and requests for release of funds approved in the budget but not yet allocated to departmental cash limit are approved by the Deputy Chief Executive and City Treasurer and Executive Member for Finance and Human Resources and reported in the Council's Global Monitoring Report.</p>	<p>To ensure that approvals for all capital expenditure proposals are obtained prior to a scheme's commencement from the Deputy Chief Executive and City Treasurer and the Executive Member for Finance and Human Resources and that capital expenditure approvals are not exceeded.</p>

RESOURCE ALLOCATION

Executive

37.45. Responsible for taking in-year decisions on resources and priorities in order to deliver the budget and policy framework within the financial limits set by the Council.

Deputy Chief Executive and City Treasurer

38.46. To develop and maintain a resource allocation process that ensures due consideration of the Full Council's Policy Framework and legal constraints.

39.47. To advise on methods available for the funding of resources to ensure the most effective use of resources, such as grants from Central Government, other external resources and borrowing requirements.

40.48. To advise on the suitability of proposals to introduce/modify financial procedures to control resources.

41.49. To reallocate approved resources for the capital budget at out-turn to provide the most advantageous financial outcome for the Council provided that this does not result in an increase in the Council's level of borrowing.

FINANCIAL SYSTEMS AND PROCEDURES

Chief Officers and Heads of Service

42.50. To assist in the allocation of resources to budget managers.

43.51. To work within delegated cash limits (General Fund) and to utilise resources allocated, and furthermore to allocate resources, in the most efficient, effective and economic way.

44.52. To identify opportunities to minimise or eliminate resource requirements or consumption without having a detrimental effect on service delivery.

MONITORING AND CONTROLLING BUDGETS

45.53. Chief Officers and Heads of Service must ensure that they comply with the rules in relation to key decisions set out in Rule 16 of the Access to Information Procedure Rules in Part 4 of this Constitution.

Deputy Chief Executive and City Treasurer

46.54. Ensure the deployment of adequate staff resources to ensure that the process of budget monitoring is adequately supported.

47.55. In consultation with the City Solicitor responsible for advising the Executive or Full Council about whether a decision is likely to be considered contrary to, or not wholly in accordance with the budget. Such actions include:

- initiating a new policy;
- committing expenditure in future years above the approved budget level;
- interdepartmental transfers above virement limits (see **8376-9689** below);
- causing total net expenditure to increase beyond the approved budget.

48.56. To establish an appropriate budgetary framework to monitor, manage and control to ensure that:

- Budget management is exercised within annual cash limits unless the Full Council agrees otherwise;
- Each Chief Officer and Head of Service has available timely information on expenditure and income on each budget which is sufficiently detailed to enable managers to fulfil their budgetary responsibilities;
- Expenditure is committed only against an approved budget head;
- Officers responsible for committing expenditure comply with relevant guidance and financial regulations;
- Significant variances from approved budgets are investigated and reported by budget managers regularly and should include mitigating action to address pressures.

FINANCIAL SYSTEMS AND PROCEDURES

Revenue	Capital
Responsible for monitoring and controlling overall expenditure and income against budget allocations and report to the Executive on the Council's overall position on a regular basis.	Authorising, in consultation with the Executive Member for Finance and Human Resources, increases in capital expenditure by up to £1 million per scheme, subject to external funding, capital receipts or revenue budget being available.
The procedures for agreeing variations to approved budgets, plans and strategies forming the policy framework, and for determining the circumstances in which a decision will be deemed contrary to the budget or policy framework, are set out in the Budget and Policy Framework Procedure Rules. The City Solicitor should refer decisions to the Full Council.	To report and seek Executive approval for increasing capital expenditure per scheme in excess of £1 million which can be funded through additional external funding, capital receipts and revenue budgets.
To submit reports to the Executive and to the Full Council, in consultation with the relevant Chief Officer and Head of Service, where a Chief Officer or Head of Service is unable to balance expenditure and resources within existing approved budgets under their control.	To prepare and submit monitoring reports to the Executive on the projected income, expenditure and resources compared with the approved estimates and to recommend action where necessary to ensure that capital expenditure is fully funded.

49-57. To administer the Council's scheme of virement (*see below*).

50-58. To ensure prior approval by the Full Council or the Executive (as appropriate) for new proposals, of whatever amount, that:

- create financial commitments in future years
- change existing policies, initiate new policies or cease existing policies
- materially extend or reduce the Council's services.

51-59. To ensure that a monitoring process is in place to review performance levels/levels of service in conjunction with the budget and is operating effectively.

52-60. Where an approved budget (a lump sum budget or contingency) is intended for allocation during the year, funding may be used without further approval, provided that:

- the amount is used in accordance with the purposes for which it has been established
- the Executive has approved the basis and the terms, including financial limits, on which it will be allocated. Individual allocations in excess of the financial limits should be reported to the Executive.

FINANCIAL SYSTEMS AND PROCEDURES

Chief Officers and Heads of Services

53.61. To exercise discretion in managing budgets responsibly and prudently. For example, they should not support recurring revenue expenditure from one-off sources of savings or additional income, or creating future commitments, including full-year effects of decisions made part way through a year, for which they have not identified future resources. Chief Officers and Heads of Services must plan to fund such commitments from within their own budgets.

54.62. To maintain budgetary control within their services, in adherence to the principles above, and to ensure that all income and expenditure is properly recorded and accounted for.

55.63. To ensure that spending remains within the service's overall budget allocation, and that individual budget heads are not overspent, by monitoring the budget and taking appropriate corrective action where significant variations from the approved budget are projected.

Revenue	Capital
Responsibility to control income and expenditure within their area and within the cash limited budget allocation delegated to them, and to monitor performance. They should report on variances within their own areas and take necessary action to avoid exceeding their budget allocation and alert the Deputy Chief Executive and City Treasurer to any problems. Where there are budget pressures mitigating actions should be identified.	To prepare returns of projected estimated final costs of schemes, in the approved capital programme, for submission to the Deputy Chief Executive and City Treasurer and Executive Member for Finance and Human Resources.
	To consult with the Deputy Chief Executive and City Treasurer and to seek Executive approval where the Chief Officer and/or Head of Service proposes to bid for grants to be issued by Government departments or others to support expenditure that has not been included in the current year's capital programme.

56.64. To ensure that credit arrangements, such as leasing agreements, are not entered into without the prior approval of the Deputy Chief Executive and City Treasurer and, if applicable, approval of the scheme through the capital programme.

57.65. To ensure that priorities within Business Plans are delivered and that budgets are balanced across services within their directorates.

FINANCIAL SYSTEMS AND PROCEDURES

- 58-66.** To be responsible for ensuring that the resource implications of all new projects which they initiate and which are outside of the approved budget and business plan priorities are identified in briefing papers to the Deputy Chief Executive and City Treasurer and the relevant Executive Member.
- 59-67.** Chief Officers to provide appropriate and necessary support and challenge to all Heads of Service to ensure the delivery of the Our Manchester Strategy 2016-2025 and the Council's Corporate Plan.
- 60-68.** To be responsible for ensuring that their service provides services to the agreed standard and within their approved budget.
- 61-69.** To be responsible for liaising with the relevant Executive Member and Strategic Management Team regarding progress against priorities within Business Plans.
- 62-70.** To be responsible for ensuring that in circumstances where the delivery of organisational priorities, within agreed budget, is compromised, this is escalated to the Strategic Management Team for resolution.
- 63-71.** Fortuitous income (deemed to be additional income that in aggregate totals or exceeds £100,000 in a service), cannot be retained within cash limit budgets and must be reported to the Deputy Chief Executive and City Treasurer and then the Executive for consideration of how these additional monies are to be used.
- 64-72.** The accountability for delivery of the Business Plan within the allocated budget lies with the Head of Service. This will include continuous improvement in quality and efficiency. The Business Plan will include transformation projects.
- 65-73.** Heads of Service are expected to regularly review service performance against the objectives set in the Business Plan and re-prioritise where necessary to address performance and budget constraints.
- 66-74.** Heads of Service are accountable for the achievement of efficiencies identified / allocated to their services.
- 67-75.** Heads of Service have a responsibility to proactively engage other Heads of Service on cross cutting issues. In particular, Heads of Service must not pursue proposals for improvement or efficiency that have cost implications for other services without first discussing and agreeing with them with their colleagues. Furthermore, Heads of Service must not claim efficiencies which are already allocated to a cross cutting savings target without agreement from the Deputy Chief Executive and City Treasurer.
- 68-76.** Heads of Service are accountable for ensuring that appropriate systems and processes are in place to ensure the effective management of their service.
- 69-77.** It is the responsibility of Heads of Service to ensure that effective performance and budget monitoring takes place within their service.

FINANCIAL SYSTEMS AND PROCEDURES

~~70.78.~~ Heads of Service are responsible for ensuring that their budget is delegated to named Cost Centre Managers. Each Cost Centre Manager must assign a substitute to take responsibility for these budgets in their absence. Heads of Service are responsible for ensuring that these managers understand and undertake their budgetary responsibilities effectively.

~~71.79.~~ Where risks emerge to the delivery of a balanced budget or key performance targets, it is the responsibility of the Head of Service to identify and pursue all options for managing these risks within the service. Only after all options have been investigated, challenged and evaluated should Heads of Service escalate the issue(s) to their Chief Officers for appropriate action.

~~72.80.~~ The Heads of Finance and their finance teams support the Heads of Service in setting budgets and establishing appropriate systems and processes and reporting for managing service budgets.

~~73.81.~~ The budget position should be reported ~~monthly~~**regularly** to the Directorate Management Team and should include:

- Budget allocations;
- Projected outturn;
- Variance of projected outturn against budget allocation;
- Explanation for any significant variances and comments on trends and future impact;
- Forecast achievement of savings against target;
- Identification of risks and/or pressures and mitigating action to be taken to address the risk and/or pressure.

~~74.82.~~ To prepare and submit, to the service's Executive Member, reports on projected expenditure and income compared with the budget, in the form prescribed by and in accordance with, the timetable and guidelines issued by the Deputy Chief Executive and City Treasurer.

VIREMENT

~~75.83.~~ As part of the Virement Policy a change in a budget due to a transfer from another budget can be approved within the limits set out within these regulations.

~~76.84.~~ A revenue virement is deemed to be:

- A transfer of budget from non-pay to pay budgets or vice versa;
- A transfer of budget to meet a contractual long-term commitment;
- A transfer of budget between distinct service areas (for example from Highway Services to Cultural Services);

FINANCIAL SYSTEMS AND PROCEDURES

- A transfer of budget for a different purpose as that set out in the approved Budget and Business Plan.

~~77.85.~~ A capital virement is deemed to be movement of budget between approved capital schemes.

Full Council

~~78.86.~~ Responsible for agreeing the policy for virement of expenditure between budget headings and for approving any variation to the policy.

Executive

~~79.87.~~ In accordance with the Virement Policy and associated thresholds, responsible for considering reports submitted by the Deputy Chief Executive and City Treasurer and Chief Officers in respect of virement proposals for revenue and capital expenditure.

~~80.88.~~ Responsible for considering reports submitted by the Deputy Chief Executive and City Treasurer and Chief Officers and approving any revenue expenditure where:

- it is for the release of earmarked sums from central contingency.
- where the proposed virement is likely to have an adverse effect on any published performance indicator.

Deputy Chief Executive and City Treasurer

~~81.89.~~ To administer the Virement Policy agreed by Full Council and in accordance with the Budget and Policy Framework Procedure Rules.

~~82.90.~~ In accordance with the scheme of virement and associated thresholds, responsible for considering reports submitted by the Chief Officers and Heads of Services in respect of virement proposals for revenue and capital expenditure.

~~83.91.~~ In conjunction with Chief Officers and Heads of Services, to report to and seek the prior approval of the Executive for any revenue expenditure where:

- it is for the release of earmarked sums from central contingency.
- where the proposed virement is likely to have an adverse effect on any published performance indicator.

~~84.92.~~ To report and seek the approval of the Chief Executive (in consultation with the Leader, and the Executive Member for Finance and Human Resources) to the exercise of the virement powers of the Executive where a matter is urgent.

Chief Officers

~~85.93.~~ To ensure compliance with the scheme of virement (see table below).

FINANCIAL SYSTEMS AND PROCEDURES

Threshold	Revenue	Capital
As indicated	<ol style="list-style-type: none"> Chief Officers (or their nominated Heads of Service) with support from their Head of Finance can vire amounts of up to and including £500k within their budgets as long as this is in support of the priorities agreed in the Medium Term Financial Plan and they are properly recorded on SAP. Where this involves changes to the staffing establishment for their service area this needs to be in consultation with the Director of HR and OD 	Chief Officers to vire up to £250,000 from within the capital programme following notification to the Deputy Chief Executive and City Treasurer as part of the Checkpoint process.
		Deputy Chief Executive and City Treasurer to report and seek Executive approval for virements in excess of £250,000 and up to £500,000 unless this is a virement from an approved capital budget for an agreed programme pending allocation.
In excess of £500,000	<p>Deputy Chief Executive and City Treasurer to report and seek approval from the Executive virements in excess of £500,000.</p> <p>Virements over £500k which are between Directorates or are in support of a policy change or key decision require approval from Full Council.</p> <p>Virements between staffing and non staffing budgets of over £500k require the approval of full Council.</p>	Deputy Chief Executive and City Treasurer to report and seek approval from the Executive for virements in excess of £500,000 and Full Council for virements of over £1m unless this is a virement from an approved capital budget for an agreed programme pending allocation.

FINANCIAL SYSTEMS AND PROCEDURES

- 86-94.** To agree with the relevant Chief Officer where it appears that a budget proposal, including a virement proposal, may impact materially on another service area or Chief Officer's level of service delivery.
- 87-95.** In conjunction with the Deputy Chief Executive and City Treasurer to report to, and seek the prior approval of, the Executive for any revenue expenditure to be funded from the release of earmarked sums from central contingency, reserves or budgets held corporately prior to allocation
- 88-96.** To report to, and seek the prior approval of, the Deputy Chief Executive and City Treasurer for any revenue expenditure to be funded from the planned use of reserves, including where grant funding across more than one year has to be held in a reserve.

STAFFING**Chief Executive**

- 97. Determine how officer support for executive and non-executive roles within the Council will be organised.**
- 98. Responsible for providing overall management to staff. They will also be responsible for ensuring that there is proper use of the evaluation or other agreed systems for determining the remuneration of a job.**

Deputy Chief Executive and City Treasurer

- 99. To ensure that there is proper use of the evaluation or other agreed systems for determining the remuneration of a job.**
- 100. To provide advice to secure payment of salaries and wages by the most economical means.**
- 101. To ensure that budget provision exists for all existing and new employees.**
- 102. To be responsible for the payments of salaries and wages to all staff, including payments for overtime, and for payment of allowances to members.**
- 103. To arrange and control secure and reliable payment of salaries, wages, compensation or other emoluments to existing and former employees, in accordance with procedures prescribed by him or her, on the due date.**
- 104. To record and make arrangements for the accurate and timely payment of tax, national insurance, superannuation and other deductions.**
- 105. To act as an advisor to Chief Officers and Heads of Service on areas such as national insurance and pension contributions, as appropriate.**
- 106. To set out and issue a Staff Expenses Scheme to be followed where staff incur personal expenses when carrying out their duties on behalf of the Council.**

FINANCIAL SYSTEMS AND PROCEDURES

Chief Officers and Heads of Service

107. To ensure appointments are made in accordance with the regulations of the Council and approved establishments, grades and scale of pay and that adequate budget provision is available.
108. To notify the Shared Service Centre of all appointments, terminations or variations that may affect the pay or pension of an employee or former employee, in the form and to the timescale required by the Deputy Chief Executive and City Treasurer.
109. To ensure that adequate and effective systems and procedures are operated, so that:
- payments are only authorised to bona fide employees;
 - payments are only made where there is a valid entitlement;
 - conditions and contracts of employment are correctly applied; and
 - employees' details listed on the payroll are checked at regular intervals to verify accuracy and completeness.
110. To ensure that the service maintains and reviews periodically a list of officers approved to authorise payments, together with specimen signatures, and to ensure that only authorised officers authorise payments.
111. To ensure that payroll transactions are processed only through the payroll system. Chief Officers and Heads of Service should give careful consideration to the employment status of individuals retained on a self-employed consultant or subcontract basis. An employment status form must be completed before the individual is taken on. The Inland Revenue applies a tight definition for employee status, and in cases of doubt, advice should be sought from the Deputy Chief Executive and City Treasurer.
112. To ensure that the Deputy Chief Executive and City Treasurer is notified of the details of any employee benefits in kind, to enable full and complete reporting within the income tax self-assessment system.
113. To ensure that all appropriate payroll documents are retained and stored for the defined period in accordance with guidance issued by the Deputy Chief Executive and City Treasurer.
114. Responsible for producing an annual staffing budget. Ensuring that the staffing budget is an accurate forecast of staffing levels and is equated to an appropriate revenue budget provision (including on-costs and overheads).
115. Responsible for controlling total staff numbers by:

FINANCIAL SYSTEMS AND PROCEDURES

- advising the Executive on the budget necessary in any given year to cover estimated staffing levels.
- adjusting the staffing to a level that can be funded within approved budget provision, varying the provision as necessary within that constraint in order to meet changing operational needs. Ensuring that the staffing budget is not exceeded without due authority and that it is managed to enable the agreed level of service to be provided.

116. Responsible for ensuring that the Deputy Chief Executive and City Treasurer is immediately informed if the staffing budget is likely to be materially over or under spent.

117. Responsible for monitoring staff activity to ensure adequate control over such costs as sickness, overtime, training and temporary staff.

118. To ensure staff expenses are paid in accordance with the Staff Expenses Scheme.

119. To certify all staff expenses. Certification is taken to mean that journeys were authorised in advance and that incidental expenses were properly and necessarily incurred and that allowances are properly payable by the Council, ensuring that cost-effective travel arrangements are used. Due consideration should be given to tax implications and that the advice of the Deputy Chief Executive and City Treasurer is sought where necessary.

EXTERNAL FUNDING

Deputy Chief Executive and City Treasurer

120. To ensure that funds are acquired only to meet the priorities approved in the policy framework by the Full Council.

121. To ensure that all funding notified by external bodies is received and properly recorded in the Council's accounts.

122. To ensure that the match-funding requirements are considered prior to entering into the agreements and that future revenue budgets reflect these requirements.

123. To ensure that audit requirements are met.

124. Where they are specifically responsible for submitting grant claims, to ensure that all claims for funds are made by the due date.

Chief Officers and Heads of Service

125. To ensure the details of any new revenue funding are submitted to the Revenue Gateway Panel for scrutiny before submitting a bid for new external funding and

FINANCIAL SYSTEMS AND PROCEDURES

before committing to spend a new grant received by the Council in year. The Revenue Gateway Panel scrutinise and challenge requests submitted to them.

126. To ensure that funds are acquired only to meet the priorities approved in the Policy Framework by the Full Council.

127. Where they are specifically responsible for submitting grant claims, to ensure that all claims for funds are made by the due date.

128. To maintain adequate supporting documentation to enable claims for funding to be maximised/validated.

129. For grant claims subject to External or Internal Audit to ensure that claims and supporting documentation are made available to the External or Internal Auditors by the due date.

130. To ensure that the project progresses in accordance with the agreed project plan and that all expenditure is properly incurred and recorded and in accordance with any guidelines which may prevail at the time.

FINANCIAL SYSTEMS AND PROCEDURES

CHAPTER 2 - ACCOUNTANCY**FINANCIAL RECORDS AND RETURNS**Deputy Chief Executive and City Treasurer

1. To determine the accounting procedures and records for the Council. Where these are maintained outside the Financial Management Division, the Chief Officers and Heads of Service should consult with the Deputy Chief Executive and City Treasurer.
2. Not allocated
3. To arrange for the compilation of all accounts and accounting records under their direction.
4. To ensure that all claims for funds including grants, for which he or she is responsible for, are made by the due date.
5. To administer the Council's arrangements for under and overspendings to be carried forward to the following financial year.

Chief Officers and Heads of Service

6. To comply with the following principles when allocating accounting duties:
 - Separating the duties of providing information about sums due to or from the Council and calculating, checking and recording these sums from the duty of collecting or disbursing them.
 - Officers with the duty of examining or checking the accounts of cash transactions must not themselves be engaged in these transactions.
7. To consult and obtain the approval of the Deputy Chief Executive and City Treasurer before making any changes to accounting systems and procedures.
8. To maintain adequate records to provide an audit trail leading from the source of income/expenditure through to the accounting statements.
9. To comply with accounting guidance provided by the Deputy Chief Executive and City Treasurer and to supply the Deputy Chief Executive and City Treasurer with information in the format, and by the date, requested.
10. To supply information required to enable the Annual Statement of Accounts to be completed in accordance with the guidelines and timescales issued by the Deputy Chief Executive and City Treasurer.
11. To ensure that all claims for funds, including grants, which the Chief Officers and Heads of Service are responsible for, are made by the due date.

FINANCIAL SYSTEMS AND PROCEDURES

12. To ensure that adequate records are maintained for all capital contracts and to report on the spend against the projects as required in line with the requirements of the Deputy Chief Executive and City Treasurer.
13. To prepare and submit an annual report, in consultation with the Deputy Chief Executive and City Treasurer, to the Executive on the completion of all contracts, revenue and capital, where the final expenditure exceeds the approved contract sum.

TREATMENT OF IN YEAR BALANCES

Full Council

14. Responsible for agreeing the treatment of year end under or overspends based on the recommendations of the Executive.

Executive

15. Not allocated
16. To consider the overall year end financial position and whether an under or overspends should be carried forward to the following year, met from overall council resources (ie over and underspends from elsewhere), or to recommend to Council that a balance is met from or added to the General Fund Reserve.
17. To consider applications from Chief Officers and Heads of Services to carry forward managed underspendings in excess of service estimates.

Deputy Chief Executive and City Treasurer

18. To administer the treatment of any year end overspendings and underspendings within guidelines approved by Full Council.
19. To report all overspendings and underspendings with the recommendation for their treatment to the Executive and to Full Council.

Chief Officers and Heads of Service

20. Not allocated
21. Managed underspendings and/or additional, but not fortuitous, income on service estimates under the control of the Chief Officers and Heads of Service (General Fund) may be carried forward, subject to:
 - Recommendation by the Deputy Chief Executive and City Treasurer and Executive Member for Finance and Human Resources.
 - Reporting to the Executive the source of the managed underspending or additional income and the proposed application of any carry forward.
 - Subsequent approval of the Executive.

FINANCIAL SYSTEMS AND PROCEDURES

MAINTENANCE OF RESERVES/PROVISIONS

Full Council

22. To approve the use of reserves which is in addition to that already planned and is in excess of £10 m in aggregate in any financial year.

Executive

23. To consider reports for the Deputy Chief Executive and City Treasurer on prudent levels of reserves for the Council.
24. To consider reports from the Deputy Chief Executive and City Treasurer on the adequacy of proposed financial reserves.
25. To consider reports from the Deputy Chief Executive and City Treasurer approving the use of reserves-, in addition to that already planned, up to £10-m in aggregate in any financial year.

Deputy Chief Executive and City Treasurer

26. To ensure that there are clear protocols for the establishment and use of reserves and provisions.
27. In consultation with Chief Officers and Heads of Service establish reserves and/or provisions and provide guidance on how to incur expenditure from reserves or charge expenditure to provisions.
28. To advise the Executive and/or the Full Council on prudent levels and adequacy of reserves for the Council, and to take account of the view of the external auditor in this matter.
29. Not allocated
30. To agree with the relevant Chief Officer the draw-down of the use of reserves where the planned use is included in the Council's Revenue Budget Report.
31. To report and seek Executive approval for the use of reserves £10 m in aggregate in any financial year above that already approved in the budget For use of reserves in excess of £10 m in aggregate approval of Full Council is required.
32. Not allocated
33. To report to the Executive and/or the Full Council if a reserve or provision is, or is likely to be, inadequate.

Chief Officers and Heads of Service

34. Subject to the approval of the Deputy Chief Executive and City Treasurer to establish reserves and/or provisions and the use of reserve /provisions.

FINANCIAL SYSTEMS AND PROCEDURES

35. To ensure that the use of reserves when approved by the Deputy Chief Executive and City Treasurer and the Executive, or Full Council, as appropriate, is planned in the budget.
36. To ensure such resources are used only for the purposes for which they were intended.
37. To comply with protocols and procedures as laid down by the Deputy Chief Executive and City Treasurer.

ACCOUNTING POLICIES

Deputy Chief Executive and City Treasurer

38. Responsible for the preparation of the Council's statement of accounts, in accordance with proper practices as set out in the format required by the CIPFA Code of Practice on Local Authority Accounting in the United Kingdom, for each financial year ending 31st March.
39. To select suitable accounting policies and ensure that they are applied consistently and comply with the CIPFA Code of Practice on Local Authority Accounting in the United Kingdom. The accounting policies are reported to Audit Committee and set out in the statement of accounts, which is prepared as at 31st March each year.
40. To make judgements and estimates that are reasonable and prudent.

Chief Officers and Heads of Service

41. To comply with accounting guidance provided by the Deputy Chief Executive and City Treasurer.

ANNUAL STATEMENT OF ACCOUNTS

Audit Committee

- 38.42. Responsible for approving the audited statutory Annual Statement of Accounts and associated governance and accounting policy documents in accordance with the Accounts and Audit Regulations-.

Deputy Chief Executive and City Treasurer

- 39.43. Responsible for the preparation of the Council's Statement of Accounts, in accordance with proper practices as set out in the format required by the relevant Codes of Practice on Local Authority Accounting in the United Kingdom, for each financial year ending 31st March.
- 40.44. Responsible for the selection of suitable accounting policies and ensuring that they are applied consistently and comply with the CIPFA Code of Practice on Local Authority Accounting in the United Kingdom. The accounting policies are reported to

FINANCIAL SYSTEMS AND PROCEDURES

Audit Committee and set out in the Statement of Accounts, which is prepared as at 31st March each year.

~~41.45.~~ To draw up the timetable for final accounts preparation and to advise staff and external auditors accordingly.

~~42.46.~~ To make proper arrangements for the audit of the Council's accounts in accordance with the Accounts and Audit Regulations 2015.

~~43.47.~~ To sign and date the Statement of Accounts, stating that it presents fairly the financial position of the Council at the accounting date and its income and expenditure for the year ended 31st March in accordance with the statutory timetable.

~~44.48.~~ To prepare and publish the audited accounts of the Council for each financial year, in accordance with the statutory timetable and with the requirement for the Audit Committee to approve the statement of accounts in accordance with the statutory timetable.

TAXATION

Deputy Chief Executive and City Treasurer

~~45.49.~~ Responsible for advising Chief Officers and Heads of Services, in the light of guidance issued by appropriate bodies and relevant legislation as it applies, on all taxation issues that affect the Council.

~~46.50.~~ Responsible for maintaining the Council's tax records, making all tax payments, receiving tax credits and submitting tax returns by their due date as appropriate.

~~47.51.~~ To complete all HM Revenue and Customs returns regarding Pay As You Earn (PAYE).

~~48.52.~~ To submit a monthly return of VAT inputs and outputs to HM Revenue and Customs.

~~49.53.~~ To provide details to the HM Revenue and Customs of deductions made under the Construction Industry Tax Deduction Scheme.

~~50.54.~~ To maintain up-to-date guidance for Council employees on taxation issues

~~51.55.~~ To maintain an up-to-date register of VAT de minimis payments in accordance with the Value Added Tax Act 1994.

Chief Officers and Heads of Service

~~52.56.~~ To ensure that the correct VAT liability is attached to all income due and that all claims for VAT recoverable on purchases complies with HM Revenue and Customs regulations and all output tax is properly identified and recorded.

~~53.57.~~ To ensure that, where construction and maintenance works are undertaken, the contractor fulfils the necessary construction industry tax deduction requirements.

FINANCIAL SYSTEMS AND PROCEDURES

~~54-58.~~ To ensure that the Council is not put at risk in any funding arrangements by identifying the correct VAT treatment in accordance with the Value Added Tax Act 1994.

~~55-59.~~ To ensure that all persons employed by the Council are added to the Council's payroll and that tax is deducted from any payments, except where the individuals are bona fide self-employed or are employed by a recognised staff agency.

~~56-60.~~ To follow the guidance on taxation issued by the Deputy Chief Executive and City Treasurer.

FINANCIAL SYSTEMS AND PROCEDURES

CHAPTER 3 – INCOME AND EXPENDITURE**INCOME****Deputy Chief Executive and City Treasurer**

1. To agree arrangements for the collection of all income due to the Council and to approve the procedures, systems and documentation for its collection and accounting for VAT.
2. To ensure that there is a robust cash handling and banking procedures document in place that sets out the requirements in relation to the treatment of cash income and ensure that the arrangements are PCI compliant.
3. To ensure that there are robust debt management policies in place that clearly sets out the debt collection and write off procedures for all monies owed. To ensure that the debt recovery procedures are used to collect any income due to the Council which has not been paid within specified time limits and that the appropriate accounting adjustments are made following any write off action.
4. To approve service area write off policy documents and the arrangements for the writing off of irrecoverable debts by Chief Officers and Heads of Service.
5. To approve the format of official receipts (receipt books, tickets and similar items) and procedures for the control and supply of these to services.
6. Not allocated
7. To issue guidance relating to the retention and storage of income documents.
8. To agree appropriate arrangements for the prevention, detection and reporting of potential Money Laundering offences

Chief Officers and Heads of Services

9. To establish a charging policy for the supply of goods or services, including the appropriate charging of VAT, and to review it regularly in line with corporate policies.
10. To ensure a division of duties between identifying amounts due for payment and the responsibility for the collection of income, as far as is practicable.
11. To adhere to the requirements of the debt management policy for their service area.
12. To ensure that appropriate recovery action (including legal action) is taken. Where it has been agreed with the Deputy Chief Executive and City Treasurer that the Chief Officers and Heads of Service are responsible for the collection and recovery of certain types of income, the Chief Officers and Heads of Service will be responsible for ensuring that appropriate recovery action (including legal action) is taken as necessary in accordance with legislation.
13. To adhere to the requirements of the cash handling and banking procedures.

FINANCIAL SYSTEMS AND PROCEDURES

14. To agree the form of official receipts with the Deputy Chief Executive and City Treasurer, to issue official receipts and maintain other relevant documentation for the collection of income and to securely hold receipts, tickets and other records of income for the appropriate period in accordance with guidance issued by the Deputy Chief Executive and City Treasurer.
15. To ensure that at least two officers are present when post is opened so that money received by post is properly identified and recorded.
16. To ensure that at least two officers are present at the cashing up and completion of bank paying in records.
17. -To ensure the security of cash handling and that there is a record of every transfer of money between officers of the Council. The receiving officer must sign for the transfer and the transferor must retain a copy.
18. To ensure that income is locked away and safeguarded against loss or theft and that the levels of cash held on premises does not exceed the approved amount.
19. To ensure that income is paid fully and promptly into the appropriate Council's bank account in the form in which it is received. Appropriate details should be recorded on the paying-in slips or on-line financial systems to provide an audit trail. Money collected and deposited must be reconciled to the bank account on a regular basis. All income received must be banked in full and not used to make other payments.
20. To ensure that when income is due to the Council and the payment is not to be made at the time, an invoice is raised promptly in a form approved by the Deputy Chief Executive and City Treasurer. Where income relates to the provision of goods and services which are not part of a continuous supply, invoices must be raised no later than seven days after the completion of a chargeable transaction.
21. To seek to achieve payment by direct debit or standing orders in situations where regular payments are due to the Council. The Deputy Chief Executive and City Treasurer should approve general arrangements for receiving payment by direct debit or standing orders.
22. To consult the Deputy Chief Executive and City Treasurer about any new proposal to arrange to accept payments by credit cards, debit cards, internet or similar means.
23. To comply with the requirements of Payment Card Industry Data Security Standard (PCI DSS).
24. To ensure that invoices raised require payments to be made to the Council and that income is coded to the appropriate cost centre / capital project. Where appropriate, VAT must be separately identified on both the invoice and the coded income.
25. To advise the Deputy Chief Executive and City Treasurer of income due to the Council from contracts, leases or any other form of agreement.
26. To agree all debt recovery processes prior to legal action with the Deputy Chief Executive and City Treasurer and to pursue these promptly.

FINANCIAL SYSTEMS AND PROCEDURES

27. To assist the Deputy Chief Executive and City Treasurer in collecting debts they have raised and to keep sufficiently detailed records to allow debts to be recovered through legal action and to reclaim VAT payments when bad debts are written off.
28. To request the writing off of irrecoverable debts in accordance with arrangements approved by the Deputy Chief Executive and City Treasurer (including arrangements determining which bad debt provisions or budgets write-offs are to be charged against).
29. To authorise the raising of a credit item, in consultation with the Deputy Chief Executive and City Treasurer, if an error has been made in raising an invoice.
30. To require advance payments for goods, services or materials in cases involving income of less than £50 or more than £5,000, wherever possible and practicable. Advance payments should, ideally, be paid directly into the Council's bank account wherever possible. Where this is not possible, payment by Credit or Debit Card should be encouraged. Cash or cheques is acceptable but, where payment is in the form of a cheque, goods or services should not be supplied until after cleared funds have been received.
31. For Treasury Management purposes to notify the Deputy Chief Executive and City Treasurer of any significant amounts of income due to the Council and the likely timing of such receipts.
32. To notify the Deputy Chief Executive and City Treasurer of income not invoiced relating to the previous financial year as soon as possible after 31st March in line with the timetable determined by the Deputy Chief Executive and City Treasurer.
33. To notify the Deputy Chief Executive and City Treasurer (as Money Laundering Reporting Officer) or Head of Internal Audit (as Deputy MLRO) of any suspicions of potential money laundering in accordance with the Council's Anti Money Laundering Policy.

EXPENDITURE

General/Purchase Orders

34. Formal Purchase Orders must be issued for all work, goods or services to be supplied to the Council, unless a specific exemption to this has been agreed with the Deputy Chief Executive and City Treasurer. All orders placed should be on an official order form generated by SAP, or in an alternative format through other media specifically authorised by the Deputy Chief Executive and City Treasurer.
35. Each Purchase Order must conform to any guidelines approved by the Full Council on corporate procurement policies and the standardisation of supplies and materials and wherever possible, corporately agreed contracts must be used.
36. Official purchase orders must not be raised for any personal or private purchases, nor must personal or private use be made of the Council's contracts.

FINANCIAL SYSTEMS AND PROCEDURES

37. Verbal instruction purchase orders can only be given in cases of extreme urgency and must be confirmed by an official order, in writing via SAP or other authorised media, as soon as possible.
38. One of the preferred methods of payment by the Council will be by purchase card. Those vendors who do not accept purchase cards will be paid via ~~the Finance Shared Service Centre~~ **Exchequer Services** by cheque or through the banks' automated clearing system (BACS) or other electronic transfers of funds drawn on the Council's bank accounts by the Deputy Chief Executive and City Treasurer. ~~---~~
39. Cheque payments must have the electronic signature of the Deputy Chief Executive and City Treasurer and be crossed 'account payee only'.

Deputy Chief Executive and City Treasurer

40. To approve the form of official purchase orders and associated terms and conditions.
41. To issue guidance relating to the retention and storage of transaction and payment documents.
42. To make payments from the Council's funds on the Chief Officers and Heads of Service's authorisation that the expenditure has been duly incurred in accordance with Financial Regulations.
43. To make payments, whether or not provision exists within the estimates, where the payment is specifically required by statute or is made under a court order.
44. To make payments to contractors on the receipt of architect's certificate, or appropriate Chief Officer and Heads of Service, which must include details of the value of work, retention money, amounts previously certified and amounts now certified. These payments to be made to contractors using authenticated receipts raised by services.
45. To provide advice and on making payments by the most economical means.
46. Not allocated.

Chief Officers and Heads of Service

47. To ensure that all purchase orders for goods and services, manual or electronic, are in a form prescribed by the Deputy Chief Executive and City Treasurer and approved by the City Solicitor.
48. To hold retain and store all evidence relating to transactions and payments in accordance with guidance issued by the Deputy Chief Executive and City Treasurer.
49. To ensure that purchase orders are only used for goods and services provided to the Council.
50. To ensure that officers do not use official purchase orders to obtain goods or services for their private use.

FINANCIAL SYSTEMS AND PROCEDURES

51. To ensure that only Cost Centre Managers / Project Officers and their substitutes set up in the Council's computerised financial management system (SAP), approve purchase orders. The Cost Centre Manager / Project Officers should be satisfied that the goods and services being ordered are appropriate and needed, that there is adequate budgetary provision and that quotations or tenders have been obtained if necessary. Best value principles should underpin the Council's approach to procurement. Value for money should always be achieved.
52. To ensure that all purchase orders state the nature, quantity, and agreed or estimated costs of the works, goods or services being ordered. The order must also state the address for deliveries.
53. Ensure that, where it is proposed to place an order with a vendor that is not currently registered as an approved Council vendor, the correct procurement procedures are followed and that a formal request to establish the vendor is submitted for consideration prior to placing any order with the vendor.
54. To ensure that receipt of works, goods and services are verified by placing a "goods receipt confirmation" in the Council's computerised financial management system (SAP - unless the Deputy Chief Executive and City Treasurer has specifically allowed an exception to this process). A different officer from the person who authorised the purchase order should carry out the confirmation that goods etc. have been received. Appropriate entries should then be made in inventories or stores records.
55. To ensure that, where appropriate, invoices are promptly and properly authorised for payment via the Council's computerised financial management system (SAP - or by the operation of other, authorised arrangements). Unless specifically agreed otherwise by the Deputy Chief Executive and City Treasurer, all invoices should be sent by suppliers/vendors direct to the Council's Finance Shared Service Centre (FSSC).
56. To ensure that all invoices submitted by vendors to the Council for payment contain a valid Purchase Order number. All Purchase Orders must be created before the Council enters into a commitment to pay for any works, goods or services. All works, goods or services supplied to the Council must be formally confirmed as having been received by placing a goods received notification in the Council's computerised financial management system.
57. Payments should not normally be made if the Council has not received goods or services. However, if, in exceptional circumstances, it is deemed necessary to make a payment in advance of goods and services being supplied, agreement of the Deputy Chief Executive and City Treasurer must be obtained before the payment is made.
58. To ensure that the goods requisitioning process is carried out by a different officer to the Cost Centre Manager / Project Officers who authorises the issue of a purchase order.
59. To ensure that the service maintains, and reviews periodically, a list of Cost Centre Managers / Project Officers and substitutes with appropriate authority within the Council's computerised financial management system.

FINANCIAL SYSTEMS AND PROCEDURES

60. It is acceptable for suppliers to submit invoices to the Finance Shared Service Centre direct in electronic forms via Email. In exceptional circumstances it will be acceptable for Directorate staff to submit invoices in a similar way but any invoices submitted in this manner must be certified and clearly endorsed with a statement that it is a copy and that payment has not already been made.
61. To encourage suppliers of goods and services to receive payment by the most economical means for the Council. However, payments made by direct debit must have the prior approval of the Deputy Chief Executive and City Treasurer.
62. To ensure that the service obtains best value from purchases by taking appropriate steps to obtain competitive prices for goods and services of the appropriate quality, with regard to the best practice guidelines issued by the Deputy Chief Executive and City Treasurer, which are in line with best value principles and contained in the Council's Contract Procurement Rules.
63. To comply with the Contract Procurement Rules for putting purchases, where appropriate, out to competitive quotation or tender. These procedures must comply with the Council's separate contract procedure rules covering:
 - General issues;
 - Budget identification, specifications and evaluation;
 - Exceptions to the competitive process;
 - Chief Officers' and Heads of Service authority and delegation;
 - Thresholds for the relevant competitive processes, including EU thresholds;
 - Submission, receipt and opening of competitive bids, including late bids;
 - Evaluation;
 - Post tender negotiation;
 - Acceptance of tenders, bids or quotations;
 - Contract records, signing and sealing;
 - Issues relating to contracts in operation;
 - Miscellaneous.
64. With regard to contracts for construction and alterations to buildings and for civil engineering works, to document and agree with the Deputy Chief Executive and City Treasurer the systems and procedures to be adopted in relation to financial aspects, including certification of interim and final payments, checking, recording and authorising payments, the system for monitoring and controlling capital schemes and the procedures for validation of subcontractors' tax status.
65. All agreements for the supply of ICT, including software, hardware and telephony equipment must be authorised by the Director of ICT.
66. To ensure that purchase orders are used so that commitments incurred by placing purchase orders are shown against the appropriate budget allocation and taken into account in budget monitoring reports.

FINANCIAL SYSTEMS AND PROCEDURES

67. To notify the Deputy Chief Executive and City Treasurer of outstanding expenditure relating to the previous financial year as soon as possible after 31st March in line with the timetable determined by the Deputy Chief Executive and City Treasurer.
68. To ensure that loans, guarantees, leasing or rental arrangements are not entered into without prior agreement from the Deputy Chief Executive and City Treasurer (in respect of leasing the Deputy Chief Executive and City Treasurer and the Executive Member for Finance and Human Resources). This is because of the potential impact on the Council's borrowing limits and revenue expenditure, to protect the Council against entering into unapproved credit arrangements and to ensure that value for money is being obtained.
69. To notify the Deputy Chief Executive and City Treasurer immediately of any expenditure to be incurred as a result of statute/court order where there is no budgetary provision.
70. To ensure that all appropriate payment records are retained and stored for the defined period, in accordance with guidance issued by the Deputy Chief Executive and City Treasurer.
71. To notify the Deputy Chief Executive and City Treasurer or Head of Internal Audit of any suspicions of fraud, bribery or corruption in the award of contracts or payments to suppliers in accordance with the Council's Anti-Fraud Policy and Anti Bribery and Criminal Facilitation of Tax Evasion Policy.

IMPREST ACCOUNTS

Deputy Chief Executive and City Treasurer

72. Imprest Accounts can be established in exceptional circumstances following approval from the Deputy Chief Executive and City Treasurer.

Chief Officers and Heads of Service

73. Responsible for ensuring the imprest account is regularly reconciled.

TREASURY MANAGEMENT

74. The Council has adopted CIPFA's *Code of Practice for Treasury Management in Local Authorities*

Full Council

75. Responsible for approving the Treasury Management Policy Statement setting out the matters detailed in CIPFA's *Code of Practice for Treasury Management in Local Authorities*.

Executive

76. Responsible for proposing to Full Council the Treasury Management Policy Statement.

FINANCIAL SYSTEMS AND PROCEDURES

Deputy Chief Executive and City Treasurer

77. Responsible for reporting to the Executive a proposed Treasury Management Strategy for the coming financial year at or before the start of each financial year.
78. Delegated responsibility for implementing and monitoring the Treasury Management Policy Statement.
79. To control all of the money in the hands of the Council as required by section 151 of the Local Government Act 1972.
80. To ensure that all investments and borrowings of money are made in the name of the Council or in the name of nominees approved by the Full Council.
81. Delegated responsibility to make all decisions on borrowing, investment or financing on behalf of the Executive, who is required to act in accordance with CIPFA's Code of Practice for Treasury Management in Local Authorities and the Council's Treasury Management policy statement and strategy.
82. To ensure that all securities that are the property of the Council or its nominees and the title deeds of all property in the Council's ownership are held in the custody of the appropriate Chief Officers and Heads of Service.
83. To act as the Council's registrar of stocks, bonds and mortgages and to maintain records of all borrowing of money by the Council.
84. The Deputy Chief Executive and City Treasurer is responsible for reporting to the Audit Committee twice each financial year on the activities of the treasury management operation and on the Executive's exercise of their delegated treasury management powers.→
85. To monitor the performance of the Treasury Management function including receiving and reviewing the Treasury Management Annual Report and monitoring reports and other reports and to review and scrutinise Treasury Management Performance.

Chief Officers and Heads of Service

86. To ensure that loans are not made to third parties and that interests are not acquired in companies, joint ventures or other enterprises without the approval of the Executive (or if contrary to the Budget and Policy Framework the approval of Full Council).
87. To notify the Deputy Chief Executive and City Treasurer's Treasury Management section when income due over £100,000 or non-routine payments (i.e. those not made through the Shared Service Centre including for example CHAPs payments) of over £100,000 are due so that effective cash flow management arrangements can be put in place.

FINANCIAL SYSTEMS AND PROCEDURES

BANKING

Deputy Chief Executive and City Treasurer

88. Responsible for the opening, operating and closing of bank accounts in the name of the Council.
89. To ensure that there are satisfactory arrangements in place for the ordering, storage and control all cheques drawn on the Council's main bank accounts.

Chief Officers and Heads of Service

90. Those who have control of their own bank accounts must work to arrangements approved by the Deputy Chief Executive and City Treasurer, and must ensure that accounts do not become overdrawn.

TRUST FUNDS AND FUNDS HELD FOR THIRD PARTIES

Chief Officers and Heads of Service

91. To arrange for all trust funds to be held, wherever possible, in the name of the Council. All officers acting as trustees by virtue of their official position shall deposit securities, etc. relating to the trust, with the Deputy Chief Executive and City Treasurer, unless the deed otherwise provides.
92. To ensure that trust funds are operated within any relevant legislation and the specific requirements for each trust.
93. Where unofficial funds are held on behalf of third parties, to arrange for their secure administration, including an annual audit, and to maintain written records of all transactions in accordance with guidance issued by the Deputy Chief Executive and City Treasurer.

FINANCIAL SYSTEMS AND PROCEDURES

CHAPTER 4 - ASSETS**GENERAL**Deputy Chief Executive and City Treasurer

1. To ensure that an asset register is maintained. for all property, plant and equipment with a value in excess of £10,000.
2. To receive the information required for accounting, costing and financial records from Chief Officers and Heads of Service.
3. To calculate depreciation and other capital charges for all properties and maintain the asset accounts.
4. To ensure that property, plant and equipment and investment property is valued in accordance with the relevant Codes of Practice on Local Authority Accounting in the United Kingdom. This is the responsibility of the Strategic Director (Growth and Development).

City Solicitor

5. For the safe custody of title deeds.

Strategic Director (Growth and Development)

6. To keep a register of all land and buildings owned by the Council, other than properties managed by Strategic Housing and Residential Growth and made available for letting. The register will hold information about: the purpose for which the land/building is held; description; location and ordnance survey map reference; purchase details; the nature of the Council's interest; rents and any other charges payable; restrictive covenants; and any tenancies or other interests granted. This should also contain details on whether the asset has been opted to tax for VAT purposes.
7. Maintain a valuation of all of the land and property assets of the Council. A five-year rolling programme of assets to be valued must be maintained, having been agreed with the Deputy Chief Executive and City Treasurer and a minimum of 20% of assets must be re-valued each year. Information must also be provided on assets that have substantially increased or declined in value between the rolling programme of valuations. Information on asset valuations, purchases and sales must be provided to the Deputy Chief Executive and City Treasurer for inclusion in the asset register in accordance with the timetable set by the Deputy Chief Executive and City Treasurer. These valuations must include the estimated lives of assets and details of any significant components.
8. To keep a record of properties managed by the Strategic Housing and Residential Growth and made available for letting - including details of valuations and stock condition etc. as required, and in accordance with Government guidance.

FINANCIAL SYSTEMS AND PROCEDURES

Chief Officers and Heads of Service

9. To ensure that records and assets are properly maintained and securely held.
10. To ensure the proper security and safe custody of all buildings and vehicles, equipment, furniture, stock, stores and other property belonging to the Council and under their control.
11. To ensure that contingency plans for the security of assets and continuity of service in the event of disaster or system failure are in place.
12. To ensure that all leased assets are identified, appropriately marked, maintained and a register kept to include location to ensure that they are available for return to lessors at the end of the lease period in accordance with the terms of the lease.
13. To ensure that lessees and other prospective occupiers of Council land are not allowed to take possession of, or enter, the land until a lease or agreement, in a form approved by the Chief Officers and Heads of Service, in consultation with the Strategic Director (Growth and Development) and City Solicitor, has been established as appropriate.
14. To ensure that no Council asset is subject to personal use by an employee without proper authorisation.
15. To ensure that the service maintains a register of moveable assets in accordance with arrangements defined by the Deputy Chief Executive and City Treasurer.
16. To ensure that assets are identified, their location recorded and that they are appropriately marked and insured.
17. To consult the Deputy Chief Executive and City Treasurer in any case where security is thought to be defective or where it is considered that special security arrangements may be needed.
18. To ensure that a schedule is kept of the officers in their services who hold keys to safes and similar receptacles, and that keys are carried on the person of those responsible at all times; loss of any such keys must be reported to the Head of Audit and Risk Management as soon as possible.
19. To ensure that all employees are aware that they have a personal responsibility with regard to the protection and confidentiality of information, whether held in manual or computerised records. Information may be sensitive or privileged, or may possess some intrinsic value, and its disclosure or loss could result in a cost to the Council in some way.
20. To ensure that the Council's policies on information and internet security are complied with.
21. To ensure that the Council's policies and guidelines on security and crime prevention are followed.

FINANCIAL SYSTEMS AND PROCEDURES

INVENTORIES

22. To maintain adequate records of items of furniture, equipment, vehicle and plant, above £200 in value.
23. To carry out an annual check of all items on the inventory in order to verify location, review condition and to take appropriate action in relation to any surpluses or deficiencies, annotating the inventory accordingly. Attractive and portable items such as cameras and IT equipment should be identified with security markings as belonging to the Council.
24. If an item is lost, stolen or disposed of, this must be recorded against the entry in the inventory. Items lost or stolen must be promptly reported to the Insurance and Claims Service.
25. The Council's property should only be moved from Council premises for official purposes with the permission of an authorised officer and a record should be kept of all authorised removals.

Stocks and stores

26. To make arrangements for the care and custody of stocks and stores in their service.
27. To ensure that adequate records are kept and that reasonable levels are maintained which are subject to a regular independent physical check. All discrepancies should be investigated and pursued to a satisfactory conclusion.

Cash

28. To ensure cash holdings on premises are kept to a minimum.
29. To ensure that cash holdings do not exceed the maximum amount set by the Deputy Chief Executive and City Treasurer.
30. To ensure that cash handling is carried out in accordance with guidance issued by the Deputy Chief Executive and City Treasurer.
31. To ensure that cash held in any safe does not exceed the amount of the insurance limit for that safe.
32. To notify the Head of Internal Audit of cash lost or stolen immediately. Stolen cash, which is not covered by insurance, can only be written-off with the agreement of the Deputy Chief Executive and City Treasurer.
33. To ensure that under no circumstances are personal cheques cashed out of monies held on behalf of the Council.

Lost Property

34. Any property left on Council premises and regarded as lost must be disposed of in accordance with procedures agreed with the Deputy Chief Executive and City Treasurer and after consultation with the City Solicitor.

FINANCIAL SYSTEMS AND PROCEDURES

ASSET DISPOSAL AND WRITE OFF PROCEDURES

Executive

35. Responsible for approving procedures for writing-off debts as part of the overall control framework of accountability and control.

Deputy Chief Executive and City Treasurer

36. To issue guidelines representing best practice for the disposal of assets.
37. To ensure appropriate accounting entries are made to remove the value of disposed assets from the Council's records and to include the sale proceeds if appropriate.

Chief Officers and Heads of Services

38. To authorise the disposal and write-off of redundant furniture, fittings, equipment, plant and machinery, and stocks, in accordance with guidance issued by the Deputy Chief Executive and City Treasurer.
39. Before disposal to check if the asset is subject to leasing arrangements. If the asset is leased, disposal must be in accordance with the terms of the lease.
40. To ensure that any surplus plant, vehicles, furniture or equipment is sold by public tender or auction unless the financial interest of the Council is better served by disposal in some other way.
41. Not to sell assets to an officer of the Council without the approval of the Deputy Chief Executive and City Treasurer.
42. To ensure that income received for the disposal of an asset is properly banked and coded.

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Section **DE**

Contract Procurement Rules

SECTION E: Contract Procurement Rules

CONTENTS

A BRIEF GUIDE TO CONTRACT PROCUREMENT RULES

SCOPE OF CONTRACT PROCUREMENT RULES

RULE 1: RELEVANT CONTRACTS

RULE ~~2~~¹: BASIC PRINCIPLES

RULE ~~3~~²: OFFICER RESPONSIBILITIES

RULE ~~3~~³: ~~EXEMPTIONS, COLLABORATIVE AND E-PROCUREMENT~~
~~ARRANGEMENTS~~

RULE ~~4~~⁴: ~~RELEVANT CONTRACTS~~

COMMON REQUIREMENTS PROCUREMENT PROCESS FOR ALL CONTRACT ACTIVITY

RULE ~~4~~⁵: STEPS PRIOR TO PURCHASE

RULE 5: PRE-TENDER MARKET RESEARCH AND CONSULTATION

RULE 6: ~~RECORDS~~COMPETITION REQUIREMENTS FOR PURCHASE, DISPOSAL
AND PARTNERSHIP ARRANGEMENTS

RULE 7: ~~ADVERTISING, APPROVED LISTS AND FRAMEWORK AGREEMENTS~~

CONDUCTING PURCHASE AND DISPOSAL

RULE ~~8~~⁶: ~~COMPETITION REQUIREMENTS FOR PURCHASE, DISPOSAL AND~~
~~PARTNERSHIP ARRANGEMENTS~~

RULE ~~9~~⁷: ~~PRE-TENDER MARKET RESEARCH AND CONSULTATION~~

RULE ~~8~~¹⁰: STANDARDS AND AWARD CRITERIA

RULE ~~9~~¹¹: INVITATIONS TO TENDER/QUOTATIONS

RULE ~~10~~¹²: SHORTLISTING

RULE ~~11~~¹³: SUBMISSION, RECEIPT AND OPENING OF TENDERS/QUOTATIONS

RULE ~~12~~¹⁴: CLARIFICATION PROCEDURES

RULE ~~13~~¹⁵: EVALUATION

RULE ~~14~~¹⁶: POST TENDER/QUOTES NEGOTIATIONS

RULE ~~15~~¹⁷: AWARD OF CONTRACTS AND FRAMEWORK AGREEMENTS AND
DEBRIEFING CANDIDATES

RULE 16: RECORDS

CONTRACT AND OTHER FORMALITIES

RULE ~~17~~¹⁸: CONTRACT DOCUMENTS

RULE ~~18~~¹⁹: BONDS AND PARENT COMPANY GUARANTEES

CONTRACT MANAGEMENT

RULE ~~19~~²⁰: MANAGING CONTRACTS

RULE ~~20~~²¹: RISK ASSESSMENT AND CONTINGENCY PLANNING

RULE ~~21~~²²: CONTRACT MONITORING, EVALUATION AND REVIEW

**RULE 22: CONTRACT EXTENSIONS, VARIATIONS/MODIFICATIONS OR
NOVATION**

SUMMARY OF APPROVALS, THRESHOLDS AND CONTRACT FORMALITIES

DEFINITIONS

2

A BRIEF GUIDE TO CONTRACT PROCUREMENT RULES

These Contract Procurement Rules (issued in accordance with section 135 of the 1972 Local Government Act) are intended to promote good purchasing practice and public accountability and deter corruption.

Officers responsible for purchasing must comply with these Contract Procurement Rules. They lay down minimum requirements and a more thorough procedure may be appropriate for a particular contract, for example, if Rule ~~68~~.1 would normally require that quotes be obtained, it might be appropriate in particular circumstances to seek additional quotations in writing or tender submissions.

For the purposes of these rules, where there is a requirement for communication to be in writing, this shall be deemed to include e-mail ~~and fax~~ transmissions as well as hard copy.

Key considerations for officers engaged in purchasing works, goods and services include:

- Follow the rules if you purchase goods or services or order building work. **Take all necessary legal, financial and professional advice.**
 - ~~Take all necessary legal, financial and professional advice.~~
- Declare any personal financial interest in a contract. Corruption is a criminal offence.
- ~~Conduct any Best Value review and a~~ Appraise the purchasing need: **and whether it would deliver Value for Money for the Council.**
- Check whether there is an existing ~~Corporate Contract~~ **contract held by the Council**, Crown Commercial Service agreement, ~~AGMA~~**GMCA** or other Central Purchasing Body arrangements you can make use of before undergoing a competitive process.
- ~~Normally allow at least four weeks for submission of bids (not to be submitted by fax or e-mail unless allowed for in the Invitation to Tender documents).~~
- Keep bids confidential.
- Complete a written contract or Council order before the supply or works begin.
- Identify a contract manager with responsibility for ensuring the contract delivers as intended.
- Keep records of dealings with suppliers.
- Assess each contract afterwards to see how well it met the purchasing need and Value for Money requirements.

SCOPE OF CONTRACT PROCUREMENT RULES

1. RELEVANT CONTRACTS

1.1 A Relevant Contract is any arrangement made by the Council (including schools) for the carrying out of works or for the supply of goods, materials or services. These include arrangements for:

- the carrying out of construction and engineering works
- the supply or disposal of goods
- concession contracts
- the hire, rental or lease of goods or equipment; and
- the delivery of services.

All Relevant Contracts and Framework Agreements must comply with these Contract Procurement Rules.

1.2 Relevant Contracts do not include:

- contracts of employment which make an individual a direct employee of the Council, or
- agreements regarding the acquisition, disposal, or transfer of land which do not form part of a wider transaction under which the Council procures works, goods or services.

24. BASIC PRINCIPLES

2.1 All purchasing and disposal procedures must:

- achieve Best Value **and deliver Value for Money** for public money spent
- be consistent with the highest standards of integrity
- ensure fairness in allocating public contracts
- comply with all legal requirements
- ensure that Non-Commercial Considerations **(as defined in the Local Government 1988 and other relevant legislation)** do not influence any Contracting Decision
- support the Council's corporate and departmental aims and policies
- comply with the Council's **corporate Procurement Strategy procurement policies** and other relevant policies.

2.2 The Executive has power to waive any requirements within these Contract Procurement Rules for specific projects (other than the ones required by legislation), and any such decision may be a Key Decision.

32. OFFICER RESPONSIBILITIES

32.1 Officers

2.1.13.1.1 Officers responsible for purchasing or disposal must comply with these Contract Procurement Rules, Financial Regulations, the Code of Conduct and with all applicable legal requirements including:

- The Public Contracts Regulations 2015,
- The Concession Contracts Regulations 2016
- The Public Services (Social Value) Act 2012

2.1.23.1.2 Officers must:

- have regard to the ~~Purchasing~~**Procurement** Guidance **and procedures**, including any relevant Gateway **or similar** procedures
- ~~comply with the Council's Corporate Procurement Guidance, Contract Management Standards and any Directorate Commissioning Strategies in place at the time the decision is taken~~
- check whether a suitable ~~Corporate Contract~~**contract already** exists before seeking to let another contract; where a suitable ~~Corporate Contract~~**contract** exists, this must be used unless there is an auditable reason not to
- keep the records required by Rule **16**
- take all necessary legal, financial and professional advice.

2.1.33.1.3 When any employee either of the Council or of a service provider may be affected by any transfer arrangement, Officers must ensure that the Transfer of Undertakings (Protection of Employment) Regulations 2006 (TUPE) issues are considered and obtain **from the City Solicitor and the Director of HR and OD**~~legal and personnel~~ advice before proceeding with inviting Tenders or Quotations.

32.2 Chief Officers

32.2.1 Chief Officers must:

- ensure that their staff comply with Rule **32.1**
- keep registers of:
 - ⊖ contracts completed by signature, rather than by the Council's seal (see Rule ~~17~~**8.3**) and arrange their safekeeping on Council premises
 - ⊖ ~~exemptions recorded under Rule 3.2.~~

3.2.2 At the start of each financial year, each Chief Officer shall prepare a Procurement Plan setting out the Contracts which their departments anticipate it will procure during that financial year, and where possible

forthcoming years. This information must be shared with the Integrated Commissioning and Procurement team.

2.2.23.2.3 The delegated powers of Chief Officers **and, where applicable, Chapter 3A Officers** set out in these contract procurement rules may be exercised by other Officers authorised by the Chief Officer **/ Chapter 3A Officer** with the delegated power to act on their behalf and in their name, provided that those Officers report directly or indirectly to the Chief Officer **/ Chapter 3A Officer** with the delegated power and that administrative procedures are in place to record the authorisation and to record and monitor decisions so taken.

23.3 The Officer must comply with the Code of Conduct and must not invite or accept any gift or reward in respect of the award or performance of any contract. It will be for the Officer to prove that anything received was not received corruptly. High standards of conduct are obligatory. Corrupt behaviour will lead to dismissal and is a crime under the Bribery Act 2010.

23.4 Officers shall comply with **sSection** 117 of the Local Government Act 1972 in relation to the declaration of interest in contracts.

3. EXEMPTIONS, COLLABORATIVE AND E-PROCUREMENT ARRANGEMENTS

3.1 ~~The Executive has power to waive any requirements within these Contract Procurement Rules for specific projects, and any such decision may be a Key Decision.~~

3.2 ~~A Chief Officer may, (subject to the Deputy Chief Executive and City Treasurer's and a Strategic Management Team (as defined in Part 8 of the Constitution) member's written approval where the Total Value is likely to exceed £50,000 or where the proposed arrangement is estimated to exceed £50,000 in income to the Council) waive any requirements within these Contract Procurement Rules, where they are satisfied:~~

- ~~• Goods are to be bought at auction and the Council's best interest will be served by purchase through auction and has agreed an upper limit for bids, or~~
- ~~• Only one contractor can provide the goods, services or works required and there is no reasonable alternative contractor, or~~
- ~~• The need for the goods services or works is so urgent that the time needed to comply with the rules would be prejudicial to the Council's interests, or~~
- ~~• There are value for money reasons justifying a waiver.~~

~~Applications to waive any requirement shall be made using the Corporate Procurement Service template documentation available from the Corporate Procurement Service.~~

- ~~3.3—Where a proposed contract or agreement is subject to the Statutory Procedure, a Chief Officer, the Deputy Chief Executive and City Treasurer and Strategic Management Team (as defined in Part 8 of the Constitution) members have no delegated powers. No exemption under Rules 3.1 and 3.2 can be used if the Statutory Procedure applies.~~
- ~~3.4—All exemptions, and the reasons for them, must be recorded by the Chief Officer.~~
- ~~3.5—In order to secure Value for Money, the Council may enter into collaborative procurement arrangements with other local authorities, government departments, public bodies or Central Purchasing Bodies and may use Framework Agreements let by other local authorities, government departments, public bodies or Central Purchasing Bodies.~~
- ~~3.6—Purchases made via a local authority purchasing consortium are deemed to comply with these Contract Procurement Rules and no exemption is required. However, purchases subject to the Statutory Procedure must be let under the Statutory Procedure, unless the consortium or Central Purchasing Body has satisfied this requirement already by letting its agreement in accordance with the Statutory Procedure on behalf of the Council or specifying the Council as a potential user.~~
- ~~3.7—Advice must be sought from the City Solicitor's department and/or the Corporate Procurement Service regarding any contracts entered into through collaboration with other local authorities or other public bodies or use of Central Purchasing Body arrangements to ensure compliance with the procurement rules.~~
- ~~3.8—The use of e-procurement technology does not negate the requirement to comply with all applicable elements of these contract procurement rules, particularly those relating to competition and Value for Money.~~

~~4.—RELEVANT CONTRACTS~~

- ~~4.1—All Relevant Contracts and Framework Agreements must comply with these Contract Procurement Rules. A Relevant Contract is any arrangement made by the Council (including schools) for the carrying out of works or for the supply of goods, materials or services. These include arrangements for:~~
- ~~• the carrying out of construction and engineering works~~
 - ~~• the supply or disposal of goods~~
 - ~~• the hire, rental or lease of goods or equipment and~~
 - ~~• the delivery of services.~~
- ~~4.2—Relevant Contracts do not include:~~
- ~~• contracts of employment which make an individual a direct employee of the Council, or~~

- ~~agreements regarding the acquisition, disposal, or transfer of land which do not form part of a wider transaction under which the Council procures works, goods or services.~~

COMMON REQUIREMENTS PROCUREMENT PROCESS FOR ALL CONTRACT ACTIVITY

45. STEPS PRIOR TO PURCHASE

45.1 The Officer must appraise the purchase, in a manner commensurate with its complexity and value, and taking into account any **Procurement g**Guidance **from the Integrated Commissioning and Procurement Team (along with any relevant wider government guidance)**~~in the Purchasing Guidance~~, by:

- satisfying themselves that they have the necessary authority to deal with the purchase and that there is budget provision for the purchase
- taking into account the requirements from any relevant internal or external review appraising the need for the expenditure and its priority defining the objectives of the purchase
- assessing the risks associated with the purchase and how to manage them
- considering what procurement method is most likely to achieve the purchasing objectives, including internal or external sourcing, partnering, packaging strategy and collaborative procurement arrangements with another local authority, government department, statutory undertaker or public service purchasing consortium
- consulting users as appropriate about the proposed procurement method, contract standards and performance and user satisfaction monitoring drafting the terms and conditions that are to apply to the proposed contract
- where the purchase is to be funded from mainstream capital or regarded as capital by the Deputy Chief Executive and City Treasurer it is submitted to the Deputy Chief Executive and City Treasurer for comment as soon as practicable
- setting out these matters in writing if the Total Value of the purchase exceeds £**2530**,000 **(inclusive of VAT)**.

45.2 and by confirming that:

- there is member or delegated approval for the expenditure and the purchase accords with the approved policy framework and scheme of delegation as set out in the Constitution
- if the purchase is a Key Decision, all appropriate steps have been taken.

- 45.3** Prior to procurement the Officer must ensure that consideration has been given to whether the purchase fits within the commissioning strategy of the service, as set out in the service's Commissioning Forward Plan.
- 45.4** Social Value, including environmental priorities, must be ~~considered~~included in ~~the procurement process~~any specification, whether done through formal tender or by approval to purchase from a Chief Officer or by any other way (see the Council's Social Value Policy for more information). For contracts with a total value of £25,000 or more (inclusive of VAT), it must be clearly evidenced how a contract will deliver its social value commitments and how this will be monitored post contract award.
- 45.5** Specifications should not be approved or used in procurement without clear statements of requirements for performance measurement and monitoring. These should be approved by Chief Officers or their formal delegated Officers. Implementation plans should be developed in draft at specification stage and refined during the evaluation process so that officers can be clear with bidders how contract monitoring will work in practice.
- 45.6** Key stakeholders must be kept informed during the commissioning, procurement, and contract lifetime, ~~as set out in the MCC Contract Management Standards.~~

5. PRE-TENDER MARKET RESEARCH AND CONSULTATION

5.1 The Officer responsible for the purchase:

- may consult potential suppliers prior to the issue of the Invitation to Tender in general terms about the nature, level and standard of the supply, contract packaging and other relevant matters, provided this does not prejudice or favour any potential Candidate, but
- must not seek or accept technical advice on the preparation of an Invitation to Tender or Quotation from anyone who may have a commercial interest in them, if this may prejudice the equal treatment of all potential Candidates or distort competition.

6. COMPETITION REQUIREMENTS FOR PURCHASE, DISPOSAL AND PARTNERSHIP ARRANGEMENTS

The Officer must calculate the Total Value. The Council must not enter into separate contracts nor select a method of calculating the Total Value in order to minimise the application of these Contract Procurement Rules.

All proposed contracts above a Total Value of £25,000 (inclusive of VAT) must be referred to the Integrated Commissioning and Procurement Team for consultation and advice and all contract information will need to be published.

The following procedures apply where there are no other procedures which take precedence. Other procedures may include agency agreements with government. If in doubt, Officers must seek the advice of the City Solicitor.

These procedures, as outlined below, are based on three broad mechanisms for procuring a contract, that are available to the Council:

- Undertake a tender or quotes exercise (clause 6.1)
- Call-off from an external or internal framework agreement, either by awarding directly to one provider (where the framework rules allow for a direct award) or by undertaking a further competition inviting members of the particular framework (clause 6.2)
- Award directly to one provider without a competitive exercise, which except for the smallest value contracts, is permitted only under specific circumstances (see clause 6.3)

6.1 Purchasing – Competition Requirements

6.1.1 Where the Total Value for a purchase is within the values in the first column below, the Award Procedure in the second column must be followed, except when calling-off from a framework, which is covered separately in clause 6.2.

<u>Total Value (Including VAT)</u>	<u>Award Procedure</u>
<u>Up to £5,000</u>	<u>One oral Quotation (confirmed in writing where the Total Value exceeds £500)</u>
<u>£5,001 – £25,000</u>	<ul style="list-style-type: none"> • <u>Request at least three written Quotations; or</u> • <u>one written Quotation for consultancy services; or</u> • <u>under certain circumstances and only with advice from the Integrated Commissioning and Procurement Team, one written Quotation (otherwise referred to as direct award) for non-consultancy spend may be appropriate. See section 6.3 for more detail on this.</u>

<p><u>£25,001 - Statutory UK Threshold</u></p>	<ul style="list-style-type: none"> • <u>At least three written Quotations; or</u> • <u>Invitation to Tender by advertisement to at least three Candidates; or</u> • <u>Under certain circumstances and only with advice from the Integrated Commissioning and Procurement Team, direct award may be appropriate. See section 6.3 for more detail.</u> <p><u>Officers must follow the advice of the Integrated Commissioning and Procurement Team on which option is most appropriate.</u></p> <p><u>The opportunity must be implemented through the Council's procurement portal for both Quotations or Tenders unless otherwise agreed by the Integrated Commissioning and Procurement team.</u></p> <p><u>All open Tenders will need to be advertised on the relevant UK Government websites within 24 hours of the opportunity being advertised in any other way.</u></p>
<p><u>Above Statutory UK Threshold</u></p>	<p><u>Statutory Procedure (where advertisement required under Statutory Procedure the opportunity must also be advertised on relevant UK Government Contracts Finder websites).</u></p>

6.1.2 Irrespective of Rule 6.1.1 Relevant Contracts and Framework Agreements that are subject to European Union grant funding requirements shall be advertised in accordance with published guidance.

6.1.3 Note many works contracts may follow what is known as a two-stage contracting approach. For the purposes of the above table, officers should consider the estimated total value of both elements of the contract (i.e. the pre-construction services agreement and the works contract).

6.2 Framework Agreements and Dynamic Purchasing Systems

6.2.1 Framework agreements and dynamic purchasing systems have different arrangements that apply to them.

6.2.2 The term of a Framework Agreement, which is subject to the Statutory Procedure must not exceed four years save in exceptional cases duly justified, as agreed with the Integrated Commissioning and Procurement Team and Legal Services.

6.2.3 Where a Framework Agreement is concluded with a single provider, contracts let under that Framework Agreement shall be awarded within the limits of the terms specified in the Framework Agreement.

6.2.4 Where a Framework Agreement is concluded with more than one provider, contracts based on that Framework Agreement shall be awarded in accordance with the terms of the framework which may include one or all of the following terms:

- (i) direct award without re-opening competition where all the terms governing the provision of the works/services/supplies concerned are set out in the Framework Agreement and the objective criteria for determining which provider shall perform the contract are identified in the Framework Agreement; or
- (ii) where the Framework Agreement sets out all the terms governing the provision of the works/services/supplies concerned and where the Framework Agreement states that it may be used, partly by direct award and partly by reopening competition provided that the Framework Agreement sets out the objective criteria which will be used to determine whether a contract will be placed by a re-opening of competition or by direct award; or
- (iii) where the Framework Agreement does not include all the terms governing the provision of the works/services/supplies concerned, by holding a further competition with the providers which are party to the Framework Agreement.

6.2.5 Where an external Framework Agreement is used to award a contract, the City Solicitor will need to be consulted and agree with the Framework's terms and conditions and to review the Call off Contract terms, and Integrated Commissioning and Procurement will need to ensure compliance with statutory requirements. Other advice, for example financial advice, may also be needed prior to terms and conditions being agreed. Before awarding a contract directly to one provider without following a further competition process, it needs to be ensured the particular Framework allows for a direct award.

6.3 Awarding a contract without going through competition

6.3.1 In exceptional circumstances it may be appropriate to award a contract to a provider without going through a competitive exercise following the procedures set out in 6.1.1, or only inviting two Tenders or Quotes when three would normally be required. Integrated Commissioning and Procurement must be consulted and will advise on the suitability of such a procedure. For contracts with a value under the Statutory threshold, a direct award of a contract to a provider (or the seeking of only two Quotes and Tenders) is permitted, when one or more of the following apply where:

- goods/ services/ works are only obtainable from one provider and there is no other to allow genuine competition;
- the execution of works or the supply of goods or services are controlled by a statutory body;
- it would deliver Value for Money to the Council;
- the contract is for education, health or social care services, if it is considered in the Council's interests and to meet its obligations under relevant legislation;
- the execution of works or the supply of goods and services that are required so urgently as not to permit compliance with the requirements of competition;
- the contract is for security works and the publication of documents or details in the tendering process could prejudice the security of the Council and Manchester residents;

6.3.2 For contracts with a value over the Statutory Threshold, competition is required except in specific circumstances permitted in procurement regulations. Where these circumstances are met, the council may award contracts but only after having consulted with Integrated Commissioning and Procurement. Direct award through a relevant framework is permitted, where direct award is allowed for under the framework agreement, and where direct award can be justified, even if the value of the call-off is over the Statutory Threshold.

6.3.3 All direct awards - i.e., awards made without a going through a competitive process - must be fully documented with reasons recorded which demonstrate that the direct award is genuinely required.

6.3.4 All proposed direct award contracts above a Total Value of £25,000 (inclusive of VAT) must be referred to the Integrated Commissioning and Procurement team for consultation and advice and all contract information will need to be published.

6.3.5 All decisions on direct award must take into account:

- Probity
- Value for Money principles
- Social and Ethical Values

6.4 Register of Key Decisions

A Contract with a spending or saving of £500,000 or more and/or has a significant effect on two or more wards incurs a Key Decision. When this happens, the Key Decision Process must be followed, including prior publication on the Register of Key Decisions, along with any relevant Access to Information Rules. For more information on Key Decisions please see Part 4, Section B, Rule 15 of this constitution.

6.5 Assets for Disposal

6.5.1 Chief Officers and Heads of Service have responsibilities, as per Part 5, Section C of this Constitution, to ensure that any surplus plant, vehicles, furniture or equipment is sold by public tender or auction unless the financial interest of the Council is better served by disposal in some other way (e.g. quotations), which would require approval from the Deputy Chief Executive and City Treasurer.

6.5.2 All ICT equipment need to be disposed of securely in line with the data protection legislation and all data need to be removed securely.

6.5.3 The officers that have authority to make purchasing decisions (see section 15) also have authority for approving disposals.

6.6 Providing Services to External Purchasers

6.6.1 The Deputy Chief Executive and City Treasurer must be consulted where contracts to work for other organisations are contemplated and any bid, tender and contract for work shall be made in accordance with the Financial Regulations.

6.7 Collaborative and Partnership Arrangements

6.7.1 Collaborative and partnership arrangements where services/goods/works are supplied to the Council are subject to UK procurement legislation and must follow these Contract Procurement Rules. If in doubt, Officers must seek the advice of the City Solicitor and the Integrated Commissioning and Procurement Team.

~~6. RECORDS~~

~~6.1 Where the Total Value is less than £30,000, the following records must be kept:~~

- ~~• a unique reference number for the contract and the title of the contract~~
- ~~• invitations to quote or tender and Quotations or Tenders~~
- ~~• a record:~~
 - ~~○ of any exemptions and the reasons for them~~
 - ~~○ of any Contracting Decision and the reasons for it including the evaluation of the Quotation or Tender~~

- ~~written records of communications with the successful contractor or an electronic record if a written record of the transaction would normally not be produced.~~

~~6.2 Where the Total Value exceeds £30,000 the Officer must record (using the Corporate Procurement Service template documentation available from the Corporate Procurement Service):~~

- ~~a unique reference number for the contract and the title of the contract~~
- ~~the method for obtaining bids (see Rule 8.1)~~
- ~~any Contracting Decision and the reasons for it~~
- ~~any exemption under Rule 3 together with the reasons for it~~
- ~~the Award Criteria in descending order of importance~~
- ~~Invitation to Tender documents sent to and Tender documents received from Candidates~~
- ~~pre tender market research (if any)~~
- ~~clarification and post tender negotiation (to include minutes of any meetings)~~
- ~~the contract documents~~
- ~~post contract evaluation and monitoring~~
- ~~communications with Candidates and with the successful contractor throughout the period of the procurement.~~
- ~~record and keep the approval of specification (including Social Value and Contract Monitoring arrangements) by the Chief Officer.~~

~~6.3 Records required by this rule must be kept for six years after the end of the contract.~~

7. ADVERTISING, ~~APPROVED LISTS AND FRAMEWORK AGREEMENTS~~

7.1 Identifying and Assessing Potential Candidates

7.1.1 Officers shall ensure that in respect of a proposed Relevant Contracts or Framework Agreement, a sufficiently accessible advertisement is published. Generally, the greater the interest of the arrangement to potential bidders, the wider the coverage of the advertisement should be. Examples of where such advertisements may be placed include:

- the Council's website

- portal websites specifically created for contract advertisements (such as the UK Government Contracts Finder website)
- a local or national newspaper or specialist publication
- national official journals, or **Find a Tender** the Official Journal of the European Union (OJEU) where applicable Tenders Electronic Daily (TED) (even if there is no requirement within the Statutory Procedure).

7.1.2 Officers are responsible for ensuring that all Candidates for a Relevant Contract or Framework Agreement have necessary:

- economic and financial standing, and
- technical ability and capacity

to fulfil the requirements of the Council.

7.2 Framework Agreements

~~7.2.1 The term of a Framework Agreement which is subject to the Statutory Procedure must not exceed four years save in exceptional cases duly justified, in particular by the subject matter of the Framework Agreement and Framework Agreements may be entered into with one or several providers.~~

~~7.2.2 Where a Framework Agreement is concluded with a single provider contracts let under that Framework Agreement shall be awarded within the limits of the terms specified in the Framework Agreement~~

~~7.2.3 Where a Framework Agreement is concluded with more than one provider contracts based on that Framework Agreement shall be awarded as follows:~~

- ~~(i) — direct award without re-opening competition where all the terms governing the provision of the works/services/supplies concerned are set out in the Framework Agreement and the objective criteria for determining which provider shall perform the contract are identified in the Framework Agreement; or~~
- ~~(ii) — where the Framework Agreement sets out all the terms governing the provision of the works/services/supplies concerned and where the Framework Agreement states that it may be used, partly by direct award and partly by reopening competition provided that the Framework Agreement sets out the objective criteria which will be used to determine whether a contract will be placed by a re-opening of competition or by direct award; or~~
- ~~(iii) — where the Framework Agreement does not include all the terms governing the provision of the works/services/supplies~~

~~concerned, by holding a further competition with the providers which are party to the Framework Agreement~~

~~CONDUCTING PURCHASE AND DISPOSAL~~

~~8. COMPETITION REQUIREMENTS FOR PURCHASE, DISPOSAL AND PARTNERSHIP ARRANGEMENTS~~

~~The Officer must calculate the Total Value.~~

~~The following procedures apply where there are no other procedures which take precedence. Other procedures may include agency agreements with government. If in doubt, Officers must seek the advice of the City Solicitor.~~

~~8.1 Purchasing – Competition Requirements~~

~~8.1.1 Subject to Rules 8.1.2 and 8.5 where the Total Value for a purchase is within the values in the first column below, the Award Procedure in the second column must be followed.~~

Total Value	Award Procedure
Up to £2,000	One oral Quotation (confirmed in writing where the Total Value exceeds £500)
£2,001 – £30,000	At least three written Quotations
£30,001 – Statutory Threshold	Invitation to Tender by advertisement to at least three Candidates (the opportunity must also be advertised on the UK Government Contracts Finder website within 24 hours of the opportunity being advertised in any other way)
Above Statutory Threshold	Statutory Procedure (where advertisement required under Statutory Procedure the opportunity must also be advertised on UK Government Contracts Finder website)

~~8.1.2 Irrespective of Rule 8.1.1 Relevant Contracts and Framework Agreements that are subject to European Union grant funding requirements shall be advertised in accordance with published guidance, ERDF National Procurement Requirements (ERDF-GN-1-004) as amended from time to time.~~

~~8.1.3 An Officer must not enter into separate contracts nor select a method of calculating the Total Value in order to minimise the application of these Contract Procurement Rules.~~

~~8.1.4 Where the Total Value exceeds £50,000 the Corporate Procurement Service should be consulted prior to the commencement of the procedure.~~

~~8.2 Assets for Disposal~~

~~8.2.1 Assets for disposal must be sent to public auction except where the Deputy Chief Executive and City Treasurer is satisfied that better Value for Money is likely to be obtained by inviting Quotations or Tenders (these may be invited by advertising on the Council's web site).~~

~~8.3 Providing Services to External Purchasers~~

~~8.3.1 The Deputy Chief Executive and City Treasurer must be consulted where contracts to work for other organisations are contemplated and any bid, tender and contract for work shall be made in accordance with the Financial Regulations.~~

~~8.4 Collaborative and Partnership Arrangements~~

~~8.4.1 Collaborative and partnership arrangements where services/goods/works are supplied to the Council are subject to UK procurement legislation and must follow these Contract Procurement Rules. If in doubt, Officers must seek the advice of the City Solicitor and the Corporate Procurement Service.~~

~~8.5 The Appointment of Consultants to Provide Services~~

~~8.5.1 Consultant architects, engineers, surveyors and other professional Consultants shall be selected and commissions awarded in accordance with the procedures detailed within these Contract Procurement Rules and as outlined below.~~

Total Value	Award Procedure
Up to £30,000	One oral Quotation (confirmed in writing where the Total Value exceeds £2,000) approved by a relevant Approving Officer
£30,001— Statutory Threshold	Three written Quotations (the successful one shall be approved by a relevant Approving Officer)
Above Statutory Threshold	Statutory Procedure (where advertisement required under Statutory Procedure)

	the opportunity must also be advertised on UK Government Contracts Finder website)
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~~Agreements shall be completed as specified in Rule 18.2 (Contract Formalities).~~

~~8.5.2 Irrespective of Rule 8.5.1 Relevant Contracts and Framework Agreements that are subject to European Union grant funding requirements shall be advertised in accordance with published guidance, ERDF National Procurement Requirements (ERDF GN 1 004) as amended from time to time.~~

~~8.5.3 The engagement of a Consultant shall follow the agreement of a brief that adequately describes the scope of the services to be provided and shall be subject to completion of a formal letter or contract of appointment.~~

~~8.5.4 Records of consultancy appointments shall be maintained in accordance with Rule 6.~~

~~8.5.5 Consultants shall be required to provide evidence of, and maintain appropriate professional indemnity insurance policies for the periods specified in the respective agreement.~~

~~8.5.6 The instruction of external legal advisers must only be carried out by the City Solicitors Division and the instruction of counsel shall not be subject to the requirements of Rules 8.5.1—8.5.4 (inclusive)~~

~~9. PRE-TENDER MARKET RESEARCH AND CONSULTATION~~

~~9.1 The Officer responsible for the purchase:~~

- ~~• may consult potential suppliers prior to the issue of the Invitation to Tender in general terms about the nature, level and standard of the supply, contract packaging and other relevant matters, provided this does not prejudice or favour any potential Candidate, but~~
- ~~• must not seek or accept technical advice on the preparation of an Invitation to Tender or Quotation from anyone who may have a commercial interest in them, if this may prejudice the equal treatment of all potential Candidates or distort competition.~~

810. STANDARDS AND AWARD CRITERIA

810.1 The Officer must ascertain the standards necessary to properly describe the subject matter of the contract having regard to any relevant British, ~~European~~ or international standards.

810.2 The Officer must define Award Criteria that are appropriate to the purchase and designed to secure an outcome giving Value for Money for the Council. The basic criteria shall be one of the following:

- 'most economically advantageous' where considerations of quality, price, social value and other relevant factors apply or
- 'lowest price' where payment is to be made by the Council (provided that lowest price is not permissible under the Statutory Procedure) or
- 'highest price' if payment is to be received

If the first criterion is adopted, it must be further defined by reference to sub-criteria which may refer only to relevant considerations. These may include price, service, quality of goods, running costs, technical merit, previous experience, delivery date, cost effectiveness, quality, relevant environmental considerations, relevant community benefit and social considerations (where permitted by UK ~~and European Union~~ Law and in accordance with the Council's Ethical Procurement Policy) aesthetic and functional characteristics (including security and control features), safety, after-sales services, technical assistance and any other relevant matters. Supplier suitability assessment questions may also be asked by the Council provided such are relevant to the subject matter of the procurement, are proportionate and are used to assess whether bidders meet requirements or minimum standards of suitability, capability, legal status and financial standing. Officers shall have regard to the Procurement~~Purchasing~~ Guidance when defining the Award Criteria.

810.3 Award Criteria must not include:

~~Non~~on-Commercial Considerations that are prohibited under UK law and must be non discriminatory

911. INVITATIONS TO TENDER/QUOTATIONS

911.1 The Invitation to Tender and Quotation shall state that the Council reserves the right to reject a Tender that is not received by the date and time stipulated in the Invitation to Tender. No Tender delivered in contravention of this requirement shall be considered without the Deputy Chief Executive and City Treasurer's prior approval.

911.2 All Invitations to Tender shall include the following:

911.2.1 A specification that describes the Council's requirements in sufficient detail to enable the submission of competitive offers.

911.2.2 A requirement for tenderers to declare that the Tender / Quotation content, price or any other figure or particulars concerning the Tender / Quotation have not been disclosed by the tenderer to any other party (except where such a disclosure is made in confidence for a necessary purpose).

911.2.3 A requirement for tenderers to complete fully and sign all relevant Tender / Quotation documents including a form of Tender and certificates relating to canvassing and non-collusion.

911.2.4 Notification that Tenders / **Quotations** are submitted to the Council on the basis that they are compiled at the tenderer's expense.

911.2.5 A description of the Award Procedure and, unless defined in a prior advertisement, a definition of the Award Criteria in objective terms and if possible, in descending order of importance.

911.2.6 Unless the Tender is sought in accordance with an electronic tendering process which is approved by the Deputy Chief Executive and City Treasurer and City Solicitor and/or which is permitted by the Invitation to Tender documents, a statement that any Tenders submitted by ~~fax or other~~ electronic means shall not be considered.

~~11.2.7 A submission on contract monitoring should be required from bidders, within the qualifying and quality documentation at the Invitation to Tender stage~~

~~11.2.8 Bidders' contract monitoring proposals should achieve a minimum threshold as defined in the Invitation to Tender Documents to be considered for tender evaluation~~

~~11.39.2.7 All Invitations to Tender or Quotations must specify the goods, service or works that are required, together with t~~**11**~~The terms and conditions of contract that will apply (see Rule 178).~~

9.311.4 The Invitation to Tender or Quotation may state that the Council is not bound to accept any Quotation or Tender.

1012. SHORTLISTING

1012.1 Shortlisting for contracts or agreements which are not subject to the Statutory Procedure may only be undertaken where permitted by UK law. Special rules apply to Shortlisting for contracts or agreements which are subject to the Statutory Procedure and these are set out in the Statutory Procedure.

1113. SUBMISSION, RECEIPT AND OPENING OF TENDERS/QUOTATIONS

1113.1 Candidates must be given an adequate period in which to prepare and submit a proper Quotation or Tender, consistent with the complexity of the Council's requirement. Normally at least four weeks should be allowed for submission of Tenders. The Statutory Procedure lays down specific time periods (see guidance in the **ProcurementPurchasing** Guidance).

1113.2 All Tenders must be returned in accordance with the Invitation to Tender.

1113.3 Tenders received by ~~any fax or other~~ electronic means **other than through the procurement portal used by the Council** (e.g. e-mail) must be rejected, unless they have been sought in accordance with an electronic tendering process which is approved by the Deputy Chief Executive and City Treasurer and City Solicitor and/or they are permitted by the Invitation to Tender documents,

1113.4 Each Tender must be:

- suitably recorded so as to verify the date and precise time it was received
- adequately protected on receipt to guard against amendment of its contents.

1214. CLARIFICATION PROCEDURES

1214.1 Providing clarification of an Invitation to Tender to potential or actual Candidates or seeking clarification of a Tender, whether in writing or by way of a meeting, is permitted. However, discussions with tenderers after submission of a Tender and before the award of a contract with a view to obtaining adjustments in price, delivery or content (i.e. post-tender negotiations) are the exception rather than the rule. In particular, they must not be conducted in a Statutory Procedure where this might distort competition, especially with regard to price.

1315. EVALUATION

1315.1 Apart from the debriefing required or permitted by these Contract Procurement Rules, the confidentiality of Quotations, Tenders and the identity of Candidates must be preserved at all times and information about one Candidate's response must not be given to another Candidate.

1315.2 Contracts must be evaluated and awarded in accordance with the Award Criteria. During this process, Officers shall ensure that submitted Tender prices are compared with any pre-tender estimates and that any discrepancies are examined and resolved satisfactorily.

1315.3 The arithmetic in compliant Quotations / Tenders must be checked. If arithmetical errors are found they should be notified to the tenderer, who should be requested to confirm or withdraw their Tender. Alternatively, if the rates in the Tender / Quotation, rather than the overall price, were stated within the Invitation to Tender / Quotation as being dominant, an amended Tender / Quotation price may be requested to accord with the rates given by the tenderer.

1416. POST TENDER/QUOTES NEGOTIATIONS

1416.1 Post-tender negotiations under the Statutory Procedure can only be undertaken on the grounds allowing for such specified in the Statutory Procedure. For all other procedures if post-tender/quotes negotiations are necessary after receiving quotes, a single-stage Tender process or after the second stage of a two-stage Tender process, then such negotiations shall only be undertaken with the ~~tenderer~~bidder who is identified as having submitted the most economically advantageous Quote or Tender and after all unsuccessful Candidates have been informed. During negotiations quotes or tendered rates and prices shall only be adjusted in respect of a corresponding adjustment in the scope or quantity included in the Quote or Tender documents. Officers appointed by the Chief Officer to carry out post-tender negotiations should ensure that there are recorded minutes of all negotiation meetings and that both parties agree actions in writing.

1416.2 Post-tender/quote negotiation must only be conducted in accordance with the guidance issued by the City Solicitor who, together with the ~~Corporate~~

~~Procurement Service~~Integrated Commissioning and Procurement Team, must be consulted wherever it is proposed to enter into post-tender negotiation. Negotiations must be conducted by a team of at least two officers, one of whom must be from a division independent to that leading the negotiations.

~~1416.3~~ Where post-tender/quote negotiation would result in fundamental changes to a Relevant Contract or Framework Agreement (such as to the specification or price) which would be likely to distort competition the contract or agreement must not be awarded but ~~re-tendered~~a request for new quotes or a re-tender must be conducted.

1517. AWARD OF CONTRACTS AND FRAMEWORK AGREEMENTS AND DEBRIEFING CANDIDATES

~~1517.1~~ Chief Officers and Chapter 3A Officers may accept Quotations and Tenders received in respect of proposed contracts and Framework Agreements, provided they have been sought and evaluated fully in accordance with these Contract Procurement Rules and, in respect of proposed contracts and Framework Agreements that are expected to exceed £250,000 (relating to either expenditure or income) with the approval of the Deputy Chief Executive and City Treasurer and, in the case of Chapter 3A Officers, the approval of the Chief Officer to whom the Chapter 3A Officer reports ~~a Strategic Management Team (as defined in Part 8 of the Constitution) member~~. In all cases budget provision must be available.

~~1517.2~~ For contracts and Framework Agreements subject to the Statutory Procedure, the Officer must notify all Candidates simultaneously and as soon as possible of the intention to award the contract or Framework Agreement to the successful Candidate and provide information required by the Statutory Procedure specifying the name(s) of the successful Candidate(s), the award criteria and the reasons for the decision including the score of the Candidate being debriefed and the successful Candidate(s) and the characteristics and relative advantages of the successful tender. The Officer must provide unsuccessful Candidates with a period of at least ten days in which to challenge the decision before the Officer awards the contract or Framework Agreement. If the decision is challenged by an unsuccessful Candidate then the Officer shall not award the contract or Framework Agreement until the advice of the City Solicitor's department and the Integrated Commissioning and Procurement Team ~~Corporate Procurement Service~~ has been obtained. The statutory requirement to notify candidates of the intention to award a contract or Framework Agreement in this Rule ~~1517.2~~ does not apply to:

- contracts and Framework Agreements that are not subject to the full application of the Statutory Procedure (such as social and other specific services listed under the Statutory Procedure, or where the value is under the Statutory Threshold) and
- contracts subsequently called off and awarded based on a Framework Agreement that was let in accordance with the Statutory Procedure

- 15.4.3** If a Candidate requests in writing the reasons for a Contracting Decision relating to an unsuccessful Quotation or Tender it has submitted, the Officer must give in writing the name(s) of the successful Candidate(s), the award criteria and the reasons for the decision including the score of the candidate being debriefed and the successful Candidate(s) and the characteristics and relative advantages of the successful tender.

15.4. Requirements for contract reports in relation to direct awards as describe in section 6.3:

15.4.1. Where the Total Value is likely to exceed £50,000 or where the proposed arrangement is estimated to exceed £50,000 in income to the Council, written approval must be given by the Deputy Chief Executive and City Treasurer and the Chief Officer to whose service the contract relates to direct award a contract. Approval will be subject to the Directorate satisfying one or more of the items listed in 6.3.1 of this document.

15.4.2. Where the Total Value is likely to exceed the Statutory UK Threshold the Deputy Chief Executive and City Treasurer and other Chief Officers have no delegated powers. None of the items listed in 6.3.1 can be used if the Total Value exceeds the Statutory UK Threshold.

16. RECORDS

16.1 Where the Total Value is less than £25,000 (inclusive of VAT), the following records must be kept:

- **a unique reference number for the contract and the title of the contract**
- **invitations to quote and Quotations**
- **a record of any Contracting Decision and the reasons for it including the evaluation of the Quotation or Tender**
- **written records of communications with the successful contractor or an electronic record if a written record of the transaction would normally not be produced.**

16.2 Where the Total Value exceeds £25,000 (inclusive of VAT) the Officer must record:

- **a unique reference number for the contract and the title of the contract**
- **the method for obtaining bids (see Rule 6.1)**
- **any Contracting Decision and the reasons for it**
- **the Award Criteria in descending order of importance**

- Quote or Invitation to Tender documents sent to and Quote or Tender documents received from Candidates
- pre-tender market research (if any)
- clarification and post-tender negotiation (to include minutes of any meetings)
- the contract documents
- post-contract evaluation and monitoring
- communications with Candidates and with the successful contractor throughout the period of the procurement.
- record and keep the approval of specification (including Social Value and Contract Monitoring arrangements) by the Chief Officer.

16.3 Records required by this rule must be kept for six years after the end of the contract.

16.4 Relevant information for all contracts with a Total Value of more than £25,000 (inclusive of VAT) will need to be published on Contracts Finder, or any other required government website.

CONTRACT AND OTHER FORMALITIES

1718. CONTRACT DOCUMENTS

1718.1 Relevant Contracts

1718.1.1 All Relevant Contracts that exceed £~~2530~~,000 (**inclusive of VAT**) shall be in writing.

1718.1.2 All Relevant Contracts, irrespective of value, shall clearly specify:

- what is to be supplied (i.e. the works, materials, services, matters or things to be furnished, had or done)
- the provisions for payment (i.e. the price to be paid and when)
- the time, or times, within which the contract is to be performed
- the provisions for the Council to terminate the contract.

1718.1.3 The Council's standard terms and conditions as defined by the City Solicitor, or:

- standard contract forms issued by a relevant professional body; or
- when appropriate, officers working in formal partnership arrangements with the NHS should comply with NHS forms for NHS contracts;

must be used wherever possible. Agreement from the City Solicitor must be sought if any variation to such terms and conditions.

1718.1.4 In addition, every contract or agreement must also state clearly as a minimum:

- that the contractor may not assign or sub-contract without prior written consent
- any insurance requirements
- health and safety requirements
- data protection requirements, if relevant
- Equality Act 2010 requirements
- anti-bribery compliance
- Freedom of Information Act requirements
- a right of access to relevant documentation and records of the contractor for monitoring and audit purposes if relevant.

- that the Council shall pay the contractor and the contractor shall pay its subcontractors within 30 days of an undisputed invoice

1718.1.5 The advice of the City Solicitor must be sought for the following Relevant Contracts or Framework Agreements:

- those involving leasing arrangements
- where it is proposed to use a supplier's own terms or
- those that are complex in any other way.

1718.2 Contract Formalities

1718.2.1 Agreements shall be completed as follows:

Total Value <u>(inclusive of VAT)</u>	Method of completion	By
Up to £ 2530 ,000	One Signature	Authorised Signatory <u>(see definitions)</u>
£ 2530 ,001 - £ 150,000 <u>74,999</u>	Two signatures or sealing	Two Authorised Signatories <u>(see definitions)</u> or for Sealing see Rule 1718.3
<u>£75,000 - £149,999</u>	<u>Sealing</u>	<u>See Rule 17.3</u>
Above £150,000 AND construction and related professional service appointments	Sealing	See Rule 1718.3

1718.2.2 All contracts must be concluded formally in writing before the supply, service or construction work begins, except in exceptional circumstances.

~~18.2.3 Contracts between £50,000 – £150,000 that are classed as low risk (as set out in the Council's Contract Management Standards, and use MCG standard terms and conditions only require one signature.~~

1718.3 Sealing

1718.3.1 Where contracts or agreements are completed by each side as a deed, such contracts shall be executed by the fixing of the Council's seal and must be witnessed by an Authorised Signatory. The affixing of the Council's seal and the Authorised Signatory signature shall be arranged by the City Solicitors Division,

1718.3.2 Every Council sealing will be consecutively numbered, recorded and signed by the person witnessing the seal.

1718.3.3 A contract must be sealed where:

- the Council may wish to enforce the contract more than six years after its end
- the price paid or received under the contract is a nominal price and does not reflect the value of the goods or services
- there is any doubt about the authority of the person signing for the other contracting party-
- the value is exceeding £75,000.

1819. BONDS AND PARENT COMPANY GUARANTEES

1819.1 The Officer must consult the Deputy Chief Executive and City Treasurer about whether a Parent Company Guarantee is necessary when a Candidate is a subsidiary of a parent company and:

- the Total Value exceeds £250,000, or
- selection and/or award is based on evaluation of the parent company, or
- there is some concern about the stability of the Candidate.

1819.2 The Officer must consult the Deputy Chief Executive and City Treasurer about whether a Bond is needed:

- where the Total Value exceeds £1,000,000, or
- where it is proposed to make stage or other payments in advance of receiving the whole of the subject matter of the contract or there is concern about the stability of the Candidate.

CONTRACT MANAGEMENT

1920. MANAGING CONTRACTS

- 1920.1** Heads of Service in sponsoring departments are to name contract managers for all new contracts. All contracts must have a Council contract manager for the entirety of the contract.
- 1920.2** Contract managers must follow the procedures set out in the Council's **ProcurementPurchasing** Guidance.
- 1920.3** The Contract Management Standards set out a governance framework with the roles and responsibilities of all involved in contract management decisions during each phase of the commissioning and contract management lifecycle.
- 1920.4** Specifically executive members should be kept informed at each stage of the commissioning and contract management stages as set out in the Commissioning and Contract Management Standards.

2024. RISK ASSESSMENT AND CONTINGENCY PLANNING

- 2024.1** A business case must be prepared for all procurements with a potential value over the Statutory Threshold. Provision for resources for the management of the contract, for its entirety, must be identified in the business case.
- 2024.2** For all contracts with a value of over £75,000, contract managers must consider maintaining a risk register during the contract period undertake appropriate risk assessments and for identified risks ensure contingency measures are in place.

2122. CONTRACT MONITORING, EVALUATION AND REVIEW

- 2122.1** The Deputy Chief Executive and City Treasurer may require that a Council-developed Gateway review process may be applied to all contracts deemed to be High Risk, High Value, or High Profile. This process must be applied at key stages of major procurements.
- 2122.2** During the life of the contract, the Officer must monitor in respect of:
- performance, **including social value**
 - compliance with specification and contract
 - cost
 - user satisfaction and risk management.

22. CONTRACT EXTENSIONS, VARIATIONS/MODIFICATIONS OR NOVATION

- 22.1. Where provision is made for an extension within the contract where the total value of the contract including the extension is below £250,000, this should be approved by the appropriate Officer, provided that budget provision is**

available. Where provision is made for an extension in the contract and where the total value of the contract including the extension is £250,000 or above, approval for extension shall be referred to the Deputy Chief Executive and City Treasurer and the Chief Officer to whose service the contract relates, provided that budget provision is available.

- 22.2. An options appraisal must be undertaken to determine if it represents Value for Money to extend the contract and any approval required must be sought in a timely manner.
- 22.3. No extension shall be made until funding has been secured in accordance with the Council's Financial Regulations or any other similar requirement.
- 22.4. A contract with the provision to extend may be extended before the expiry date of the contract where it is in accordance with its original terms and conditions (which must expressly allow for extension) and where an extension delivers Value for Money.
- 22.5. For contracts below the statutory thresholds, a new procurement will be required if there is a variation which is a substantial variation and a variation shall be considered substantial which where one or more of the following conditions is met:
- the variation introduces new conditions which had they been part of the original procurement procedure would have allowed other candidates to be selected to bid or the contract to be awarded to another tenderer
 - the variation changes the economic balance in favour of the contractor
 - the variation extends the scope of the contract considerably
 - the variation makes the contract materially different in character from the contract initially entered into
- 22.6. For contracts above the statutory thresholds, in accordance with the relevant legislation, contracting authorities may make contract modifications without the need of a new procurement, but always after consulting with the Integrated Commissioning and Procurement Team. Approval for the modification shall be referred to the Deputy Chief Executive and City Treasurer and the Chief Officer to whose service the contract relates. The relevant authorised signatories (as set out in 17.2.1) will need to sign or seal the modification. All contract modifications must be carried out within the scope of the original contract and must not materially affect or change the contract.

SUMMARY OF APPROVALS, THRESHOLDS AND CONTRACT FORMALITIES

<u>Total Value (inclusive of VAT)</u>	<u>Who May Approve to Proceed</u>	<u>Award Procedure</u>	<u>Who May Approve contract award</u>	<u>Method of Contract Completion</u>
<u>Up to £5,000</u>	<u>Chief Officers and Chapter 3A Officers (see 15.1)</u>	<u>One oral Quotation (confirmed in writing where the Total Value exceeds £500)</u>	<u>Chief Officers and Chapter 3A Officers (see 15.1)</u>	<u>One signature by Authorised Signatory (see 17.2.1)</u>
<u>£5,001 - £25,000</u>	<u>Chief Officers and Chapter 3A Officers (see 15.1)</u>	<u>At least three written Quotations or one quotation for consultancy services or Direct Award (see 6.2)</u>	<u>Chief Officers and Chapter 3A Officers (see 15.1)</u>	<u>One signature by Authorised Signatory (see 17.2.1)</u>
<u>£25,001 – Statutory UK Threshold</u>	<u>Chief Officers and Chapter 3A Officers (see 15.1) For total value over £250,000 need the agreement of the Deputy Chief Executive and City Treasurer</u>	<u>At least three written Quotations Or Invitation to Tender by inviting at least three providers or Direct Award (see 6.2)</u>	<u>Chief Officers and Chapter 3A officers (see 15.1) For total value over £250,000 need the agreement of the Deputy Chief Executive and City Treasurer and relevant Chief Officer</u>	<u>Two signatures by Authorised Signatory (see 17.2.1) or sealing (see 17.3)</u>

	<u>and relevant Chief Officer</u>			
<u>Above Statutory UK Threshold</u>	<u>Deputy Chief Executive and City Treasurer and relevant Chief Officer</u>	<u>Statutory Procedure</u>	<u>Deputy Chief Executive and City Treasurer and relevant Chief Officer</u>	<u>Sealing (see 17.3)</u>

DEFINITIONS

“Approving Officer”	An officer referred to and/or identified in Chapters 2 and 3A of Part 3 (scheme of delegation) of the Constitution
Authorised Signatory	An officer authorised by the City Solicitor, <u>a Chief Officer or a Chapter 3A Officer</u> , in accordance with the Council's constitution to sign a contract or <u>(only in the case of an officer authorised by the City Solicitor)</u> the Council's seal.
Award Criteria	The criteria by which the successful Quotation or Tender is to be selected (see further Rules <u>810</u> and <u>911.2.5</u>).
Award Procedure	The procedure for awarding a contract as specified in Rules 8, 10 and 15.
Best Value	The duty, which Part I of the Local Government Act 1999 places on local authorities, to secure continuous improvement in the way in which functions are exercised, having regard to a combination of economy, efficiency and effectiveness as implemented by the Council.
Candidate	Any person who applies for, asks or is invited to submit a Quotation or Tender.
Central Purchasing Body	Means a contracting authority which provides centralised purchasing activities and which may also provide ancillary purchasing activities
<u>Chapter 3A Officers</u>	<u>The Officers set out in Chapter 3A of Part 3, Section F of the Constitution.</u>
Chief Officers	The Officers defined as such in Part 8 of the Constitution.
City Solicitor	As identified in the Constitution.
Deputy Chief Executive and City Treasurer	The Council's Deputy Chief Executive and City Treasurer or such other Officer as may be designated Deputy Chief Executive and City Treasurer by the Council.
Code of Conduct	The code of conduct for employees as set out from time to time in the Constitution.
Commercial Board	The group of Officers that meets regularly to consider procurement issues on a corporate basis.
Committee	A committee which has power to make decisions for the Council, for example a joint committee with another local

	authority, but not a scrutiny committee.
Constitution	The constitutional document approved by the Council of which those Contract Procurement Rules form part issued under Section 9P of the Local Government Act 2000.
Consultant	Specialist advisers engaged to provide services to the Council.
Contracting Decision	Any of the following decisions: <ul style="list-style-type: none"> • withdrawal of Invitation to Tender • whom to invite to submit a Quotation or Tender • shortlisting • award of contract or Framework Agreement • any decision to terminate a contract.
Corporate Contract	A contract or agreement let by the Council's <u>Integrated Commissioning and Procurement Team</u> Corporate Procurement Service to support the Council's aim of achieving Value for Money.
Corporate Procurement Service	The Council's central procurement unit charged with providing strategic direction and advice to secure Value for Money in the Council's procurement activities.
Statutory Procedure	The procurement procedure required by UK law for goods, works and services where the Total Value exceeds the Statutory Threshold.
Statutory Threshold	The value(s) at which the relevant UK public procurement law applies.
<u>Deputy Chief Executive and City Treasurer</u>	<u>The Council's Deputy Chief Executive and City Treasurer or such other Officer as may be designated Deputy Chief Executive and City Treasurer by the Council.</u>
Executive	The Council's Executive as defined in the Constitution.
Financial Regulations	The Council's financial regulations outlining Officer responsibilities for financial matters prepared by the Deputy Chief Executive and City Treasurer and becoming part of the Constitution.
Framework Agreement	An agreement between one or more authorities and one or more economic operators, the purpose of which is to establish the terms governing contracts to be awarded during a given period, in particular with regard to price

	and, where appropriate, the quantity envisaged.
Government Procurement Agreement	The successor agreement to the General Agreement on Trade and Tariffs. The main signatories other than those in the European Economic Area are the USA, Canada, Japan, Israel, South Korea, Switzerland, Norway, Aruba, Hong Kong, China, Liechtenstein and Singapore.
Head of <u>Integrated Commissioning and Procurement</u> Corporate Procurement	The officer with responsibility for leading the <u>Integrated Commissioning and Procurement Team</u> Corporate Procurement Service.
High Profile	A high-profile purchase is one that could have an impact on functions integral to Council service delivery should it fail or go wrong.
High Risk	A high-risk purchase that is in the opinion of the Deputy Chief Executive and City Treasurer one which presents the potential for substantial exposure on the Council's part should it fail or go wrong.
High Value	A high-value purchase is where the value exceeds the Statutory Threshold values.
<u>Integrated Commissioning and Procurement Team</u>	<u>The Council's central procurement unit charged with providing strategic direction and advice to secure Value for Money in the Council's procurement activities.</u>
Invitation to Tender	Invitation to tender documents in the form required by these Contract Procurement Rules.
Key Decision	Decisions that are defined as key decisions in the Access to Information Rules in Part 4 of the Constitution.
Non-Commercial Considerations	<p>(a) The terms and conditions of employment by contractors of their workers or the composition of, the arrangements for the promotion, transfer or training of or the other opportunities afforded to, their workforces ('workforce matters').</p> <p>(b) Whether the terms on which contractors contract with their sub-contractors constitute, in the case of contracts with individuals, contracts for the provision by them as self-employed persons of their services only.</p> <p>(c) Any involvement of the business activities or interests of contractors with irrelevant fields of</p>

	<p>government policy.</p> <p>(d) The conduct of contractors or workers in industrial disputes between them or any involvement of the business activities of contractors in industrial disputes between other persons ('industrial disputes').</p> <p>(e) The country or territory of origin of supplies to, or the location in any country or territory of the business activities or interests of, contractors.</p> <p>(f) Any political, industrial or sectarian affiliations or interests of contractors or their directors, partners or employees.</p> <p>(g) Financial support or lack of financial support by contractors for any institution to or from which the authority gives or withholds support.</p> <p>(h) Use or non-use by contractors of technical or professional services provided by the authority under the Building Act 1984 or the Building (Scotland) Act 1959.</p> <p>Workforce matters and industrial disputes, as defined in paragraphs (a) and (d), cease to be Non-Commercial Considerations to the extent necessary or expedient to comply with Best Value; or where there is a transfer of staff to which the Transfer of undertakings (Protection of Employment) Regulations 2006 (TUPE) may apply.</p>
Officer	The Officer designated by the Chief Officer to deal with the contract in question.
Parent Company Guarantee	A contract which binds the parent of a subsidiary company whereby if the subsidiary company fails to do what it has promised under a contract with the Council, the Council can require the parent company to do so instead.
<u>Procurement Plan</u>	<u>A plan setting out timelines and milestones for future procurements</u>
Procurement Strategy	The document setting out the Council's approach to procurement and key priorities for the next few years.
<u>Procurement</u> Purchasing Guidance	Any guidance documents issued from time to time by the Deputy Chief Executive and City Treasurer and/or <u>Integrated Commissioning and Procurement Team</u> Corporate Procurement Service that support the

	implementation of these contract procurement rules. The guidance is available on the Council's intranet.
Quotation / <u>Quote</u>	A quotation of price and any other relevant matter <u>Candidate's offer submitted in response to a Council Invitation to Quote</u> (without the formal issue of an Invitation to Tender).
Relevant Contract	Contracts to which these contract procurement rules apply (see Rule 4).
Shortlisting	The process of selecting Candidates who are to be invited to quote or bid or to proceed to final evaluation.
<u>Statutory Procedure</u>	<u>The procurement procedure required by UK law for goods, works and services where the Total Value exceeds the Statutory Threshold.</u>
<u>Statutory Threshold</u>	<u>The value(s) at which the relevant UK public procurement law applies.</u>
Tender	A Candidate's offer submitted in response to a Council Invitation to Tender.
Total Value	The whole of the value (inclusive ^{net} of VAT) or estimated value (in money or equivalent value) calculated as follows: <ul style="list-style-type: none"> (a) where the contract is for a fixed period, by taking the total price to be paid or which might be paid during the whole of the period (b) where the purchase involves recurrent transactions for the same type of item, by aggregating the value of those transactions in the coming 12 months (c) where the contract is for an uncertain duration, by multiplying the monthly payment by 48 (d) in the case of framework agreements and dynamic purchasing systems, the maximum estimated value of all contracts envisaged for the total term of the framework agreement or the dynamic purchasing system
TUPE/Transfer of Undertakings (Protection of Employment) Regulations 2006 (SI 2006 No.246)	Subject to certain conditions, the regulations applying where responsibility for the delivery of works or services for the authority is transferred from one organisation (e.g. private contractor, local authority in-house team) to another (e.g. following a contracting out or competitive tendering process) and where the individuals involved in carrying out the work are transferred to the new employer. These regulations seek to protect the rights of employees

	in such transfers, enabling them to enjoy the same terms and conditions, with continuity of employment, as existed with their former employer. Broadly, TUPE regulations ensure that the rights of employees are transferred along with the business or service.
Value for Money	the most economically advantageous proposal that combines goods or services that fully meet the needs, with the level of quality required, delivery at the time required, and at an appropriate price.

GIFTS AND HOSPITALITY

Section D

Gifts and Hospitality Guidance for Members

GIFTS AND HOSPITALITY

SECTION D: GIFTS AND HOSPITALITY GUIDANCE FOR MEMBERS

General Introduction

Manchester City Council's Code of Conduct for Members (the Code) requires members to notify the Monitoring Officer in writing of a personal interest in any business of the Council where it relates to or is likely to affect the interests of any person from whom the member has received a gift or hospitality with an estimated value of at least £100 (an interest under paragraph 11.2(c) of the Code).

In addition to the obligation to notify under paragraph 11.1 of the Code, the Council requires members to notify the Monitoring Officer of any gifts or hospitality accepted by either themselves or their partner by or on behalf of the elected member in connection with their appointment as an elected member or representative of the Council with an estimated value of at least £100.

It is also a breach of the Code for a member to conduct themselves in a manner which could reasonably be regarded as bringing their office or the Council into disrepute or for a member to use or attempt to use their position as a member improperly to confer on or secure for themselves or any other person an advantage or disadvantage.

The following protocol aims to assist members in complying with these obligations and seeks to provide wider guidance so members can avoid any situation where their integrity may be brought into question as a result of gifts and hospitality.

Legal position

The Bribery Act 2010 provides that it is a criminal offence for a member (either personally or through a third party whether for the member's benefit or the benefit of another) to request, receive, agree to receive, promise, offer or give any gift, loan, fee, reward or advantage for doing or not doing anything or showing favour or disfavour to any person in their official capacity. It is for the member to demonstrate that any such advantage has not been corruptly obtained. This guidance should be considered in conjunction with the Council's Anti-Bribery Policy.

1. Gifts and hospitality

Members are advised to treat with caution any offer, gift, or hospitality that is made personally to them in their capacity as an elected member/representative of the Council. The person or organisation making the offer may be doing business or seeking to do business with the Council or may be applying to the Council for some sort of decision in respect of which it is imperative that the member's independence should not be compromised e.g. planning or licensing approvals.

The requirements for notification of a personal interest under paragraph 11.2(c) of the Code and any other gifts or hospitality accepted by the member or their partner in connection with their position as an elected member/representative of the Council with an estimated value of at least £100 are set out at 2.1 below. It is recommended

GIFTS AND HOSPITALITY

that where a member refuses any gift or hospitality offered to them or to their partner in connection with their position as an elected member/representative of the Council, the member should notify the Monitoring Officer of the refusal as soon as reasonably practicable. The Council's Monitoring Officer will maintain a register for this purpose.

Below are some examples regarding acceptance of gifts and hospitality:

1.1 Gifts

All gifts and hospitality should only be accepted if they are in furtherance of the Council's objectives.

Generally personal gifts should not be accepted as an objective view could be that a gift was only given because of the persons standing as a Councillor. The exception is where the gift is of purely token value given to a wide range of people e.g. a pen or key ring, the member should be able to accept such a gift and as it will usually be substantially less than £100 in value there should be no need to register it.

Where the gift is of low value and refusal would cause needless offence and the giver is not currently seeking a decision or business from the Council the member should be able to accept it, and again as it will usually be substantially less than £100 in value there should be no need to register it.

Whilst it may be acceptable to accept a token or gift of low value on one occasion members should refuse repeated gifts, even if these are individually not of a significant value.

Cash or monetary gifts should always be refused without exception and the refusal notified to the Monitoring Officer.

Gifts given as prizes at exhibitions, conferences, seminars etc. as part of a free raffle or draw may be accepted but they belong to the Council and should be notified to the Monitoring Officer as soon as reasonably practicable (notwithstanding that their value may be less than £100). The Monitoring Officer in consultation with the chair of the Standards Committee will determine whether it is appropriate for the member to retain the gift in question.

1.2 Hospitality

It is increasingly the case that private companies offer hospitality e.g. free drinks, tickets to shows or hotel accommodation to persons with whom they do business or with whom they hope to do business in the future. Such hospitality could convey the impression that the member's judgement would be influenced. It would however be too rigid to say that no hospitality can be accepted. Members are reminded that any hospitality with an estimated value of at least £100 should be notified to the Monitoring Officer.

Examples of hospitality which may be acceptable are set out below but depend on the particular circumstances, for example, who is providing the hospitality, why the

GIFTS AND HOSPITALITY

member is there and the nature of the dealings between the Council, the member and the provider of the hospitality:

- A working meal provided to allow parties to discuss or to continue to discuss business;
- An invitation to attend a dinner or function of a Society, Institute or other non commercial body with whom the Council has contact; or
- Invitations to attend functions where the member represents the Council (opening ceremonies, public speaking events, conferences).
- The following are examples of unacceptable hospitality:
- Holidays, including accommodation and travel arrangements;
- Offers of complimentary theatre and sporting tickets for the member/family or free travel; or
- Paid for corporate invites for evenings out with representatives from a company or firm who have dealings with the Council or who are likely to have dealings in the future.

Members are again advised to err on the side of caution and if in any doubt as to the integrity of the offer/invite, the member should consult the Monitoring Officer or refuse.

2. Personal interests under paragraph 11.2(c) of the Code

Paragraph 11.2 (c) provides that a Member has a personal interest in any business of the authority where it relates to or is likely to affect the interests of any person from whom a Member has received a gift or hospitality with an estimated value of at least £100.

2.1 Registration of a personal interest under paragraph 11.2(c) of the Code and other gifts and/or hospitality

A personal interest under paragraph 11.2(c) of the Code must be registered within 28 days of the member's election or appointment to office

In addition, members must notify the Monitoring Officer of any gifts or hospitality accepted by them or their partner in connection with their position as an elected member/representative of the Council with an estimated value of at least £100 within 28 days of the receipt of the gift or hospitality.

2.2 Disclosure of a personal interest under paragraph 11.2(c) of the Code and other gifts and/or hospitality

When a member attends a meeting of the Council at which the relevant business is considered, the member must disclose their personal interest under paragraph

GIFTS AND HOSPITALITY

11.2(c) of the Code where they are aware/ought reasonably to be aware of the existence of the personal interest.

The member must disclose to the meeting the existence and nature of that interest at the commencement of consideration of the relevant business or when the interest becomes apparent.

Where a member has a personal interest under paragraph 11.2(c) of the Code they need not disclose the nature or existence of that interest to the meeting if the interest was registered more than three years before the date of the meeting.

2.3 Personal interests under paragraph 11.2 (c) of the Code and prejudicial interests under paragraphs 13.1 – 13.5 of the Code and other gifts and/or hospitality

When disclosing a personal interest under paragraph 11.2(c) of the Code members must also consider whether the personal interest is a prejudicial interest under paragraph 13.1 of the Code. If this is the case, paragraphs 13.2 – 13.5 of the Code apply.

Where the personal interest is also a prejudicial interest under paragraph 13.1 of the Code, if the interest is not registered and is not the subject of a pending notification, the member must notify the Monitoring Officer of the interest within 28 days of the meeting at which the interest was disclosed.

3. Notifications

Notifications of gifts and hospitality should be sent to the Monitoring Officer at the following address.

Governance and Scrutiny Support Unit
Chief Executive's Department
Manchester City Council
~~3rd~~**2nd** Floor
Town Hall Extension
Albert Square
Manchester M60 2LA

A form for this purpose is available from the Governance and Scrutiny Support Unit who administer the register on behalf of the Monitoring Officer.

4. The register of members' interests

Notifications will be included in the register of members' interests, a copy of which is available for public inspection and is published on the Council's website (subject to the rules on sensitive interests set out in paragraph 16 of the Code).

This register is subject to scrutiny by the Standards Committee and inspection by the Monitoring Officer, Head of Internal Audit and Risk Management and External Auditors.

GIFTS AND HOSPITALITY

5. Gifts which cannot be retained

Where the Monitoring Officer in consultation with the Chair of the Standards Committee determines that it would not be appropriate for a member to retain a gift, that gift will be returned, utilised by the Council or otherwise donated to an appropriate charitable organisation as determined appropriate by the Monitoring Officer following consultation with the Chair of the Standards Committee.

6. Conclusion

Members are advised to seek the advice of the Monitoring Officer in cases where their position is unclear.

Breaches of this protocol may result in a breach of the Code of Conduct for Members.

7. Review of guidance

This guidance was last reviewed in 202~~20~~²⁹ and shall be reviewed every ~~3~~³ years thereafter or earlier where there is a change in the applicable law or circumstances warrant an earlier review.

MEMBER / OFFICER RELATIONS PROTOCOL

Section F

Member / Officer Relations Protocol

MEMBER / OFFICER RELATIONS PROTOCOL

1. INTRODUCTION AND PRINCIPLES

- 1.1 The purpose of this Protocol is to guide Members and Officers of the Council in their relations with one another in such a way as to ensure the smooth running of the Council.
- 1.2 Given the variety and complexity of such relations, this Protocol does not seek to be either prescriptive or comprehensive. It simply offers guidance on some of the issues which most commonly arise. It is hoped, however, that the approach which it adopts to these issues will serve as a guide to dealing with other circumstances.
- 1.3 This Protocol is to a large extent a written statement of current practice and convention. It seeks to promote greater clarity and certainty. If the Protocol is followed it should ensure that Members receive objective and impartial advice and that Officers are protected from accusations of bias and any undue influence from Members.
- 1.4 It also seeks to reflect the principles underlying the Code of Conduct for Members ("the Members' Code") and the Code of Conduct for Employees ("the Employees' Code"). The shared object of these codes is to enhance and maintain the integrity (real and perceived) of local government and the Codes, therefore, demand very high standards of personal conduct.
- 1.5 This Protocol should be read in conjunction with the Members' Code and the Employees' Code, the Council's Constitution and any guidance issued by the Standards Committee and/or Monitoring Officer.
- 1.6 This protocol relates to interactions and relations between Members and Officers both in-person and via other means, including through Social Media. Separate, more detailed guidance is in place for both Officers and members in relation to the use of social media.

2. GENERAL POINTS

- 2.1 Both Councillors and Officers are servants of the public and are indispensable to one another. But their responsibilities are distinct. Councillors are responsible to the electorate and serve only so long as their term of office lasts. Officers are responsible to the Council. Their job is to give advice to Councillors and the Council, and to carry out the Council's work under the direction and control of the Council, the Executive, committees and subcommittees.
- 2.2 At the heart of this Protocol, is the importance of mutual respect. Member/Officer relationships should be conducted in a positive and constructive way. Therefore, it is important that any dealings between Members and Officers should observe reasonable standards of courtesy, should show mutual appreciation of the importance of work / life balance and that neither party should seek to take unfair advantage of their position or seek to exert undue influence on the other party. This standard of conduct

MEMBER / OFFICER RELATIONS PROTOCOL

should also be adhered to in Members' dealings with Officers employed by external organisations.

- 2.3 A Member should not raise matters relating to the conduct or capability of an Officer at meetings held in public or before the press. This is a long-standing tradition in public service as an Officer has no means of responding to such criticisms in public. If a Member feels they have not been treated with proper respect, courtesy or has any concern about the conduct or capability of an Officer, and fails to resolve it through direct discussion with the Officer, they should raise the matter with the respective Chief Officer. The Chief Officer will then look into the facts and report back to the Member. If the Member continues to feel concern, then they should raise the issue with the Chief Executive. Any action taken against an Officer in respect of a complaint will be in accordance with the provisions of the Council's Disciplinary Policy.
- 2.4 An Officer should not raise with a Member matters relating to the conduct or capability of another Officer or to the internal management of a Section/Division/Department at or in a manner that is incompatible with the overall objectives of this Protocol. Such matters should be raised with the officer's immediate line manager or Chief Officer as appropriate.
- 2.5 Nothing in this protocol shall prevent an officer expressing a relevant concern under the Council's Whistleblowing Policy.
- 2.6 Failure to follow this protocol may be a breach of the Code of Conduct for Members, particularly of those obligations relating to bullying and bringing the Council or the Member's office into disrepute. Further information is set out in the Code of Conduct for Members.
- 2.7 Where an Officer feels that they have not been properly treated with respect and courtesy by a Member, they should raise the matter with their Chief Officer or the Chief Executive as appropriate. In these circumstances the Chief Officer or Chief Executive will take appropriate action including approaching the individual member concerned or discussing the matter with the Monitoring Officer where they consider there may be a breach of the Code of Conduct for Members.

3. OFFICER SUPPORT TO MEMBERS: GENERAL POINTS

- 3.1 Officers being employees of the Council must act in the best interests of the Council as a whole and must not give politically partisan advice.
- 3.2 Members must respect the impartiality of officers and do nothing to compromise it, e.g. by insisting that an officer change their professional advice.
- 3.3 Close personal familiarity between individual Members and Officers can damage professional relationships and can prove embarrassing to other Members and Officers. Situations should be avoided therefore that could give rise to the appearance of improper conduct or behaviour.

MEMBER / OFFICER RELATIONS PROTOCOL

- 3.4 Certain statutory officers - the Chief Executive, the Chief Finance Officer and the Monitoring Officer have specific roles. These are addressed in the Constitution. Their roles need to be understood and respected by all Members. In particular members are reminded of the duty under the Members' Code to have regard to any relevant advice given by the Chief Finance Officer or Monitoring Officer.
- 3.5 The following key principles reflect the way in which officers generally relate to Members:
- all officers are employed by, and accountable to the authority as a whole and must remain politically impartial in the provision of advice and guidance;
 - support from officers is needed for all the authority's functions including full Council, Overview and Scrutiny, the Executive, Regulatory and other ordinary committees, Standards Committee, Joint Committees and individual Members representing their communities etc;
 - day-to-day managerial and operational decisions should remain the responsibility of the Chief Executive and other officers.
- 3.6 On occasion, a decision may be reached which authorises named Officers to take action between meetings following consultation with a Member or Members. It should be recognised that in these circumstances it is the Officer, rather than the Member or Members, who legally takes the action and it is the Officer who is accountable for it.
- 3.7 Finally, it should be remembered that Officers are accountable to their Chief Officer and that whilst Officers should always seek to assist a Member, they must not, in so doing go beyond the bounds of whatever authority they have been given by their Chief Officer.

4. OFFICER SUPPORT TO THE EXECUTIVE

- 4.1 It is clearly important that there should be a close working relationship between Executive Members and the Officers who support and/or interact with them. However, such relationships should never be allowed to become so close, or appear to be so close, as to bring into question the Officer's ability to deal impartially with other Members and other party groups. Officers must ensure that even if they are predominantly supporting the Executive their political neutrality is not compromised.
- 4.2 Whilst Executive Members will routinely be consulted as part of the process of drawing up proposals for consideration on the agenda for a forthcoming meeting, it must be recognised that in some situations an Officer will be under a duty to submit a report. Similarly, the Chief Executive, Chief Officer or other Senior Officer will always be fully responsible for the contents of any report submitted in their name.

MEMBER / OFFICER RELATIONS PROTOCOL

- 4.3 Where functions which are the responsibility of the Executive are delegated, the Executive will nevertheless remain accountable via the Overview and Scrutiny Committees, for both its decision to delegate a function and the discharge of those functions.
- 4.4 Under Executive Arrangements, individual Members of the Executive are allowed to take formal decisions. In Manchester the circumstances in which individual members of the Executive can take decisions are set out in the constitution. The Executive, Executive members and Officers must satisfy themselves that they are clear what exactly they can and cannot do.

5. OFFICER SUPPORT: OVERVIEW AND SCRUTINY COMMITTEES

- 5.1 Overview and Scrutiny Committees have the following roles:
- to review and/or scrutinise decisions made or actions taken in connection with the discharge of any of the Council's functions;
 - make reports and/or recommendations to the full Council and/or the Executive and/or any joint or area committee in connection with the discharge of any functions;
 - consider any matter affecting the area or its inhabitants; and
 - exercise the right to call-in, for reconsideration, decisions made but not yet implemented by the Executive, area committees exercising executive functions and key decisions made by Officers.
- 5.2 It is clearly important that there should be a close working relationship between Chairs of the Overview and Scrutiny Committees and the Officers who support and/or interact with them. However, such relationships should never be allowed to become so close, or appear to be so close, as to bring into question the Officer's ability to deal impartially with other Members and other party groups. Officers must ensure their political neutrality is not compromised.
- 5.3 It is not the role of Overview and Scrutiny committees to act as a disciplinary tribunal in relation to the actions of Members or Officers. Overview and Scrutiny Committees should not act as a 'court of appeal' against decisions or to pursue complaints by individuals (Councillors, Officers or members of the public) which are the subject of other procedures, e.g. the Corporate Complaints Procedure, the Local Government Ombudsman, complaints to the Standards Committee or legal action in the Courts.
- ### **6. OFFICER ADVICE TO PARTY GROUPS**
- 6.1 It must be recognised by all Officers and Members that in discharging their duties and responsibilities, Officers serve the Council as a whole and not any political group, combination of groups or any individual Member of the

MEMBER / OFFICER RELATIONS PROTOCOL

Council. The assistance provided by Senior Officers can take many forms ranging from a briefing meeting with an Executive Member, Lead Member, Chair or other Members prior to a meeting, to a presentation, to a full political group meeting. It is an important principle that such assistance is available to all political groups and individual members.

- 6.2 The Monitoring Officer will meet regularly with political group leaders or group whips to discuss standards issues.

6.3 Attendance at Party Political Group Meetings

There is now statutory recognition for 'party groups' and these are recognised in the constitution. It is common practice for such groups to give preliminary consideration to matters of Council business in advance of such matters being considered by the relevant Council decision making body. Senior Officers may properly be called upon to assist and contribute to such deliberations by party groups but must at all times maintain political neutrality. All Officers must, in their dealings with political groups and individual Members, treat them in a fair and even-handed manner.

- 6.4 Attendance at meetings of party groups is voluntary for officers and must be authorised by the Chief Executive (or in their absence the relevant Chief Officer) or the City Solicitor.

- 6.5 Certain points must, however, be clearly understood by all those participating in this type of process, Members and Officers alike. In particular:

6.5.1 Officer assistance must not extend beyond providing information and advice in relation to matters of Council business. Officers must not be involved in advising on matters of party business. Internal party debates and decision making should take place in the absence of officers.

6.5.2 Party group meetings, whilst they form part of the preliminaries to Council decision making, are not formal decision making bodies of the City Council and are not empowered to make decisions on behalf of the Council. Conclusions reached at such meetings do not therefore rank as Council decisions and it is essential that they are not interpreted or acted upon as such; and

6.5.3 Similarly, where Officers provide information and advice to a party group meeting in relation to a matter of Council business, this cannot act as a substitute for providing all necessary information and advice to the relevant Council decision making body when the matter in question is considered.

- 6.6 Special care needs to be exercised whenever Officers are involved in providing information and advice to a party group meeting which includes persons who are not Members of the Council. Such persons are not bound by the Members' Code (in particular, the provisions concerning the declaration of interests and confidentiality). Officers would not be able to provide the same level of information and advice as they would to a Member only meeting.

MEMBER / OFFICER RELATIONS PROTOCOL

- 6.7 Officers must respect the confidentiality of any party group discussions at which they are present. When information is disclosed to an officer during discussions with a party group that information should not be passed on to other groups. However, Members should be aware that this would not prevent officers from disclosing such information to other officers of the Council so far as that is necessary to performing their duties.
- 6.8 Any particular cases of difficulty or uncertainty in this area of Officer advice to party groups should be raised with the Chief Executive who will discuss them with the relevant group leader(s).

7. USE OF COUNCIL RESOURCES

- 7.1 The use of the Councils resources including the use of ICT equipment provided to Members of the Council is governed by a guidance note 'Use of Council Resources Guidance for Members' which has been adopted by the Council and is contained in the Constitution.

8. MEMBERS' ACCESS TO INFORMATION AND TO COUNCIL DOCUMENTS

- 8.1 The rights of members to inspect council documents are set out in the constitution in the Access to Information Procedure rules. Members and Officers should both be mindful of their obligations under data protection legislation.
- 8.2. A Member must not disclose information given to them in confidence by anyone or information acquired which they believe is of a confidential nature, without the consent of a person authorised to give it, or unless they are required by law to do so and must not prevent another person from gaining access to information to which that person is entitled by law. A breach of these requirements is a breach of the Member's Code and actionable by way of referral by the Monitoring Officer of the matter to the Standards Committee. If the breach is serious a civil action may be brought against the Member and / or the Council for damages.
- 8.3 The Freedom of Information Act 2000 ("the 2000 Act") and Environmental Information Regulations 2004 provide rights of access to recorded information held by public authorities. The Council is required to proactively publish information via its Publication Scheme and to provide information in response to specific requests, subject to certain conditions and exemptions. The provision relating to access to Council meetings and documentation are reflected in the Council's constitution.

9. CORRESPONDENCE

- 9.1 Correspondence between an individual Member and an Officer should not normally be copied (by the Officer) to any other Member. Where it is necessary to copy the correspondence to another Member, (for instance where the Monitoring Officer considers this course of action is necessary to

MEMBER / OFFICER RELATIONS PROTOCOL

comply with the rules of natural justice), this should be made clear to the original Member. In other words, a system of 'silent copies' should not be employed.

- 9.2 Official letters on behalf of the Council should normally be sent in the name of the appropriate Officer, rather than in the name of a Member. It will, however, be appropriate in certain circumstances (e.g. representations to a Government Minister) for a letter to appear in the name of an Executive Member or the Leader. Letters which, for example, create legal obligations or give instructions on behalf of the Council should never be sent out in the name of a Member, Executive or otherwise.

10. PUBLICITY AND PRESS RELEASES

- 10.1 In recent years, all local authorities have increasingly used publicity to keep the public informed and to encourage public participation. The Government has issued a Code of Recommended Practice on Local Authority Publicity and all local authorities must have regard to the provisions of any such Code in coming to any decision on publicity. Further guidance on publicity and extracts from the Code are contained in the guidance note to members 'Use of Council Resources Guidance for Members'. In particular members and officers should note that during the election period special rules apply with regard to local authority publicity
- 10.2 Officers and Members of the Council will, therefore, in making decisions on publicity, take account of the provisions of the Code of Recommended Practice on Local Authority Publicity and any further guidance issued by the Monitoring Officer. If in doubt Officers and /or Members should seek advice from the Chief Executive or the Monitoring Officer.
- 10.3 All press releases are issued through the Press Office on behalf of the Council. Press releases are not issued by the Council on behalf of political groups. They can contain the comments of Executive members and committee Chairs where they are speaking in connection with the roles given to them by Council. Officer's comments can be included on professional and technical issues.

11. INVOLVEMENT OF WARD COUNCILLORS

- 11.1 Whenever a public meeting is organised by the Council to consider a local issue, all the members representing the Ward or Wards affected should as a matter of course, be invited to attend the meeting. Similarly, whenever the Council undertakes any form of consultative exercise on a local issue, the Ward Members should be notified at the outset of the exercise. More generally, Officers should consider whether other policy or briefing papers, or other topics being discussed with an Executive Member, should be discussed with relevant Ward Members.

12. OFFICER/MEMBER PROTOCOL

MEMBER / OFFICER RELATIONS PROTOCOL

- 12.1 This protocol forms part of the local framework for standards of behaviour approved by the Standards Committee and adopted by the Council as part of the Constitution.
- 12.2 Monitoring compliance with this protocol is the responsibility of the Standards Committee and the Monitoring Officer
- 12.3 Questions of interpretation of this Protocol will be determined by the Monitoring Officer.

13. REVIEW OF PROTOCOL

- 13.1 This protocol was last reviewed in **2022** and shall be reviewed every **3 years** thereafter, or earlier where there is a change in the applicable law or circumstances warranting an earlier review.

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MEMBERS' ALLOWANCES SCHEME

PART 7

**MEMBERS' ALLOWANCES
SCHEME**

MEMBERS' ALLOWANCES SCHEME

CONTENTS

Members' Allowances Scheme

Schedule 1

Basic Allowance and Special Responsibility Allowance

Schedule 2

Child Care and Dependent Carer Allowance

Schedule 3

Travel and Subsistence Allowance

MEMBERS' ALLOWANCES SCHEME

MEMBERS' ALLOWANCES SCHEME

Manchester City Council, in exercise of the powers conferred by the Local Authorities (Members' Allowances) (England) Regulations 2003 has agreed the following Scheme after having regard to recommendations of the Independent Remuneration Panel.

1.0 **CITATION**

- 1.1 This Scheme may be cited as the Manchester City Council Members' Allowances Scheme, and has effect from the date approved by a meeting of Full Council.

2.0 **INTERPRETATION**

- 2.1 In this Scheme,

- "Member" means an elected representative of Manchester City Council who is a Councillor.
- "Co-optee" means a person who is not a member of the Council but who has been appointed to serve as a member of a committee or sub-committee of the Council
- "Year" means the period of 12 months ending on 31 March

3.0 **BASIC ALLOWANCE**

- 3.1 A basic allowance of the amount specified in Schedule 1 is payable each Year to each Member. The basic allowance is inclusive of provision for all telephone expenses (including mobile phone), travel and subsistence, office and all other expenses incurred in carrying out a Members' duties except where otherwise provided for in this Scheme or where facilities are provided free to Members by the Council.

4.0 **SPECIAL RESPONSIBILITY ALLOWANCE**

- 4.1 A special responsibility allowance is payable to those Members who hold the special responsibilities in relation to the Council. - The special responsibilities and the amounts of allowance payable are specified in Schedule 1.
- 4.2 Only one special responsibility allowance is payable to each Member except in respect of ~~members of the GMCA Waste Committee, members of the Transport for Greater Manchester Committee (not being the Chair, a Vice-Chair or Sub-Committee Deputy Chair),~~ members of the Licensing Committee (not being the Chair or Deputy Chair) or where otherwise indicated.

MEMBERS' ALLOWANCES SCHEME

5.0 ANNUAL UPDATING AND BACKDATING OF ALLOWANCES

5.1 The allowances referred to in paragraphs 3, 4 and 8 will be updated annually in line with the annual percentage pay increase given to a Manchester City Council employee at spinal column point 43 (and rounded to the nearest £) as agreed each year by the National Joint Council for Local Government Staff
~~The allowances referred to in paragraphs 3 and 4 will be increased yearly following the Annual General Meeting of the Council in line with the percentage increase in that financial year for a Manchester local authority employee at spinal column point 43.~~

5.2 Provision may be made for the backdating of allowances within the current municipal year where specifically recommended by the Independent Remuneration Panel.

6.0 CHILD CARE AND DEPENDANT CARERS ALLOWANCES

6.1 A child care and dependant carers allowance may be claimed where a Member incurs expenditure in arranging care of their child or children under the age of 14 and / or dependants when undertaking specific duties. These duties are set out in Schedule 2 together with the amount that can be claimed.

6.2 A maximum of 14 hours per week can be claimed and only one claim may be made for each household.

7.0 TRAVELLING AND SUBSISTENCE ALLOWANCES

7.1 A Member may be reimbursed in respect of the cost of travelling and subsistence for journeys outside Manchester and in respect of travel undertaken in connection with attendance at Adoption or Fostering Panels, meetings of the Local Government Association (LGA); Association of Greater Manchester Authorities (AGMA); North West Regional Authority (NWRA); Nuclear Free Local Authorities (NFLA); ~~the Transport for Greater Manchester Committee (TfGMC), the GMCA Waste Committee;~~ and approved conferences, seminars and approved Council business trips.

7.2 Attendance at conferences, seminars and other council business trips must be approved in advance by the appropriate Executive Member and Chief Officer.

7.3 All claims should be supported by receipts.

7.4 The conditions and rates are set out in Schedule 3.

8.0 CO-OPTEE'S ALLOWANCE

8.1 A co-optees allowance is payable to those Co-optees serving on the Standards Committee, the Audit Committee and the Children and

MEMBERS' ALLOWANCES SCHEME

Young People Scrutiny Committee, the amounts of which are detailed in Schedule 1.

- 8.2 This allowance will be paid in a single lump sum at the end of the municipal year and will only be paid to those members, who have attended at least 50% of the meetings, which they have been entitled to attend during that year.

9.0 RENUNCIATION

- 9.1 A Member or Co-optee may, by notice in writing to the City Solicitor, elect to forgo any part of their entitlement to the basic or special responsibility allowance under this Scheme. Any such decision will remain in force until a further notice in writing withdrawing it is made to the City Solicitor.

10.0 PART YEAR ENTITLEMENTS

- 10.1 Where a Member holds the office of Member for less than a full Year, the Member's entitlement to the basic allowance will be calculated on a pro rata basis by reference to the number of days the Member holds the term of office to the total number of days in that particular year.
- 10.2 Where a Member holds an office of special responsibility for less than a full year, the Member's entitlement to the special responsibility allowance will be calculated on a pro rata basis by reference to the number of days the Member holds the special responsibility to the total number of days in that year.
- 10.3 Where a co-opted Member holds an office attracting an allowance for less than a full year the co-opted Member's entitlement will be calculated on a pro-rata basis by reference to the number of days the co-opted Member holds the office to the total number of days in that particular year.
- 10.4 Appropriate adjustments will be made in respect of any basic or special responsibility allowance if any changes are made to the Scheme during the year from which this Scheme has effect.

11.0 CLAIMS AND PAYMENT OF ALLOWANCES

- 11.1 Payments in respect of the basic and special responsibility allowances, will be made in instalments of one-twelfth of the amount of entitlement two weeks in arrears, 2 weeks in advance. Payment will be made through the payroll system on the same date as that applicable to employee salaries.

MEMBERS' ALLOWANCES SCHEME

- 11.2 Claims for child carer and dependant carer, travel and subsistence allowances should be made on the approved forms, together with supporting receipts to the relevant Members' Services by no later than one month from the date of the duty in respect of which the entitlement to the allowance arose.
- 11.3 All payments will be made through the payroll system and will be taxable.

12.0 CO-OPTEE TO AN OUTSIDE BODY

- 12.1 Where a councillor is co-opted or nominated to an outside body and is entitled to receive an allowance under that body's own allowances scheme if the outside body pays the allowance to the Council rather than direct to the councillor concerned the Council will pass the monies received on to the councillor.

13.0 PENSIONS

- 13.1 The former Department for Communities and Local Government announced in 2014 that councillors were not able to join the Local Government Pension Scheme ('LGPS') from April 2014 and those councillors who had already joined the Scheme will cease to be active members at the end of their term of office.
- 13.2 Further information about the LGPS for councillors is on the Greater Manchester Pension Fund website: <http://councillors.gmpf.org.uk/>
- 13.3 Any councillor wishing to access their pension should contact the Technical Pensions Team on 0161 277 1691.
- 13.4 Both the basic and special responsibility allowances shall be treated as amounts in respect of which such pension is payable.

14.0 REGISTRATION FEE

- 14.1 The Council will bear the cost of the annual registration fee payable by Members to the Information Commissioner in respect of data protection registration relating to their constituency work.

15.0 MISCELLANEOUS

- 15.1 Members who use their own broadband connection for Council business and who do not have a data line provided by the Council shall receive a payment of £15.00 per month (£180.00 per annum) provided the claim is supported by receipts or evidence of the broadband account.

MEMBERS' ALLOWANCES SCHEME

Schedule 1**Basic and Special Responsibility Allowances**
2022/23

Band			Basic Allowance £	Special responsibility allowance £	Total £
1	Members not in receipt of special responsibility allowance	66	17,322 18,109	-	17,322 18,109
2	Opposition Lead Member on Finance	1	17,322	1,821	19,143
23	Deputy Chair of Licensing and Appeals Committee, Deputy Chair of Planning and Highways Committee	2	18,109 17,322	3,624 3,807	20,946 21,129
34	Elected Member on Adoption Panel**	1	18,109 17,322	5,859 6,125	23,181 23,447
45	Deputy Leader Opposition, Assistant Executive Members, City Centre Spokesperson, Elected Member on Fostering Panel **	6 10	18,109 17,322	7,275 7,606	24,597 24,928

MEMBERS' ALLOWANCES SCHEME

<u>56</u>	Overview and Scrutiny Chairs, Chairs of Licensing &and Appeals, and Planning and & Highways Committees, Airport Group Board Member	<u>89</u>	<u>18,109</u> 17,3 22	10,920 <u>11,41</u> <u>6</u>	28,242 <u>2</u> <u>9,525</u>
<u>67</u>	Executive Members, Deputy Leader(s), Leader of Opposition	<u>89</u>	<u>18,109</u> 17,3 22	18,180 <u>19,00</u> <u>7</u>	35,502 <u>3</u> <u>7,116</u>
<u>78</u>	Leader	1	<u>18,109</u> 17,3 22	43,224 <u>45,19</u> <u>0</u>	60,546 <u>6</u> <u>3,299</u>
<u>8</u>	<u>Main Opposition Group Leader</u>	<u>1</u>	<u>18,109</u>	<u>11,416</u>	<u>29,525</u>
<u>9</u>	<u>Main Opposition Group Deputy Leader (if group has over 9 Members) £4,567</u>	<u>0</u>			
<u>10</u>	<u>Main Opposition Group Lead Member on Finance (if group has over 9 Members) £1,142</u>	<u>0</u>			
<u>11</u>	<u>Other Opposition Group Leaders</u>	<u>1</u>	<u>18,109</u>	<u>4,567</u>	<u>22,676</u>
<u>12</u> Ext	Ordinary member of the Licensing and Appeals Committee (not including Chair and Deputy Chair) <u>*</u>	13	<u>18,109</u>	548 <u>573</u>	<u>18,682</u>
	Chair — GMCA Waste Committee*			12,888	
	Vice Chair GMCA Waste Committee*			5,799	
	Deputy Chair of Committee — GMCA Waste Committee*			2,793	
Extra	Member — GMCA Waste Committee* (not in receipt of other GMCA Waste Committee allowance)			2,280	
	Chair — TfGMC*			30,645	
	Vice Chair — TfGMC*			15,174	
	Deputy Chair of TfGMC* Sub Committee			5,424	

MEMBERS' ALLOWANCES SCHEME

Extra	Member – TfGMC (Not in receipt of other TfGMC allowance)*			4,149	
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~~* The Council appoints 2 members to the GMCA Waste Committee and 2 members to TfGMC.~~

~~** If more than one Member carries out either role, the special responsibility allowance will be split on a pro-rata basis.~~

*** Only paid to such ordinary Members of the Licensing & Appeals Committee and the Licensing Committee who have attended at least 13 meetings of the said Committees, or hearing panels thereof, in the municipal year.**

Co-opted Members Allowances 20~~22~~**19**/2~~30~~**30**

	Posts	Allowance
Children and Young People Scrutiny Audit Committee	8 2	938 981
Standards Committee Chair - annually	1	1,804 1,886
Standards Committee Independent Member - annually	1	938 981
...plus daily allowance for each hearing attended: - - as Chair of the Panel - as Panel Member		168 176 112 117

MEMBERS' ALLOWANCES SCHEME

Schedule 2**Child Care and Dependant Carers Allowance****202218/2319****1. Authorised Duties**

A Childcare and Dependant Carers' Allowance can be claimed where Members necessarily incur expenditure in arranging care of their children or dependants when undertaking the following particular duties:

- A meeting of the Executive or a Committee of the Executive.
- A meeting of the full Council or of a Committee or Sub-Committee of the Council.
- A Ward Co-ordination meeting.
- Attendance at a members training/briefing seminar.
- A meeting at which an Executive Member is discharging functions with which they have been delegated or any other duty for the purposes of, or in connection with, the discharge of the functions of the Executive.
- A meeting of any other body or of a Committee or Sub-Committee of any other body to which the Council makes appointments or nominations.
- A meeting which has both been authorised by the full Council, a Committee or Sub-Committee of the Council or a Joint Committee of the Council and one or more other Authorities, or a Sub-Committee of a Joint Committee and the invitation to which was open to representatives of more than one political group (if the Council is divided into several political groups) or to which two or more Councillors have been invited (if the Council is not divided into political groups).
- A meeting of a local authority association of which the Council is a member.
- Duties undertaken on behalf of the Council in pursuance of any Regulations made under section 135 of the Local Government Act 1972 requiring a member or members to be present while tender documents are opened.
- Duties undertaken on behalf of the Council in connection with the discharge of any function of the Council conferred by or under any enactment and empowering or requiring the Council to inspect or authorise the inspection of premises.
- Duties undertaken on behalf of the Council in connection with arrangements made by the Council for the attendance of pupils at a school approved for the purposes of section 342 of the Education Act 1996.

MEMBERS' ALLOWANCES SCHEME

2. Basis of Claim

To support the claim made, you need to provide:

Name(s) of person for whom care was provided.

The age of the person for whom care was provided if a child or children were under 14 years old.

The name of the person providing the care. This cannot be a member of the immediate family or household.

A Receipt.

3. Time Claimed For

A Claim can be made for the actual period of the meeting plus reasonable travelling time before and afterwards (up to a maximum of one hour in total).

4. Amount Claimed For

- For the independent care of a child under the age of 14 the maximum hourly rate will be the Real Living Wage hourly rate;
- ~~or if~~ For the care of a dependent relative the maximum hourly rate will be the hourly rate charged by Manchester City Council Adult Services Department for Domiciliary Home Care ~~Manchester Minimum Wage as updated annually. The current rate is £8.75 per hour as of 1 April 2019, rising to £9.00 per hour as of 1 November 2019.~~

A maximum of 14 hours per week will be payable and only one claim may be made per household.

5. Payment Arrangements

Claims will be paid along with all other allowances via the monthly payroll. Any deduction necessary following verification of the claim will be made from the next month's allowance payment.

MEMBERS' ALLOWANCES SCHEME

Schedule 3

Travelling and Subsistence Allowances

202219/230

TRAVEL ALLOWANCE

Motor Car (Capacity)	Rate (pence per mile)
451—999cc	48.4p
1000—1199cc	53.9p
1200cc +	67.1p
Motorcycles	46.9p
Cycle Allowance	1.37p

[Note: Members of GMWDA receive HMRC Mileage Rates:
Cars 45p
Motorcycles 24p
Bicycles 20p]

The mileage rates for Members attending approved duties outwith the City are set at HMRC rates, which are currently as follows:

Type of vehicle	First 10,000 miles	Above 10,000 miles
Cars and vans	45p	25p
Motorcycles	24p	24p
Cycles	20p	20p

Passenger payments – Cars and vans

5p per passenger per business mile for carrying fellow Councillors/Council employees in a car or van on journeys which are also work journeys for them

Driving a hybrid or electric vehicle

When a Member is claiming out of City mileage rates by driving a hybrid or electric vehicle then HMRA AMAP mileage rates are applicable.

1. Use of own vehicle

Members are allowed to use their own vehicles for approved journeys, subject to this guidance. Where the appropriate mileage rates apply, the rates per mile for different vehicles will be the same as the rates payable to employees.

MEMBERS' ALLOWANCES SCHEME

Members using their own vehicles must register this use with Members' Services by submission of copies of the registration document and an up to date copy of the insurance certificate. The insurance policy must approve the use of the vehicle in connection with Council business. If the insurance does not extend to Council business use then there will be no protection for Members in the event of an accident.

2. Long Distance Journeys

Members would not normally be expected to use their own vehicles for long distance journeys (conferences, business trips etc.) unless it can be demonstrated that the cost to the Council would be less than travel by train, e.g. where a number of Members are going to the same destination and will be travelling in the same car.

There may be cases where it may be more practical to use a Member's own vehicle. In these cases and subject to prior agreement with the Chief Executive a claim may be made equivalent to the rail fare, which would have otherwise been incurred.

3. Passenger Supplement

Drivers of passengers who would also be entitled to claim the motor car allowance can increase the rate by a set amount for the second and subsequent passengers up to a maximum of 4 passengers.

4. Parking

Drivers may also claim for essential parking fees and tolls (receipts must be supplied).

5. Public Transport

Cost of fare(s) incurred will be reimbursed. Tickets or other receipts should be attached to the claim form. Arrangements will continue to be made for annual bus/metrolink passes to be provided for those members who use public transport regularly.

6. Taxis

Taxis should only be used in case of emergency, where use of public transport is not practical or where a Member has a medical condition which results in temporary impaired mobility. Taxis in Manchester should be booked through the Members' Services using the Council's taxi contract. If this is not possible due to the individual circumstances, a receipt must be obtained which should be submitted with the claim form.

7. Travel by Train/Aircraft (Conferences, Council Business Trips etc.)

MEMBERS' ALLOWANCES SCHEME

In all normal circumstances, tickets must be pre-booked by the Members' Services using the Council's contract with a travel agency. The only exception will be where notice of less than 24 hours is given to a Member to travel on behalf of the Council and urgent arrangements have to be made for tickets. If a Member has to make her/his own arrangements, receipts must be provided before reimbursement can be made.

SUBSISTENCE ALLOWANCE

Allowance	Rates (£)	Condition
Breakfast	4.92	For more than 2 hours duty before 11am
Lunch	76.3877	For more than 2 hours duty including the period between 12 noon and 2 pm
Tea	2.67	For more than 3 hours duty including the period 3pm to 6pm
Dinner	108.3840	For more than 2 hours duty ending after 7pm

1. Subsistence may be claimed for ~~breakfast~~, lunch, ~~tea~~ and dinner, the maximum amounts claimable will be the same as the rates payable to employees. ~~Breakfast, Lunch and tea~~ subsistence claimed for meetings in the Town Hall buildings will be taxable. Reimbursement cannot be claimed for meals which have been provided free of charge.
2. Before being eligible for claim the following minimum hours set out in the table above must be worked by Members in connection with the event involved. The times include not just the duration of the meeting but also travelling time to and from the meeting.
3. All claims must be supported by a receipt. Receipts must relate to meals, or foodstuffs, which could reasonably be considered to have been consumed at the particular meal claimed for.
4. Separate receipts must normally be submitted for each meal allowance claimed.

Roll-up of allowances will be allowed if the circumstances did not make it reasonable or practical to take up some of the entitlements, provided that:

- the total of all claims does not exceed the combined allowances for,
- all the meals claimed,
- all claims relate to the same day, and
- the food was not otherwise provided for any of the meals claimed.

~~For example, a Member returning from a conference after 3.00pm and arriving home after 7.00pm could combine the tea and evening meal allowances towards the cost of an evening meal. They would not however, be permitted to include the lunch allowance if this had been provided at the conference.~~

MEMBERS' ALLOWANCES SCHEME

5. Overclaims on one meal may be offset by underclaims on another provided that the total of all claims does not exceed the combined allowances for all the meals claimed.

Overclaims will be considered on individual meals in exceptional circumstances where it can be shown that there is no reasonable alternative. For example, this might apply if a member was staying at a hotel, which did not include breakfast in its overnight rate and the breakfast provided cost in excess of the standard allowance. Additional costs would not be allowed if they related to alcoholic drinks or other items, which would not normally be considered appropriate.

6. Claims will not be allowed where a meal is provided at an event which a Member is attending but the Member chooses to buy food elsewhere. Such expenditure would be considered an unnecessary increase in cost to the Council.
7. Hotel accommodation required by Members for conferences, seminars, Council business trips etc., should be pre-booked by the Members' Services through the Council's contract with a travel agency. In exceptional circumstances Members will be reimbursed where this is not possible due to the urgency of the situation.

NOTE:

Councillors are reminded of the need to ensure that claim forms are submitted as near as possible after the expenses have been incurred, and in any event no later than a month afterwards. Failure to submit claims in good time could involve the Council losing money, where reclaims from outside bodies are involved, or lead to a delay in processing the claim because of difficulties in checking. All claim forms must be certified by [the Chief Executive or an officer appointed by the Chief Executive to certify these claims].

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PART 8

MANAGEMENT STRUCTURE

Management Structure

Full Members of the Strategic Management Team (SMT)*

- Chief Executive
- Deputy Chief Executive and City Treasurer
- City Solicitor
- Strategic Director (Children's and Education Services)
- Executive Director of Adult Social Services
- Strategic Director (Growth and Development)
- Strategic Director (Neighbourhoods)
- Director of Population Health and Wellbeing
- **Assistant Chief Executive**

Chief Officers

- The full members of SMT

Statutory Officers

- | | |
|-------------------------------------|--|
| • Head of Paid Service | - Chief Executive |
| • Chief Finance Officer | - Deputy Chief Executive and City Treasurer |
| • Monitoring Officer | - City Solicitor |
| • Director of Children's Services | - Strategic Director (Children's and Education Services) |
| • Director of Adult Social Services | - Executive Director of Adult Social Services |
| • Director of Public Health | - Director of Population Health and Wellbeing |
| • Deputy Chief Finance Officer | - Deputy City Treasurer |
| • Deputy Monitoring Officer | - Deputy City Solicitor |
| • Scrutiny Officer | - Governance and Scrutiny Support Unit Manager |

*While other senior officers may attend SMT on an occasional or regular basis, only the listed officers are considered full members of SMT for the purposes of Part 8 of the Council's Constitution.

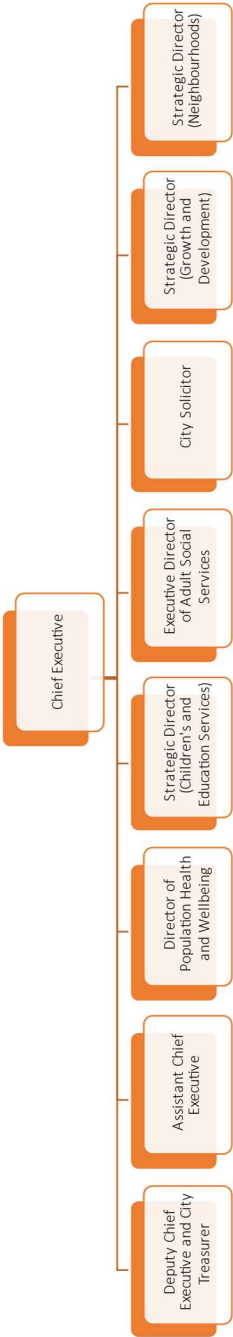
Deputy Chief Officers/Heads of Service

Directorate/Division	Deputy Chief Officer/Head of Service
Corporate Core: excluding City Solicitor's <u>Division</u>	Deputy City Treasurer Director of HR and OD Director of Capital Programmes Director of Customer Services and Transactions Director of ICT Director of Policy, Performance and Reform Head of Audit and Risk Management
Corporate Core: City Solicitor's <u>Division</u>	Deputy City Solicitor Head of Strategic Communications <u>Head of Chief Executive Office</u> Head of Electoral Services <u>Head of Protocol Civic and Ceremonial</u> <u>Head of Registrars and Coroners</u> Registration and Coroners Service Manager
Neighbourhoods	Director of Highways <u>Director of Housing Operations</u> <u>Director of Neighbourhood Delivery</u> Director of Commercial and Operations Head of Service (Compliance and Community Safety) Head of Service (Libraries, Galleries and Culture) Head of Service (Parks, Leisure, Youth and Events) Head of Neighbourhood Management
Adult Social Services	Deputy Director of Adult Social Services Director of Homelessness Assistant Director (<u>Learning Disability, Transition and</u> Complex Needs) Assistant Director (<u>Hospitals, Integrated Contacts and TEC</u> Front Door) Assistant Director (Neighbourhood <u>s and Safeguarding Teams</u>) Programme Lead for Health & Social Care Integration

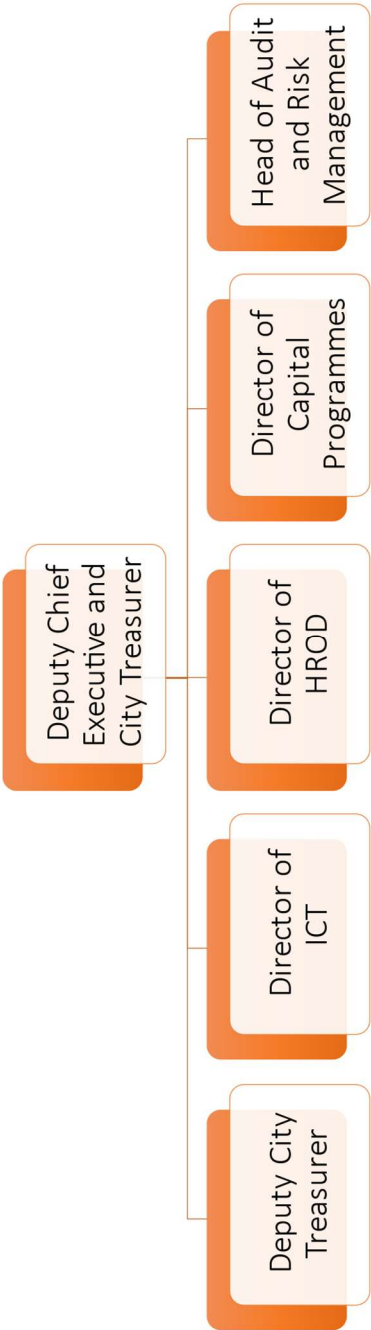
Population Health & Wellbeing	<u>Assistant Director of Public Health</u> <u>Assistant Director of Public Health</u> <u>Assistant Director of Public Health</u> Public Health Consultant Public Health Consultant Strategic Lead (Population Health Programmes) Strategic Head of Health Intelligence
Children's & Education Services	Deputy <u>Strategic</u> Director of Children's Services Director of Education Strategic Lead Safeguarding and Practice Improvement Strategic Lead Commissioning
Growth and Development:	<u>Assistant Director Major Regeneration</u> Director of City Centre Growth and Infrastructure Director of Development and Corporate Estate Director of Housing and Residential Growth Director of Inclusive <u>Economy</u> Growth Director of Planning, <u>Building Control</u> and Licensing and Building Control
<u>Assistant Chief Executive's Direct Reports</u>	<u>Head of City Policy</u> <u>Head of Public Intelligence and Performance</u> <u>Head of Reform and Inclusion</u>

Additional information on the Council's structure is published within the 'Open Data' section of the Council website in accordance with the DCLG Code of Recommended Practice on Data Transparency.

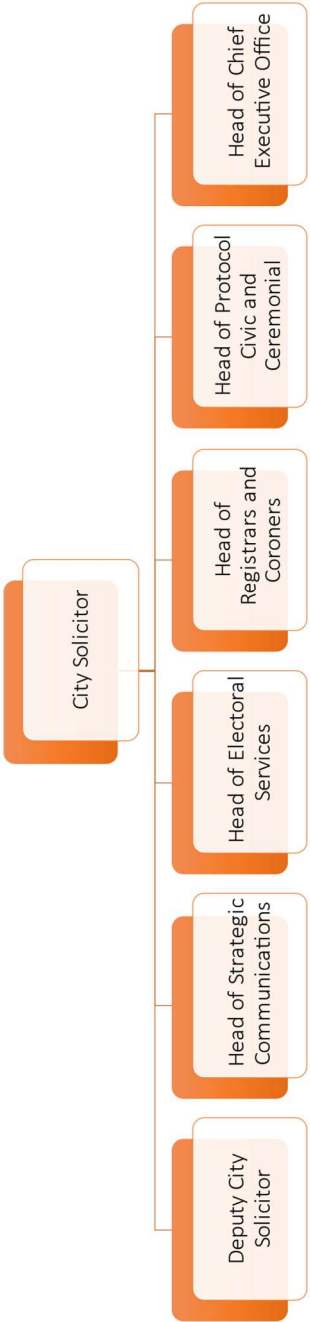
Full Members of the Strategic Management Team (SMT)



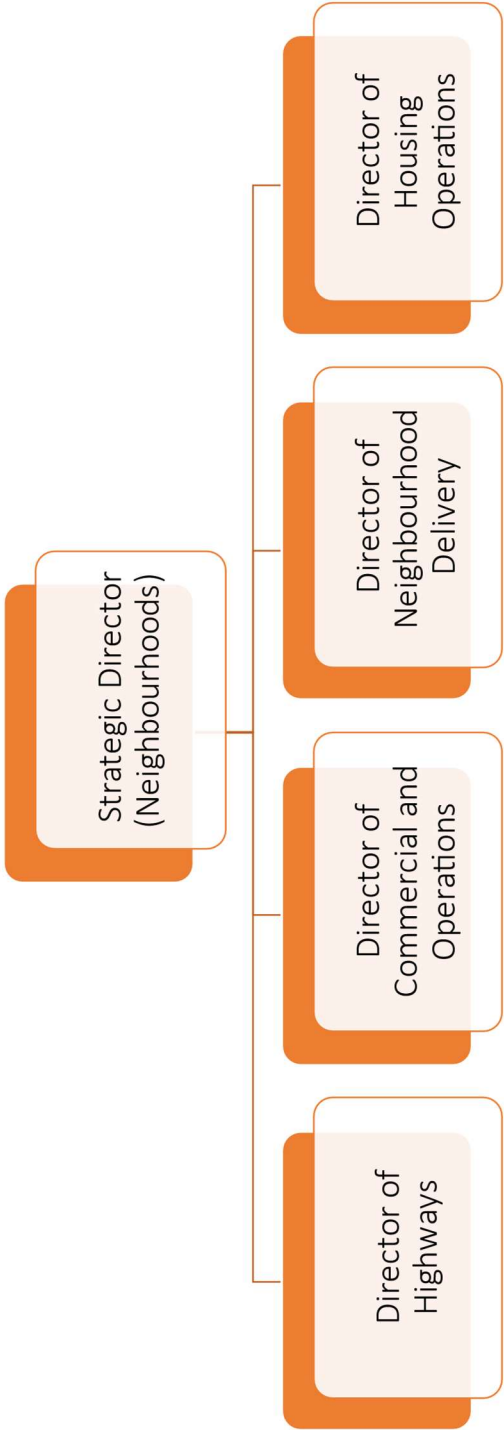
Corporate Core: excluding City Solicitor's Division



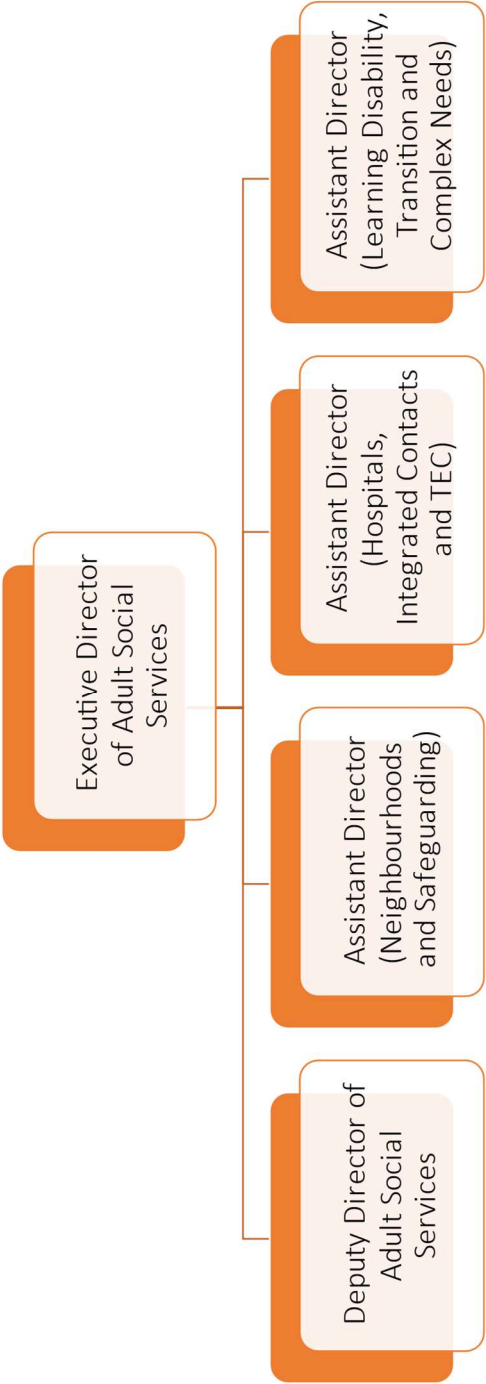
Corporate Core: City Solicitor's Division



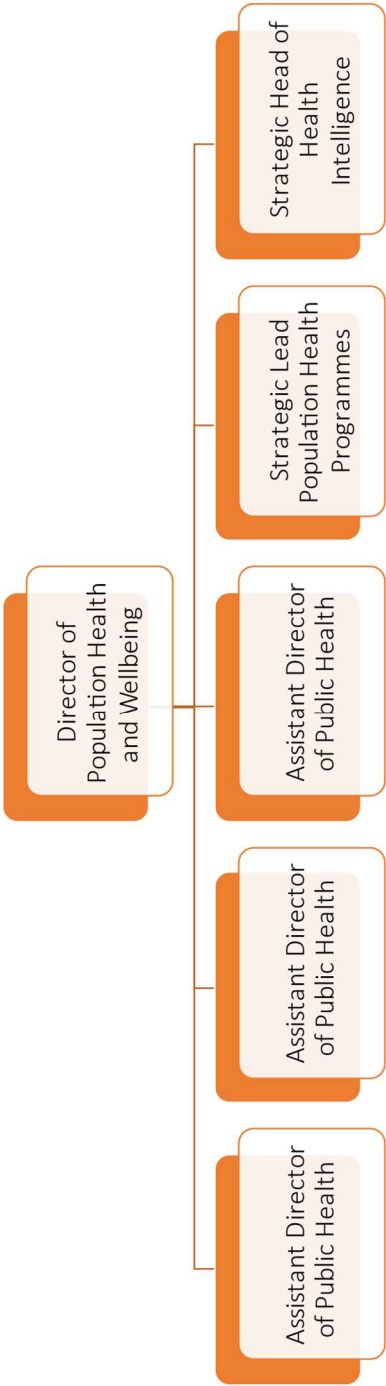
Neighbourhoods



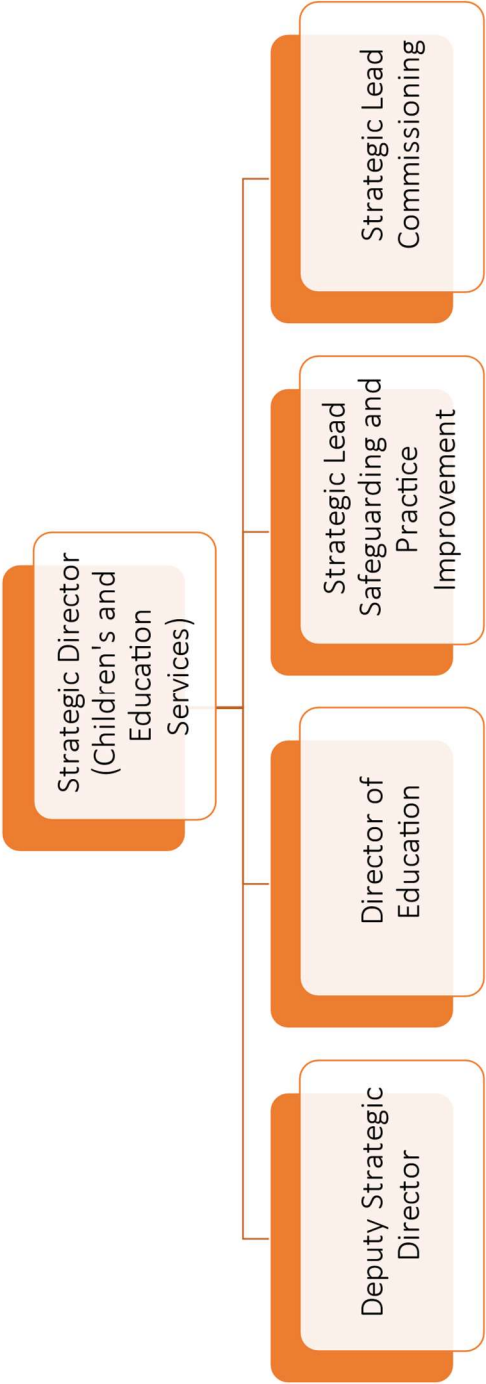
Adult Social Services



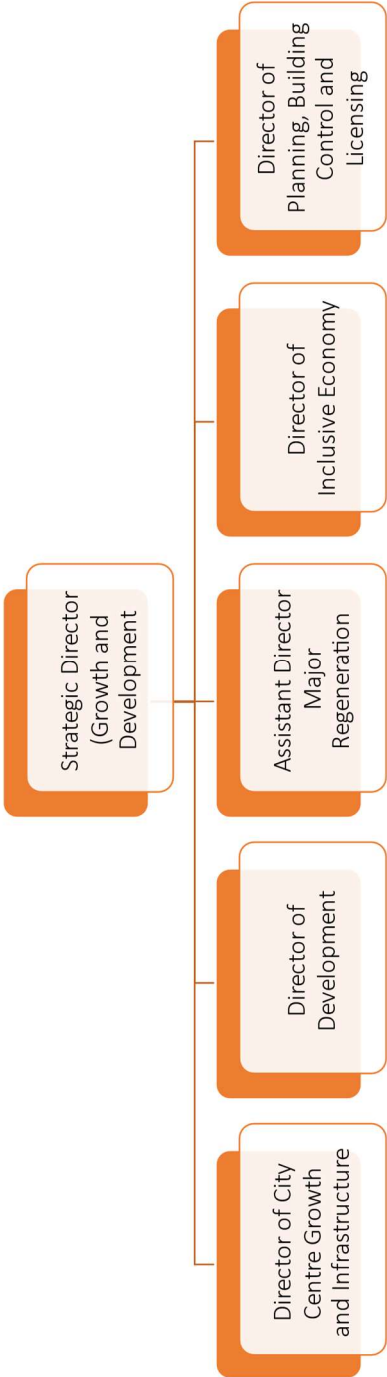
Population Health and Wellbeing



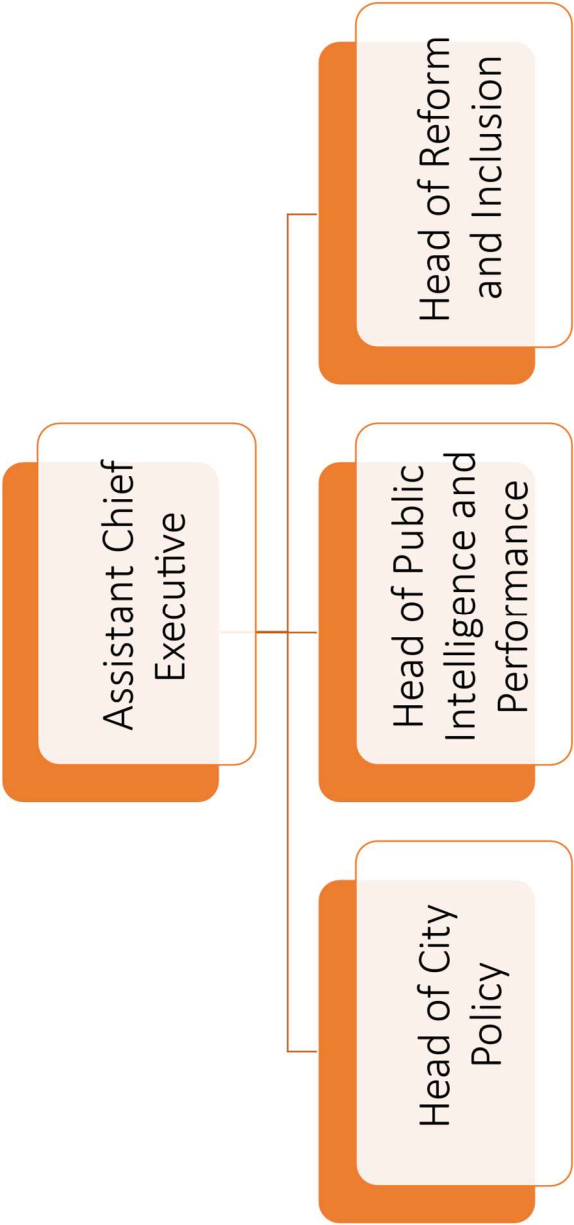
Children’s and Education Services



Growth and Development



Assistant Chief Executive's direct reports



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